

Welcome to



# Aakash



BYJU'S

# LIVE

Reaction Mechanism  
and Stereoisomerism



# Isomerism

## Isomers

The phenomenon of existence of two or more compounds possessing **the same molecular formula** but **different properties** is known as isomerism.

Such compounds are known as **isomers**.

### Structural (Constitutional)

### Stereoisomers (Space/3D)

Chain

Position

Functional

Ring chain

Metamers

Tautomers

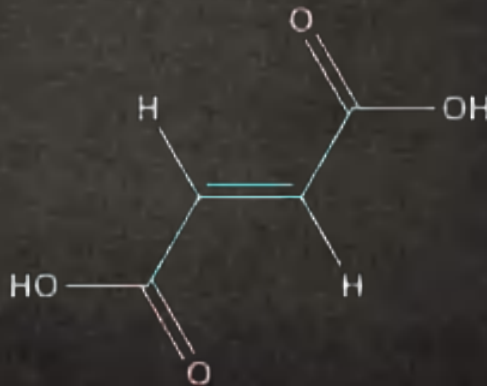
# Stereoisomers

Stereoisomers have remarkably **different physical, chemical, and biological properties.**

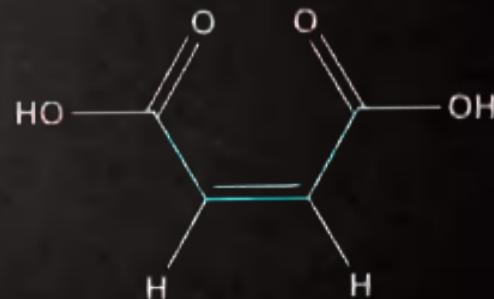
Isomers that are different from each other only in the way the atoms are **oriented in space.**



These isomers have **same connectivity** of atoms and groups.



Fumaric acid  
M.P.: 287°C  
Essential metabolite



Maleic acid  
M.P.: 138°C  
Toxic, irritant

# Isomers

Structural  
(Constitutional)

Stereoisomers  
(Space/3D)

Conformational

Configurational





# Conformational Isomers

There are **infinite arrangements (conformations)** which arise due to the free rotation around the C–C  $\sigma$  bond, out of which different conformations corresponding to **energy minima** are called **conformers**.

# Configurational Isomers

Isomers which **differ in the configuration** i.e. the spatial arrangement of atoms that characterises a particular stereoisomer.

Arises due to **non-interconvertibility** at room temperature

## Configurational Isomers

Geometrical Isomers

Optical Isomers

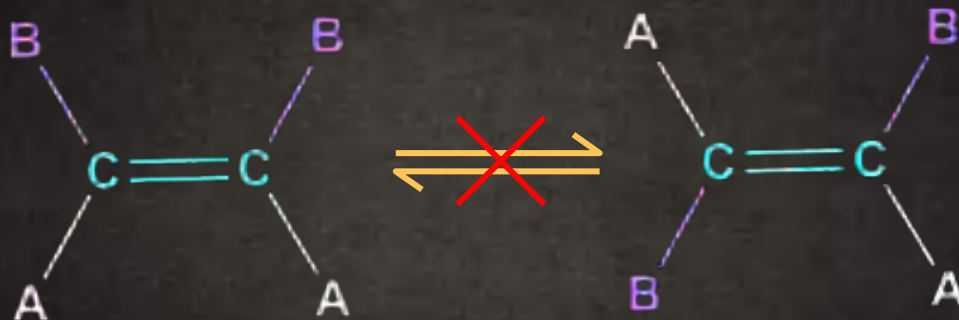


# Geometrical Isomers

Isomers which possess the same molecular and structural formula but **differ in the arrangement** of atoms, or groups in space due to restricted rotation.

# Restricted Rotation

Restricted rotation by **double bond**

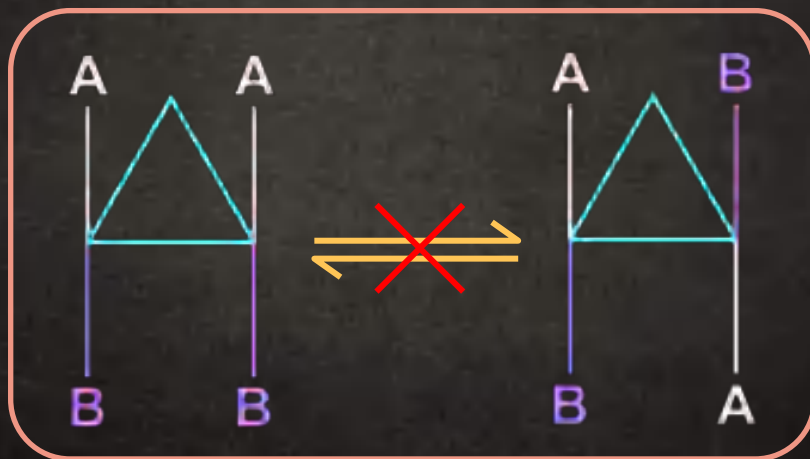


**$\pi$  bond prevents rotation**

because the orbitals overlap both above and below the plane of atoms.

# Restricted Rotation

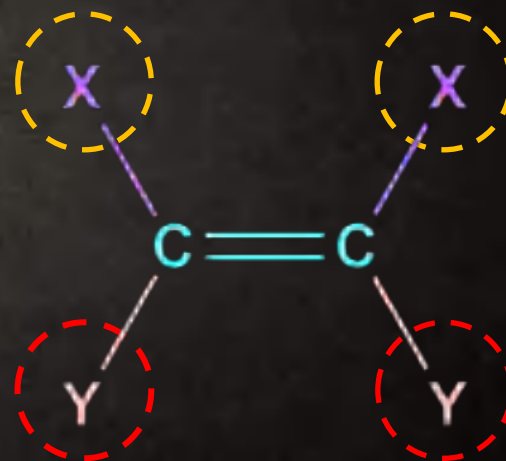
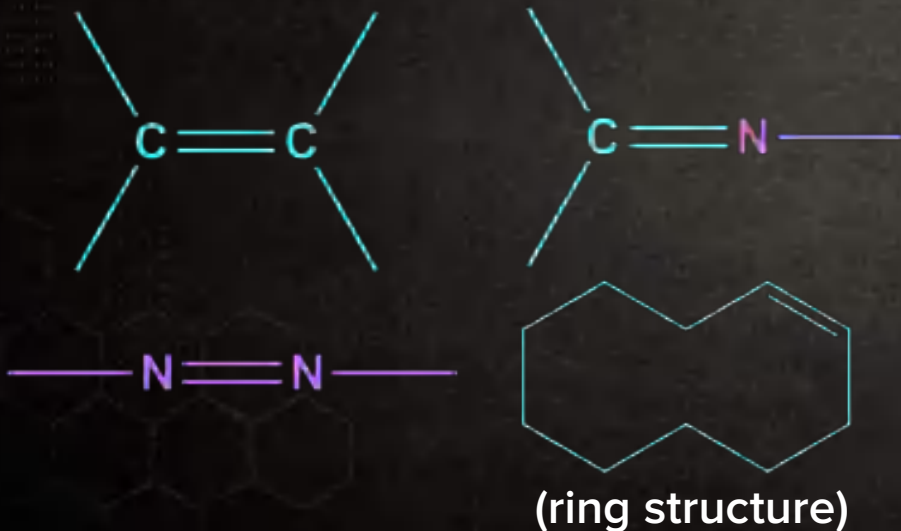
Restricted rotation along  $\sigma$  bond of cycloalkane



# Conditions to Show G.I.

## Restricted Rotation

**Different groups** should be attached to each atom of a restricted bond.



## Note

1

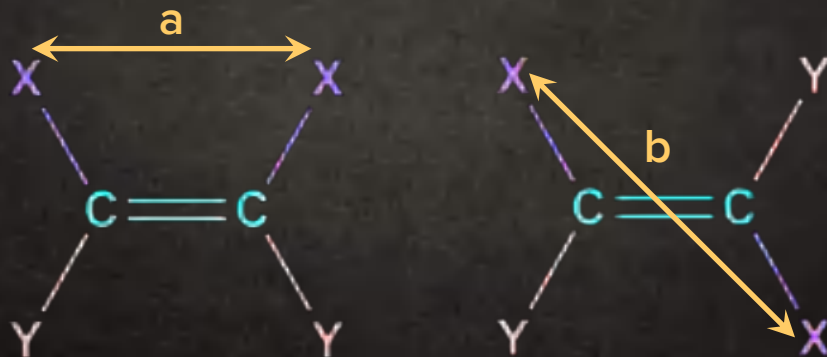
Lone pair is also considered as a distinct group.

2

Isotopes are considered as different groups.

# Conditions to Show G.I.

Distance between two particular group at different terminal of restricted rotation should be **different in G.I.**



$$a \neq b$$



## Configurational Nomenclature in G.I

### Configurational Nomenclature in G.I.

cis-trans

Syn-Anti

1

It is used for C=C and ring.

2

It is based on similarity of the group.



## Configurations in Geometrical Isomerism

cis

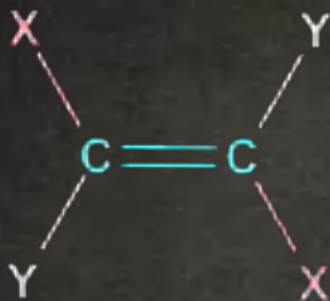
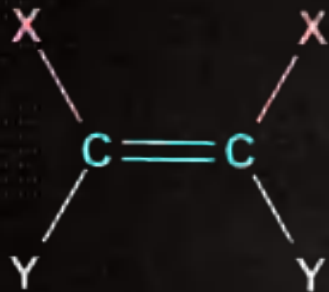
Same groups lie on the **same side of the double bond**

trans

Same groups lie on the **opposite** sides of the double bond

# cis-trans Configuration in Double Bond System

## Examples

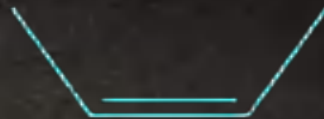


Same group-  
same side

cis form

Same group-  
different side

trans form

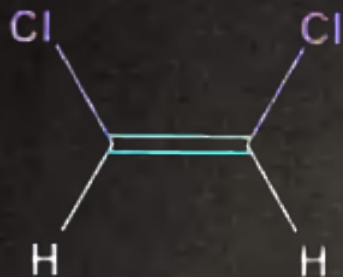


cis form



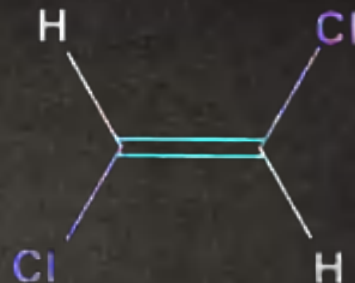
trans form

# cis-trans Configuration in Double Bond System



Same group-  
Same side

cis form



Same group-  
different side

trans form

# cis-trans Configuration in Cycloalkanes

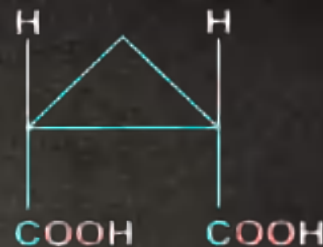
## Examples



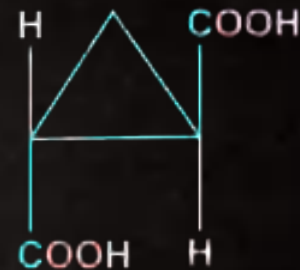
trans form



cis form



cis form



trans form

# Syn-Anti Configuration

1

It is used for  $C = N$ ,  $N = N$

2

It is based on similarity of the group.

Syn

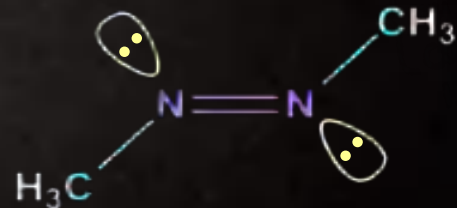
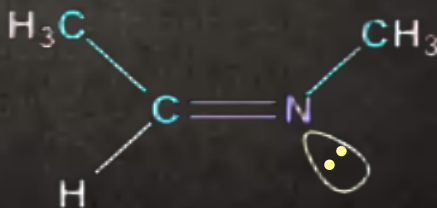


Same side

Anti



Opposite side

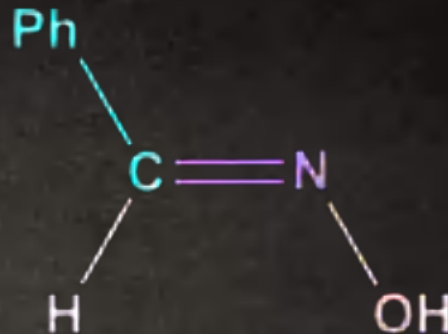


# Syn-Anti Configuration

In case oximes,

**Syn**

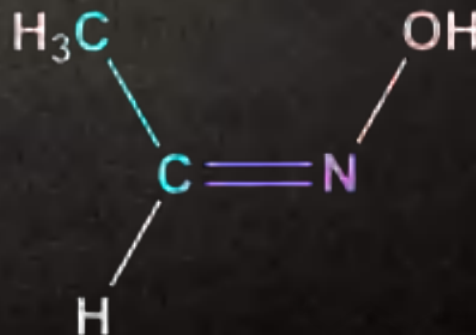
If -H and -OH are  
on same side



**Syn**

**Anti**

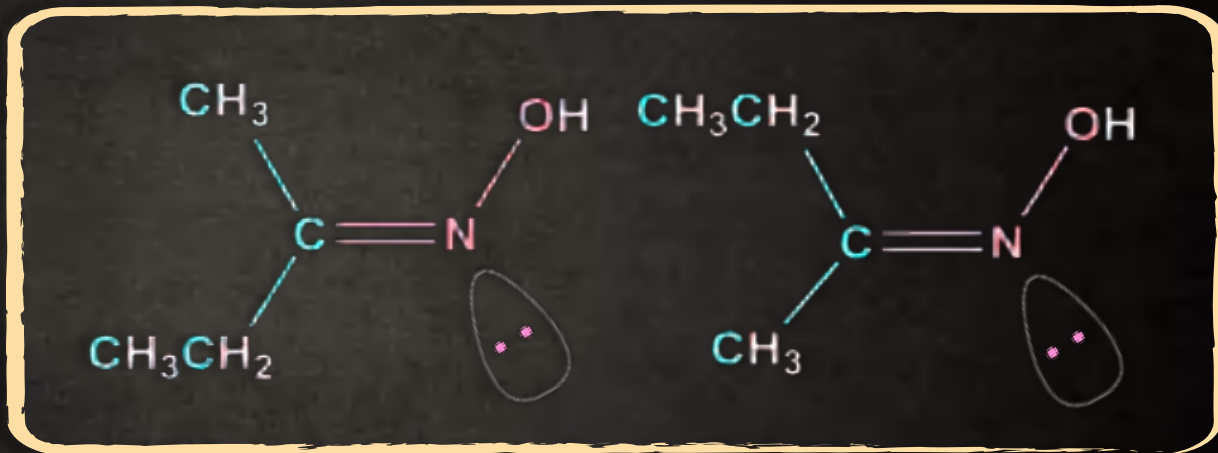
If -H and -OH are  
on opposite side



**Anti**

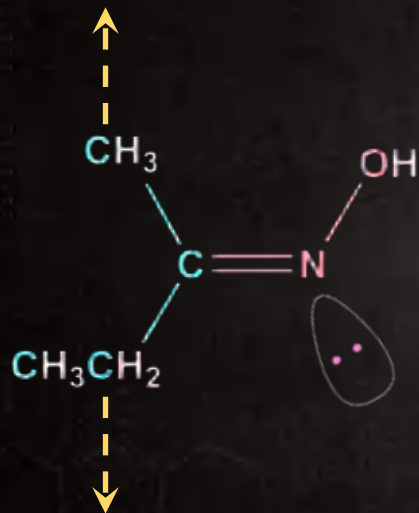
# Syn-Anti Configuration

## Example



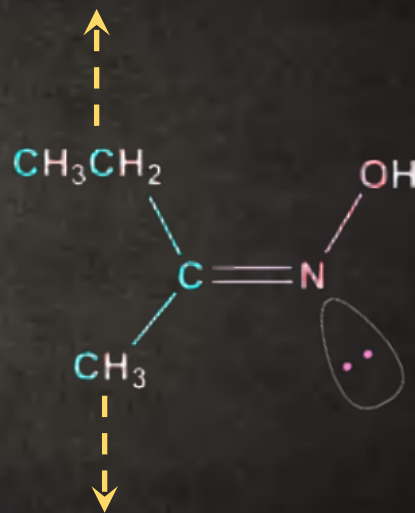
# Syn-Anti Configuration

Same side  
of -OH -  $\rightarrow$  **Syn**-Methyl ethyl ketoxime



Opposite  
to -OH -  $\rightarrow$  **Anti**-Ethyl methyl ketoxime

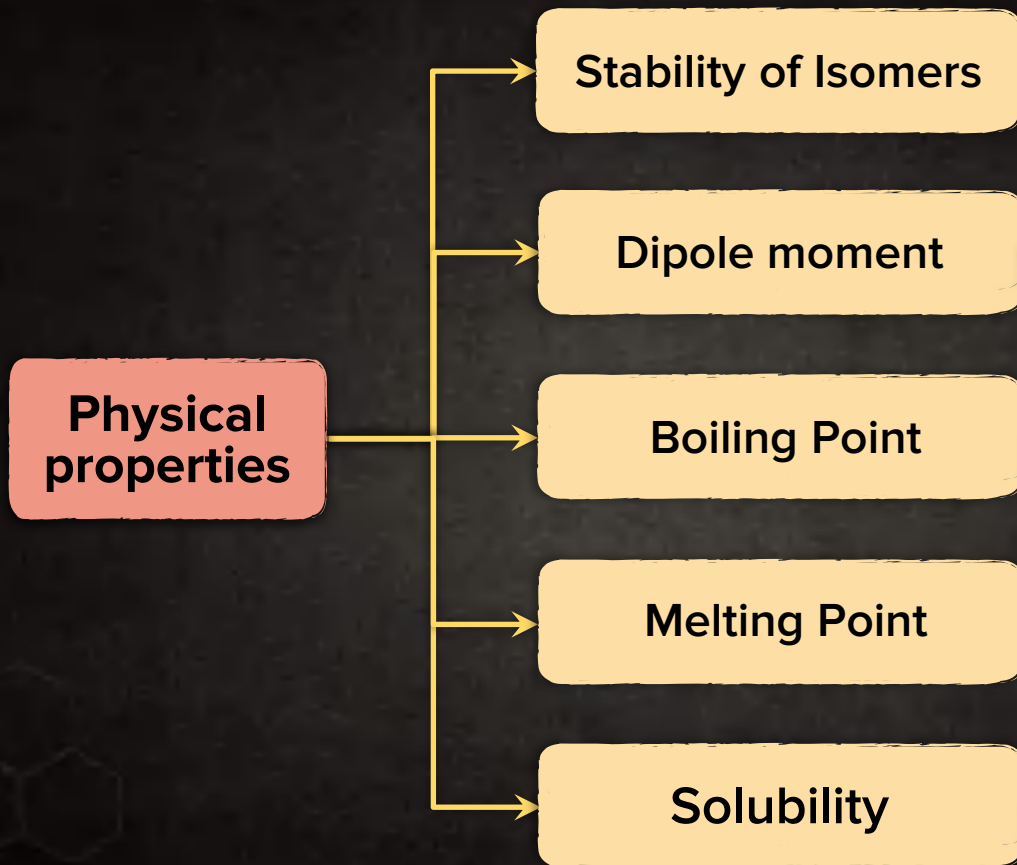
Same side  
of -OH -  $\rightarrow$  **Syn**-Ethyl methyl ketoxime



Opposite  
to -OH -  $\rightarrow$  **Anti**-Methyl ethyl ketoxime



# **Physical Properties of Geometrical Isomers**



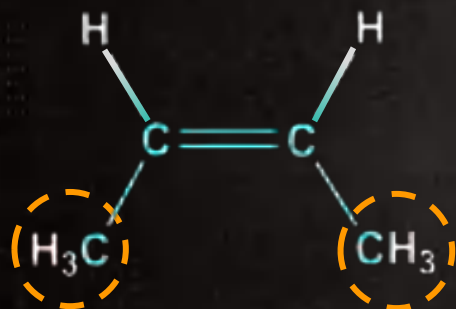
# Stability of Geometrical Isomers

Stability

$\propto$

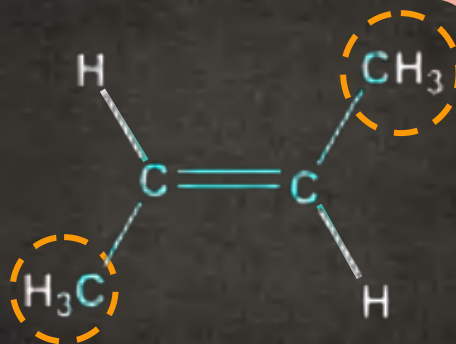
1

Steric Hindrance



cis-But-2-ene

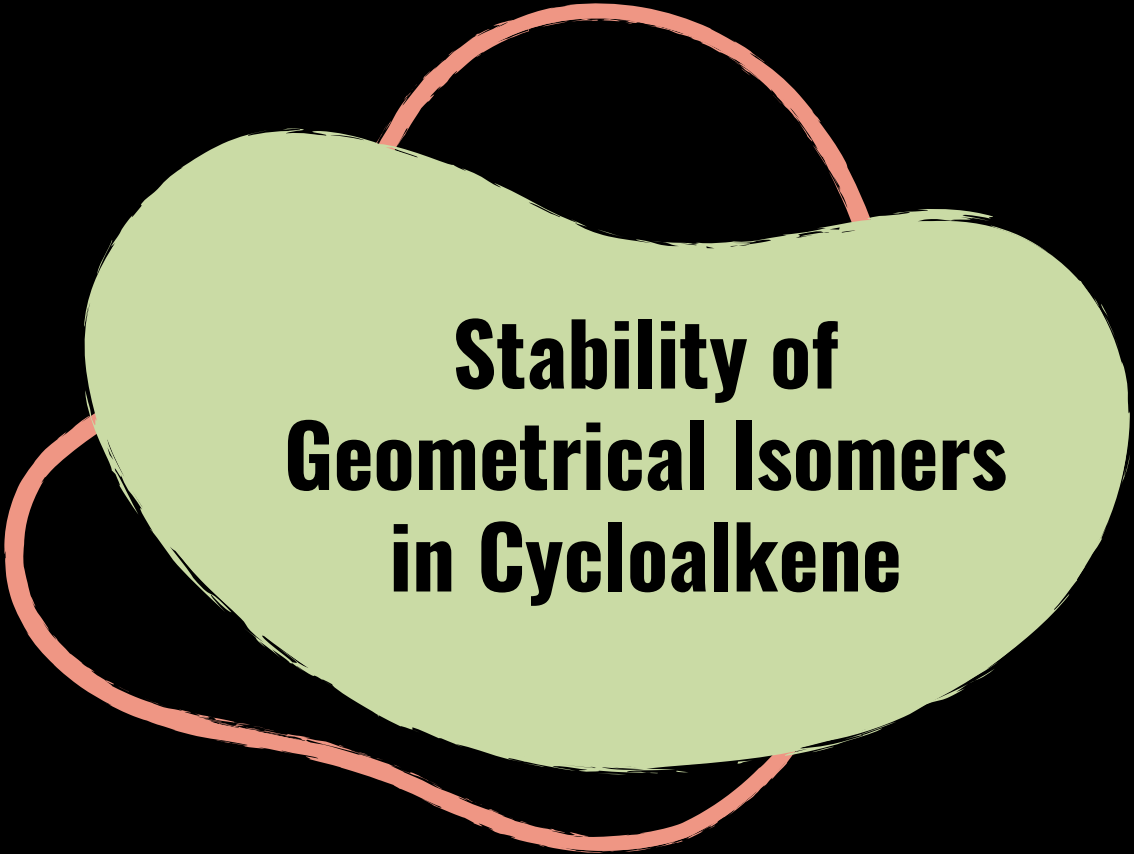
**Higher** steric  
repulsion



trans-But-2-ene

**Lesser** steric  
repulsion

In general, **trans** isomer is **more stable than cis** isomer due to **lesser steric repulsions**.



# **Stability of Geometrical Isomers in Cycloalkene**



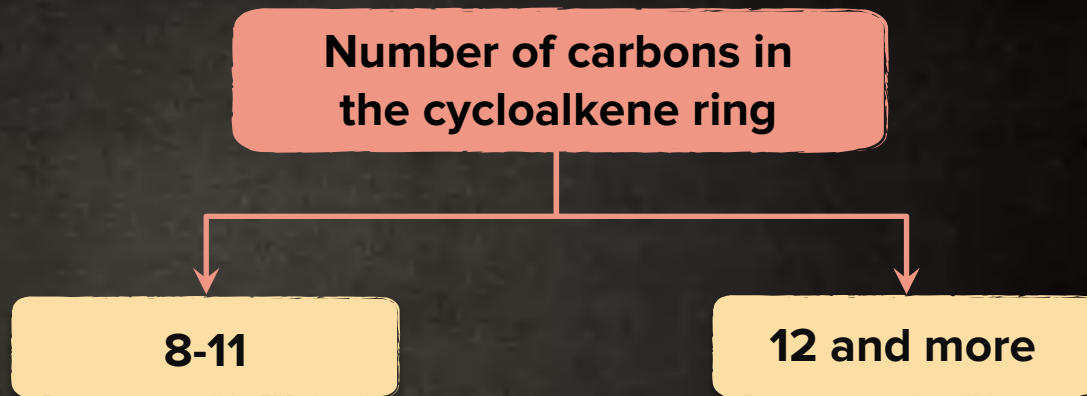
## G.I. in Cycloalkenes

Cycloalkenes with **3 to 7 carbons** in the ring exist only in cis form, as trans form is unstable due to **angle strain**.

Cycloalkenes with 8 or more carbons in the ring exhibit **Geometrical Isomerism**.



# Stability of Geometrical Isomers in Cycloalkenes





# Stability of Geometrical Isomers

8-11 membered cycloalkenes  
show **cis-trans isomerism**



But, **cis isomers** are **more stable**  
for 8-11 membered cycloalkenes

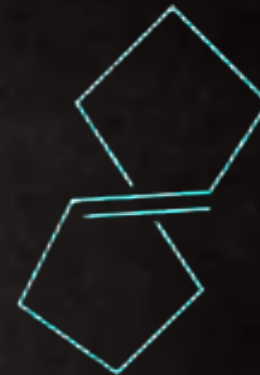
# Stability of Geometrical Isomers

Example



cis-Octene

More stable



trans-Octene

Less stable



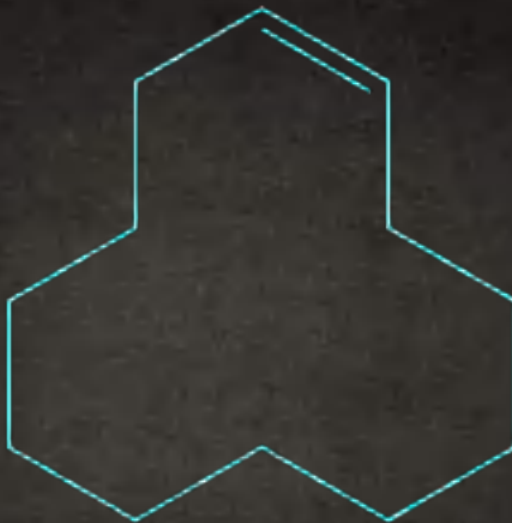
# Stability of Geometrical Isomers

For 12-membered cycloalkenes and onwards, **trans isomers** are **more stable** than their **cis isomers**.

Because then cycloalkenes are large enough to form trans isomer easily due to flexibility (and we know that trans form are generally more stable)

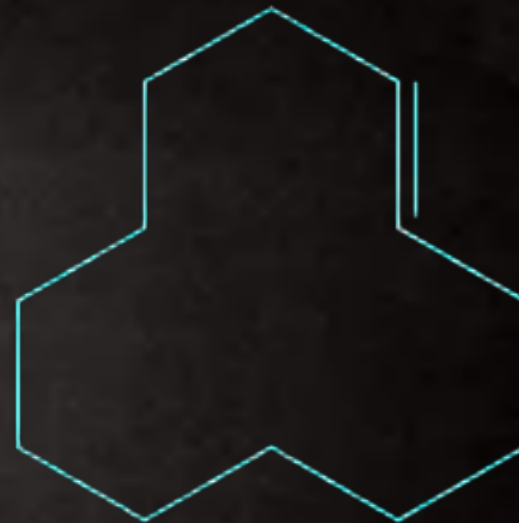
# Stability of Geometrical Isomers

Example



**cis**-Cyclododecene

Less stable



**trans**-Cyclododecene

More stable

# In a Nutshell!

Number of carbons in  
the cycloalkene ring

Less than 8

trans form  
does not exist

8-11

cis form

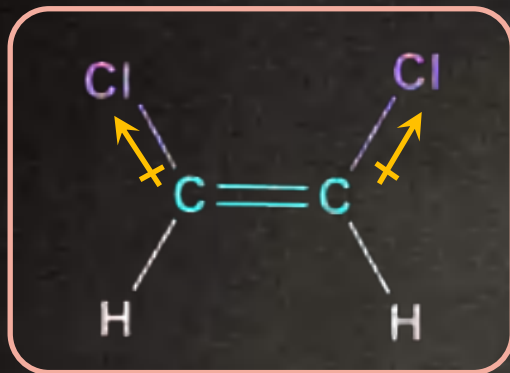
More Stable

12 and more

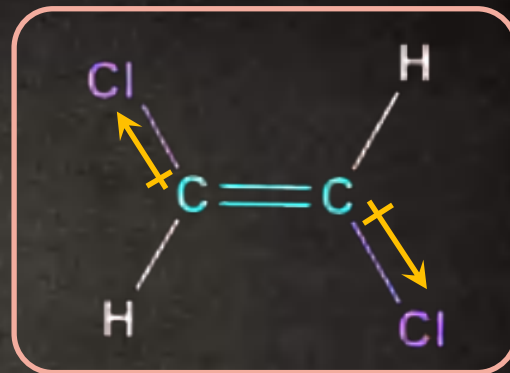
trans form

More Stable

# Dipole Moment of Geometrical Isomers


 $\mu_{\text{net}}$ 
 $\neq$ 

0


 $\mu_{\text{net}}$ 
 $=$ 

0

So here, dipole moment of **cis isomer**  
is more than that of trans isomer.

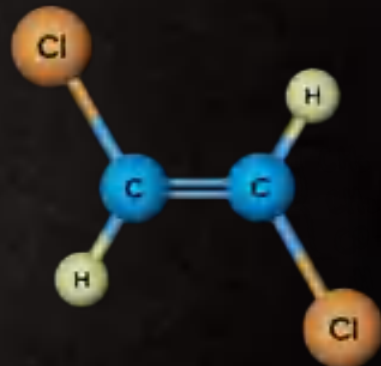
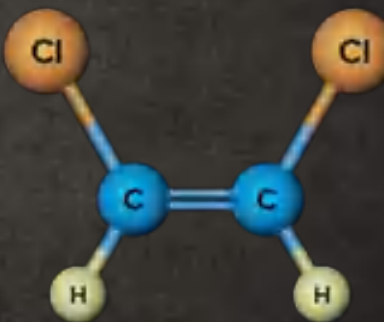
# Boiling Point of Geometrical Isomers

Generally, molecules with **higher dipole moments** have **higher intermolecular forces of attraction**.

Boiling  
point

$\propto$

Dipole  
moment

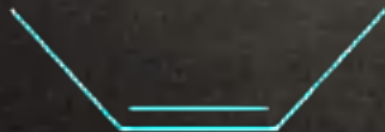


# Melting Point of Geometrical Isomers

Melting  
point

$\propto$

Packing

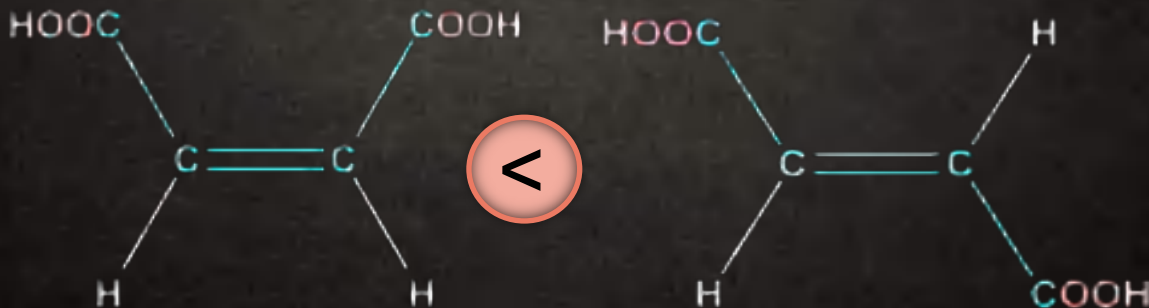


cis-But-2-ene

trans-But-2-ene

# Melting Point

In general, the **trans** isomer has a **higher melting point than the cis** isomer.



cis form

trans form

# Solubility

**Like dissolves like**



**Polar compound is  
soluble in polar solvent.**

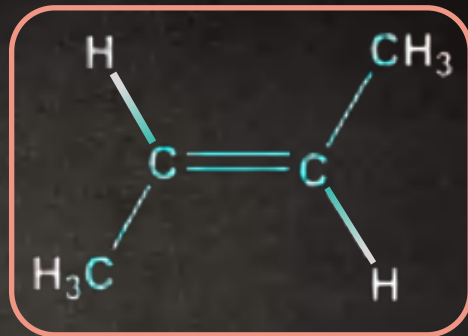
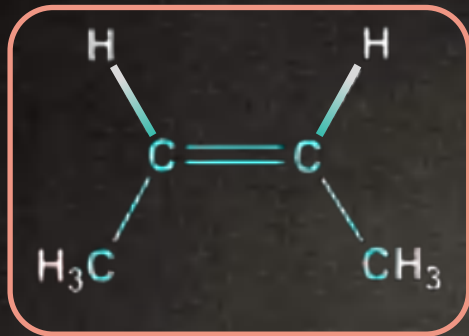
Generally,

**Solubility  
in water**

$\propto$

**Dipole  
moment**

# Solubility in Water



$\mu_{\text{cis}}$

$>$

$\mu_{\text{trans}}$

Solubility of  
cis isomer

$>$

Solubility of  
trans isomer



## Calculation of the Number of Geometrical Isomers

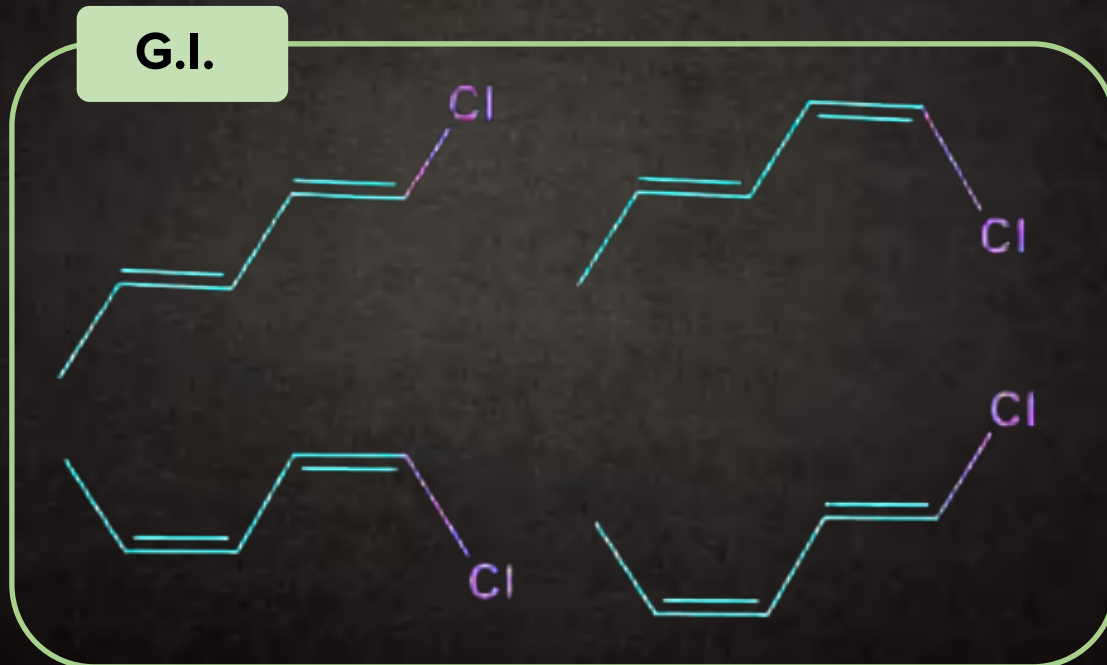
Different  
terminal groups

Same terminal  
groups

# Calculation of the Number of G.I.



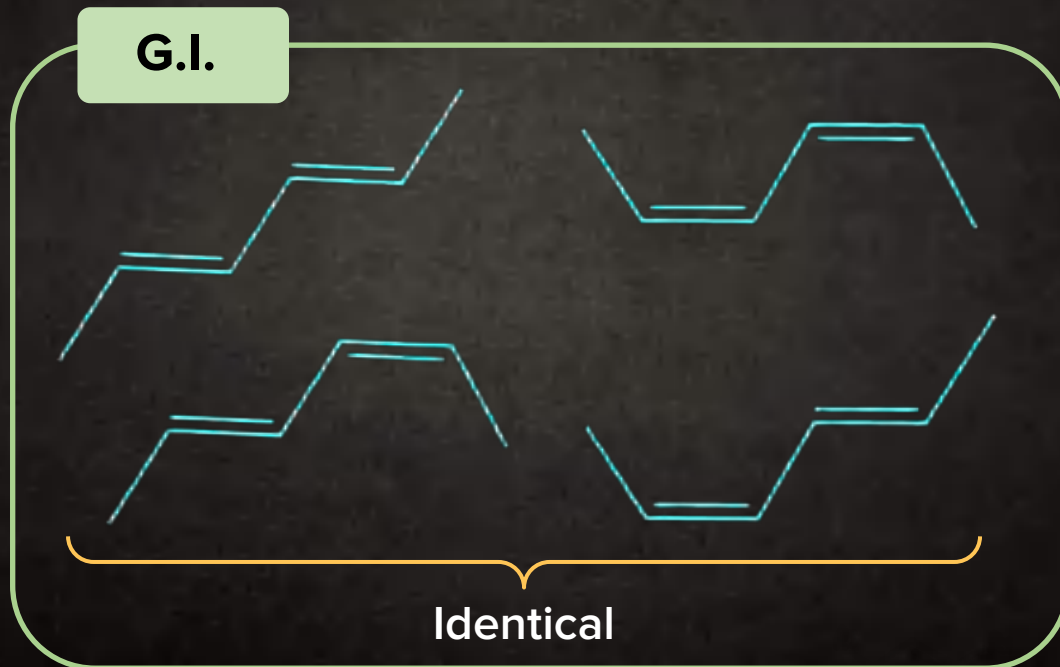
**G.I.**



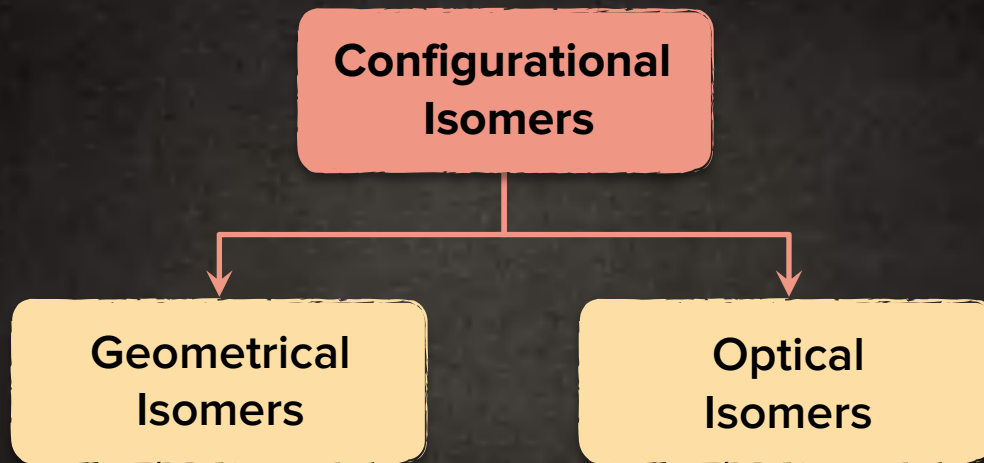
# Calculation of the Number of G.I.



**G.I.**



# Configurational Isomers



# Optical Isomers

Optical  
Isomers





# Optical Isomers

Stereoisomers that  
have **different**  
**behaviour towards**  
**plane polarised**  
**light (PPL).**



# Non-Polarised (Normal) Light

Normal light is an electromagnetic wave, which has oscillation in all the directions **perpendicular to the path of propagation.**

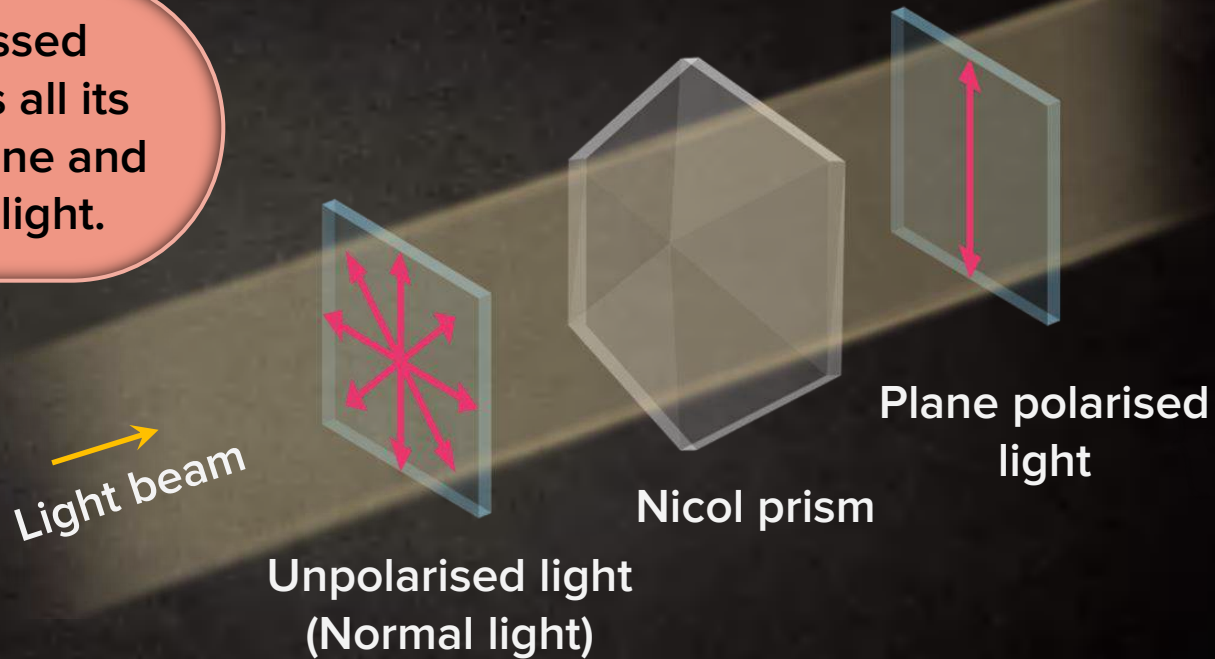


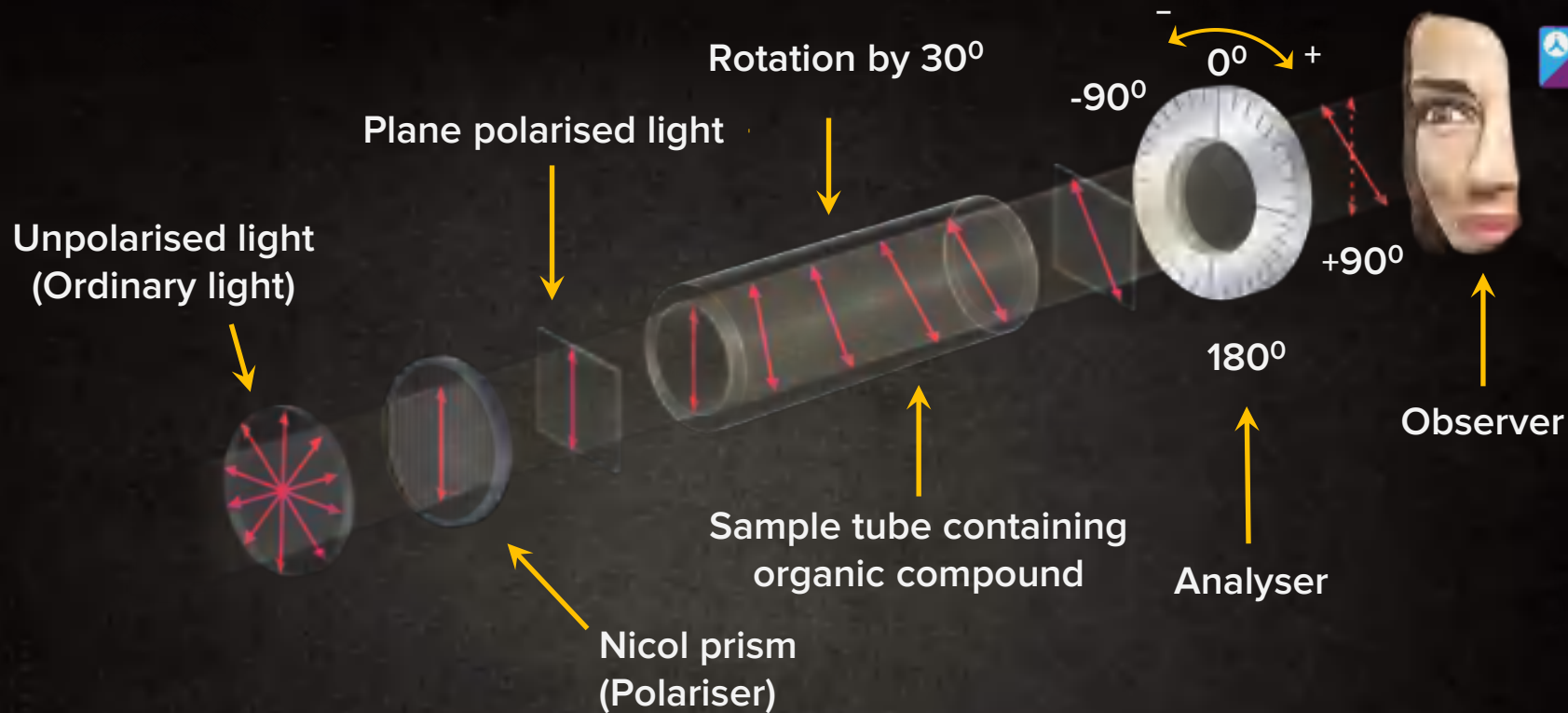
# Nicol Prism (Polariser)

When normal light is passed through **Nicol prism**, it has all its oscillations in the same plane and is called **plane-polarised light**.

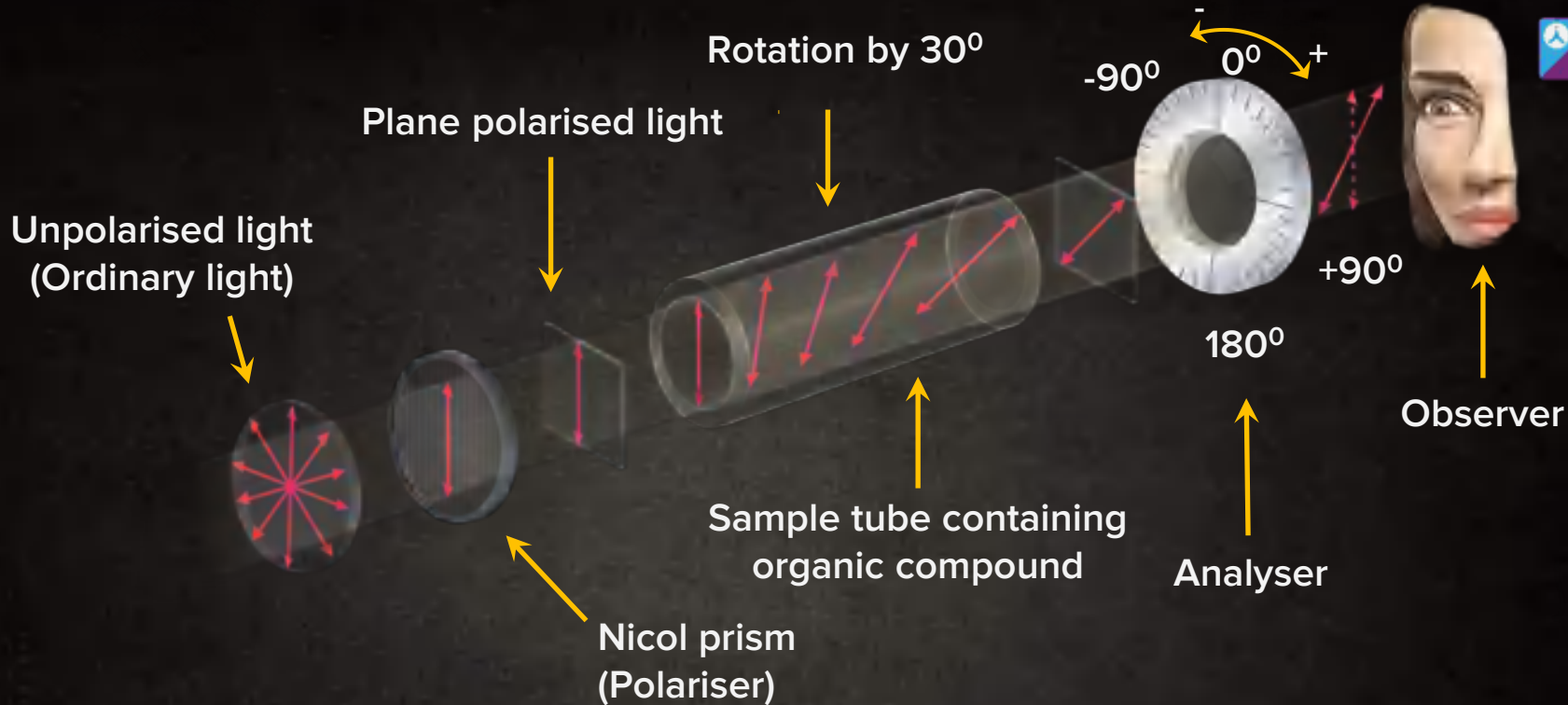
## Polarimeter

An **instrument** that **measures the degree** to which a compound **rotates the plane** of polarisation of light.





The plane polarised light is obtained when ordinary light is passed through the Nicol prism. When the same plane polarised light is passed through the sample containing l-isomer of an optically active compound, it gets deflected in the anticlockwise direction.



The plane polarised light is obtained when ordinary light is passed through the Nicol prism. When the same plane polarised light is passed through the sample containing d-isomer of an optically active compound, it gets deflected in the clockwise direction.



# Optical Activity

Based on **optical activity** or **optical behaviour** towards plane polarised light, we can classify compounds into two categories.

## Optical Behaviour

Optically active  
compounds

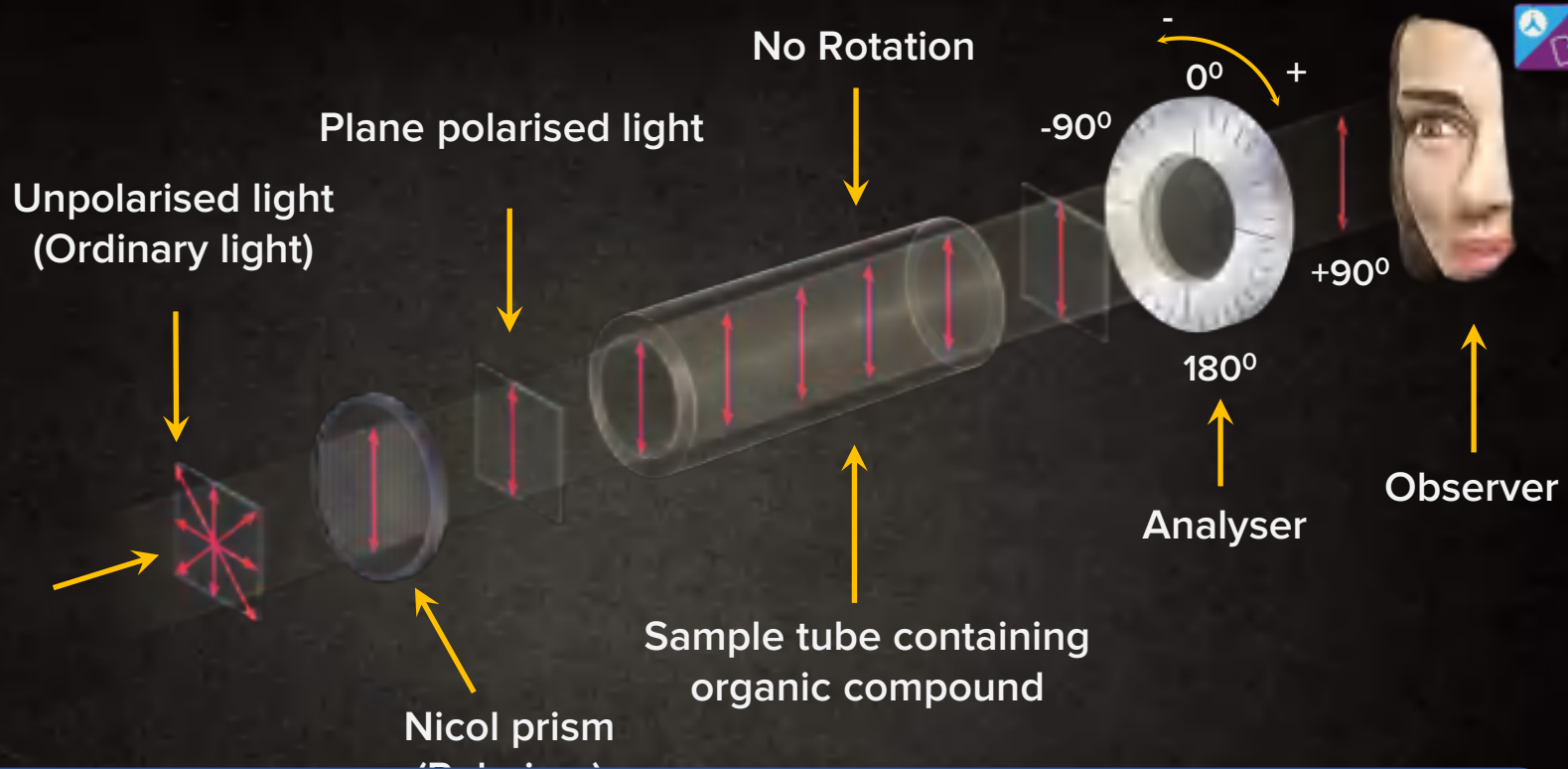
Optically inactive  
compounds



# Optically Active Compounds

Compounds that **rotate the plane of polarised light** in a characteristic way when it is passed through their solutions.

Compounds that **do not rotate the plane of polarised light** in a characteristic way when it is passed through their solutions.

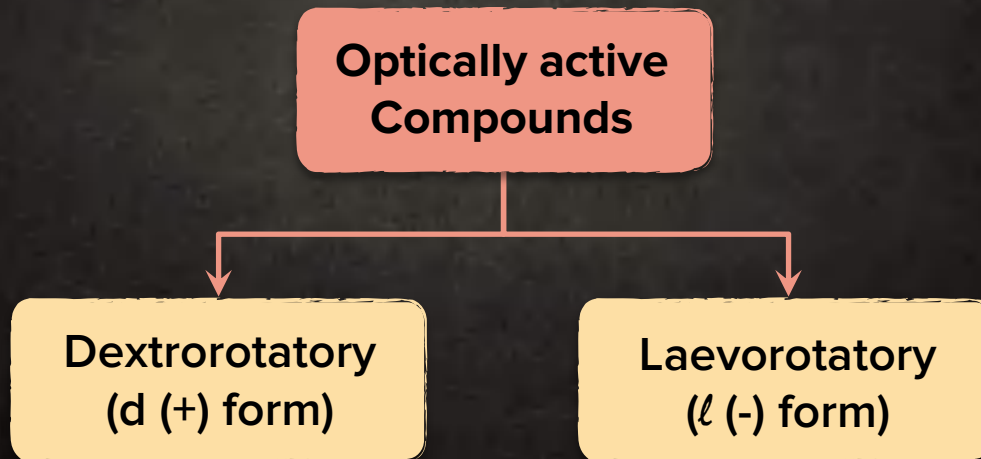


The plane polarised light is obtained when ordinary light is passed through the Nicol prism. When the same plane polarised light is passed through the sample containing an optically inactive compound, it does not get deflected in any direction.



# Optically Active Compounds

Based on the **direction of optical rotation**, optically active compounds can be categorised into **two types**.





## Dextrorotatory and Levorotatory Compounds

If the substance rotates plane-polarised light to **the right (i.e., in clockwise direction)** then it is called dextrorotatory & indicated by **'d' or (+)**.

If the substance rotates plane-polarised light to the **left (i.e., in anti-clockwise direction)** then it is called laevorotatory & indicated by **'l' or (-)**.



# Optically Active Compounds

**d** and **l** forms of a compound are **experimental observations** that cannot be predicted by just looking at the structure of the molecule.



# Optical Activity

van't Hoff and Le Bel proposed that all the four valencies of carbon are directed towards the four **corners** of a regular tetrahedron.

If **all the four substituents** attached to such a carbon **are different**, the resulting molecule lack symmetry and such a molecule is referred to as an **asymmetric molecule**.

**Asymmetry** of the molecule is responsible for the **optical activity** in such organic compounds.



# Factors Affecting Rotation of PPL

The amount of rotation ( $\theta$ ) **is not constant** for a given optically active compound.

$\theta$  depends on

Length of sample vessel

Temperature

Solvent

Concentration (for solutions)

Pressure (for gases)

Wavelength of light



# Factors Affecting Rotation of PPL

The length of the vessel and concentration or pressure determine the **number of molecules** in the path of the beam.

Rotations determined for a particular compound under the same conditions are **identical**.



## Specific Rotation $[\alpha]$

In order to place measured rotations on a **standard basis**, chemists calculate a quantity called specific rotation,  $[\alpha]$ .

Specific rotation is the **number of degrees of rotation** observed if a 1 dm (10 cm) tube is used and the compound has concentration **1 g/mL**.

$$[\alpha]_t^\lambda = \frac{\theta}{\ell \times C}$$

$[\alpha]$  = Specific rotation  
 $\ell$  = Path length (dm)  
 $\lambda$  = wavelength (nm)  
 $\theta$  = observed angle of rotation (degree)  
 $C$  = concentration (g/mL)  
 $t$  = temperature (25°C)



## Note

Specific rotation of a compound is **independent** of the **length** of tube and **concentration** of the solution.

Since optical rotatory power is a **property of molecules**, if two substances have **unequal** molecular weights but are alike with respect to the power of rotating the plane of polarized light.

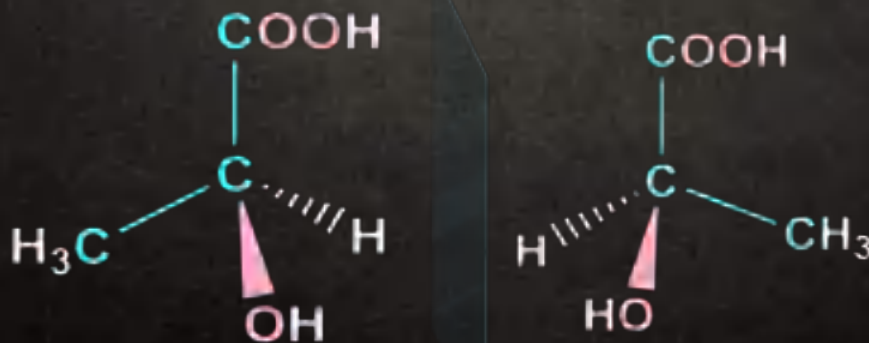


The substance of smaller molecular weight has the larger specific rotation, simply because it has **more molecules per unit weight**.

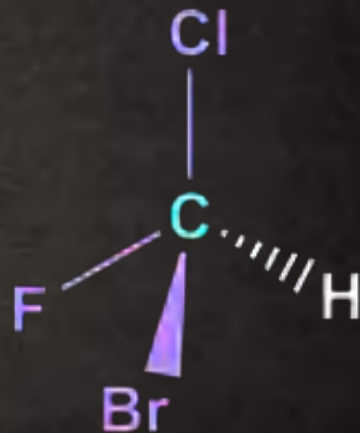
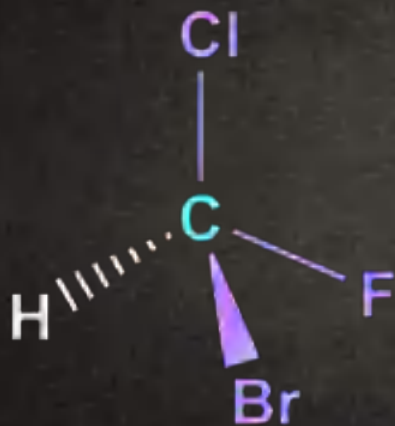
# Optically Active Compounds

Ultimate criterion

A compound which is **non-superimposable** to its mirror image is chiral.

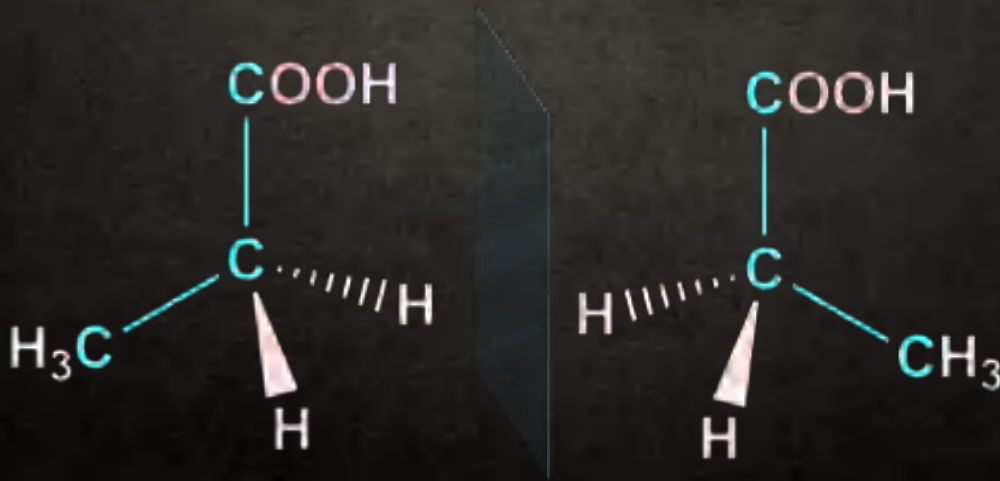


# Examples

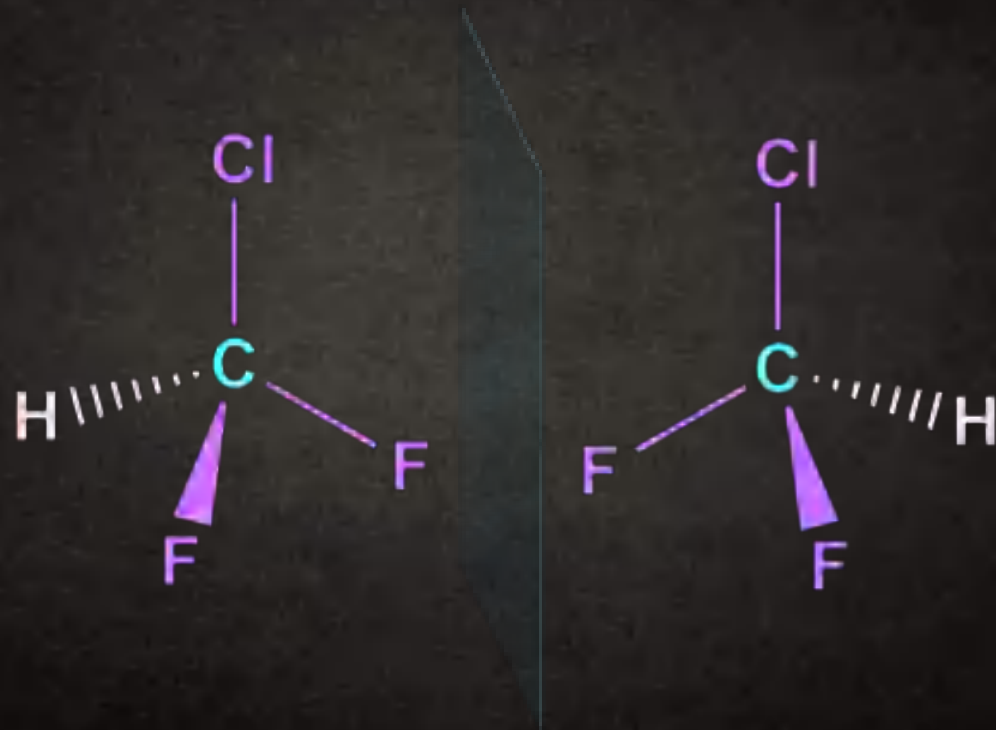


# Optically Inactive Compounds

A compound which is **superimposable** to its mirror image.



# Examples





# Optical Activity

Although the ultimate criterion is non-superimposability on the mirror image (chirality),



Other tests may be used that are **simpler to apply** but **not always accurate**.

## Conditions for Optical Activity:

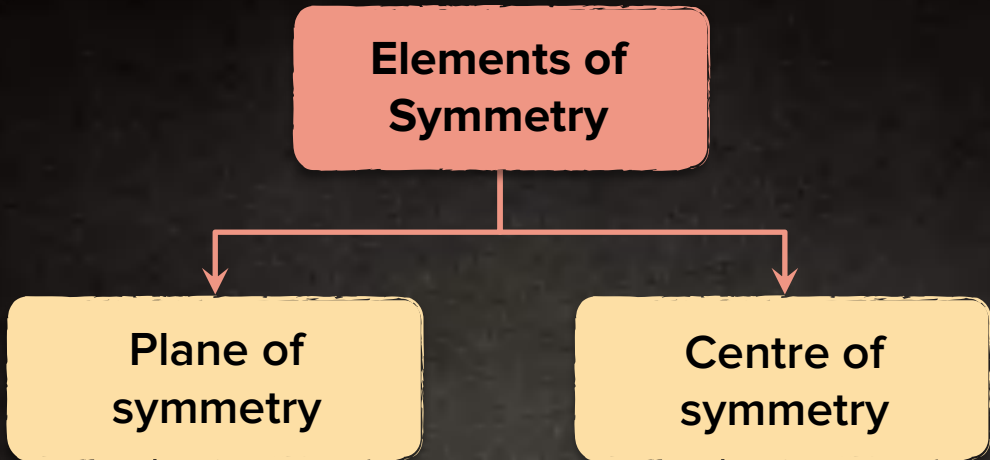
1

Absence of **P**lane of **S**ymmetry (**POS**)

2

Absence of **C**entre of **S**ymmetry (**COS**)

## Elements of Symmetry



```
graph TD; A[Elements of Symmetry] --> B[Plane of symmetry]; A --> C[Centre of symmetry]
```

Plane of symmetry

Centre of symmetry

A plane passing through an object/ molecule such that the part on one side of the plane is the exact reflection of the part on the other side (the **plane acting as a mirror**).



# Optical Activity

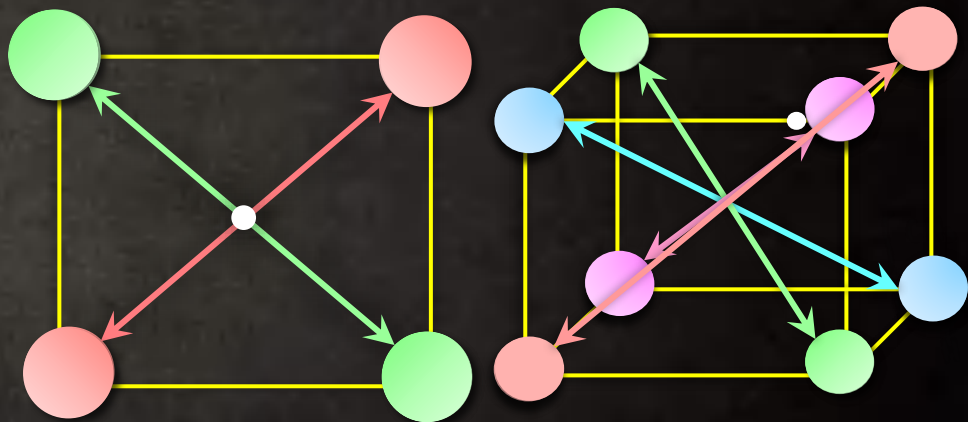
Compounds possessing POS are always **optically inactive**, but there are a few cases known in which compounds lack POS and are **nevertheless inactive**.



Such compounds possess a **centre of symmetry (COS)**

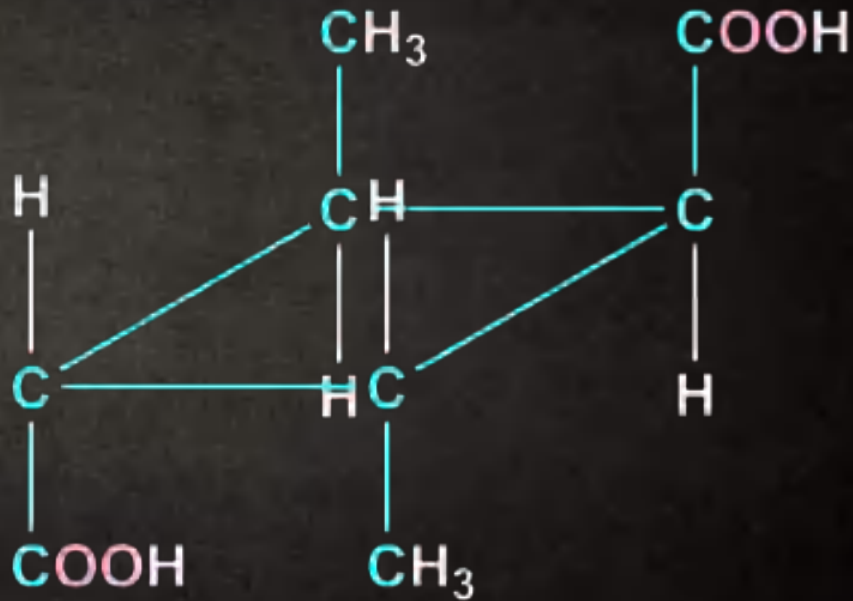
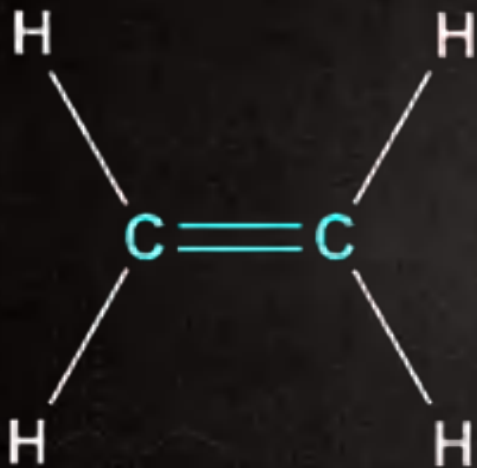
# Centre of Symmetry (COS)

A **centre of symmetry** is a point within an object/compound such that a straight line **drawn from any part or element** of the object to the centre, and extended an equal distance on the other side encounters an **equal part** or element.



Centre of Symmetry

# Centre of Symmetry





# Optically Active Compounds

Generally, if a molecule **does not** possess **POS** and **COS**, it will be **optically active**.



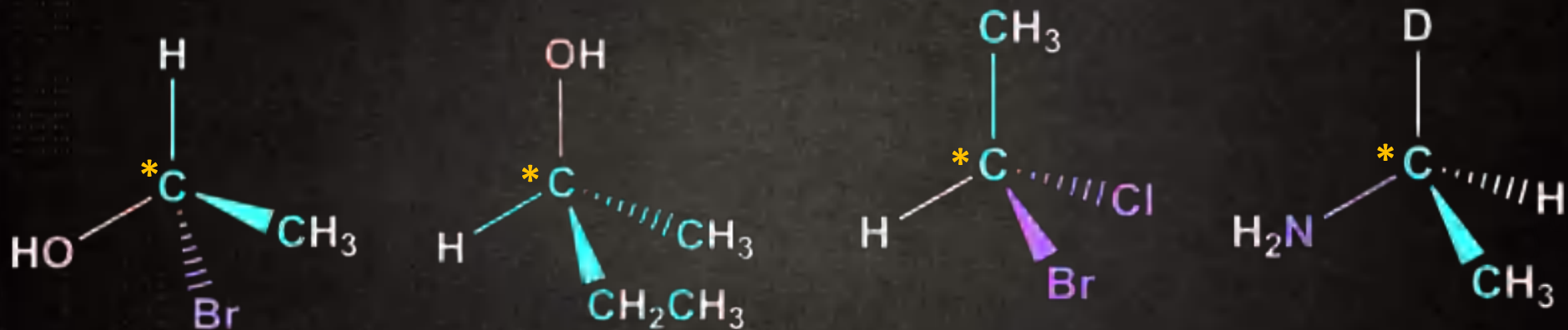
# Chiral Carbon

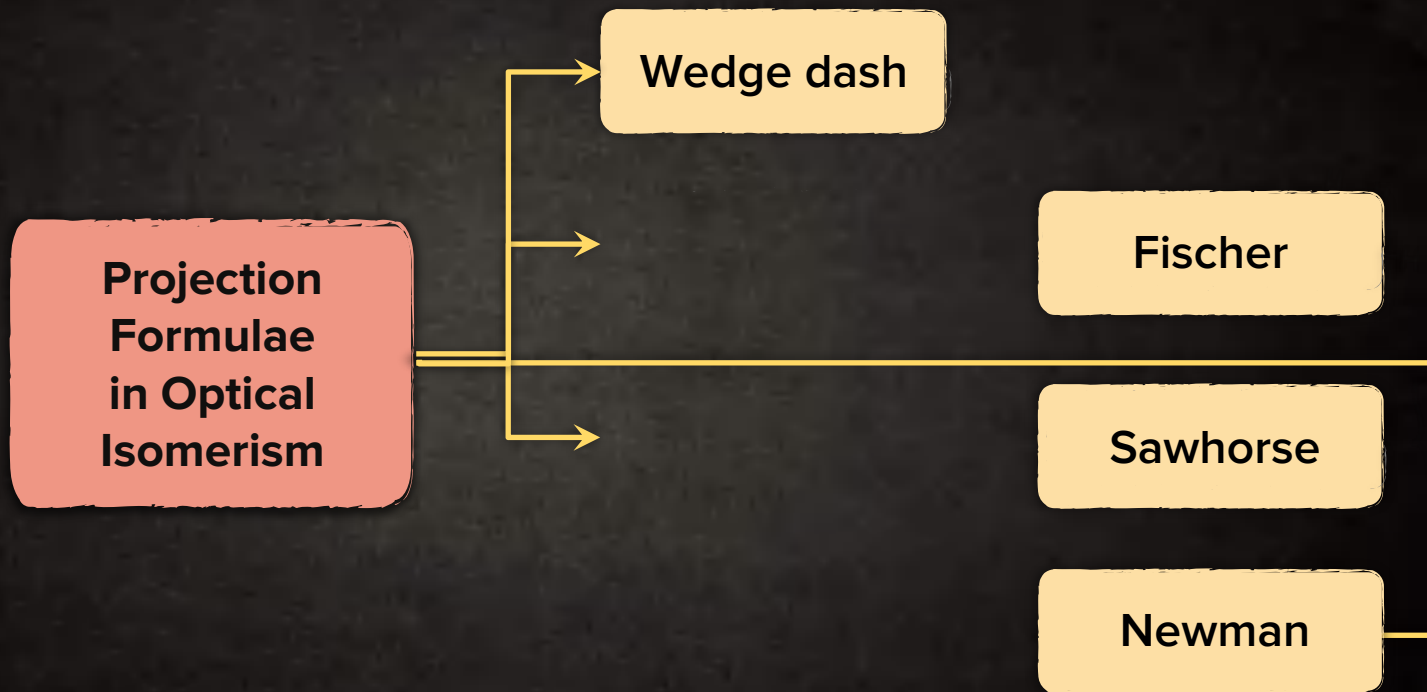
**Chiral carbon/  
Asymmetric  
carbon**

Carbon atom  
connected to **four  
different groups**

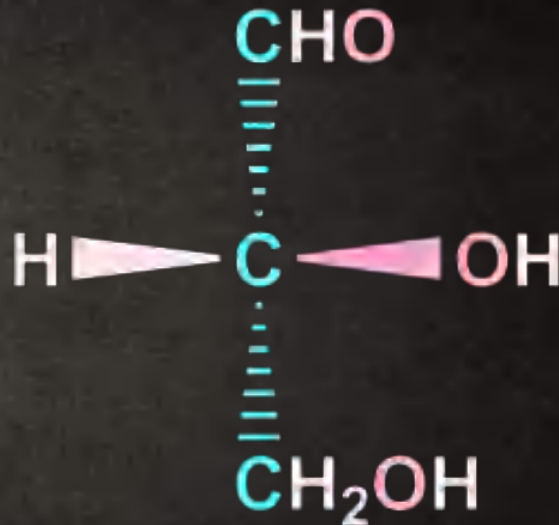
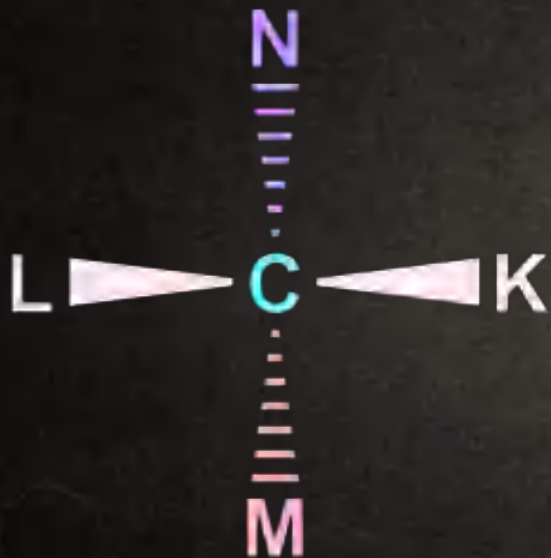
A molecule that contains just  
**one chiral carbon** atom is  
always chiral, and hence  
**optically active.**

# Chiral Carbon





# Wedge-Dash Projection Formula



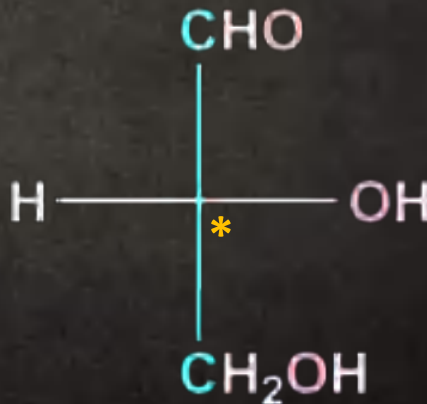


# Rules for Writing Fischer Projection Formula

01

The molecule is drawn in the form of a **cross (+)** with the **chiral carbon at the intersection** of the horizontal and vertical lines.

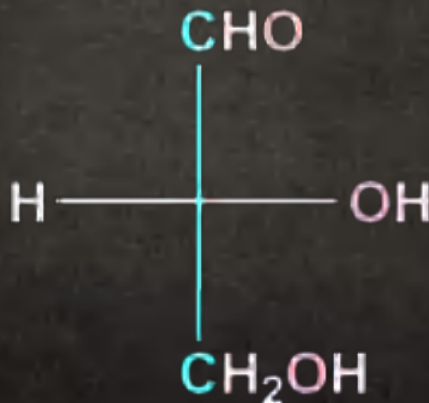
**2D representation**  
of a 3D molecule is  
called **Fischer**  
**Projection.**



# Rules for Writing Fischer Projection Formula

02

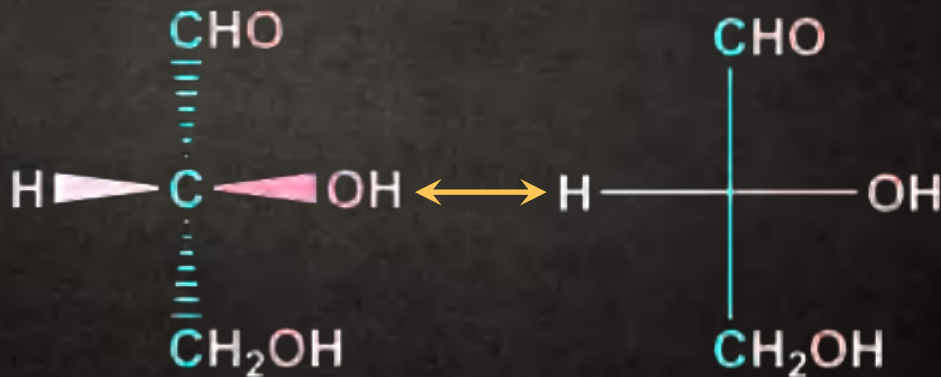
On the **vertical line**, the **main chain** is taken with the first carbon at the top.



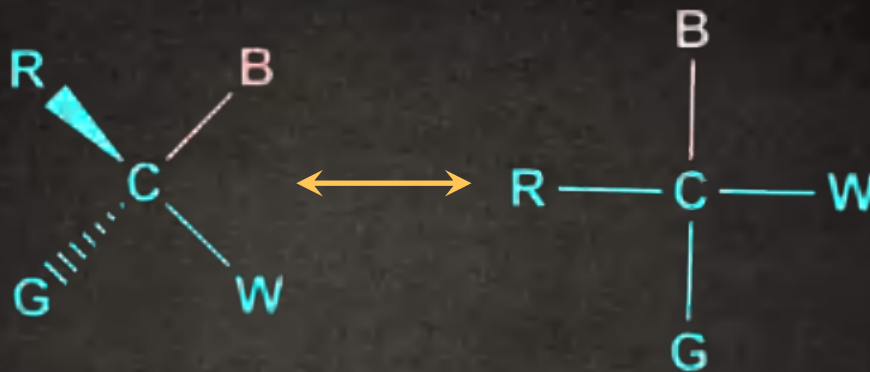
# Rules for Writing Fischer Projection Formula

03

The **horizontal lines** represent the bonds directed **towards the viewer** and the **vertical lines** represent the bonds **away from the viewer**.



# Wedge-Dash into Fischer

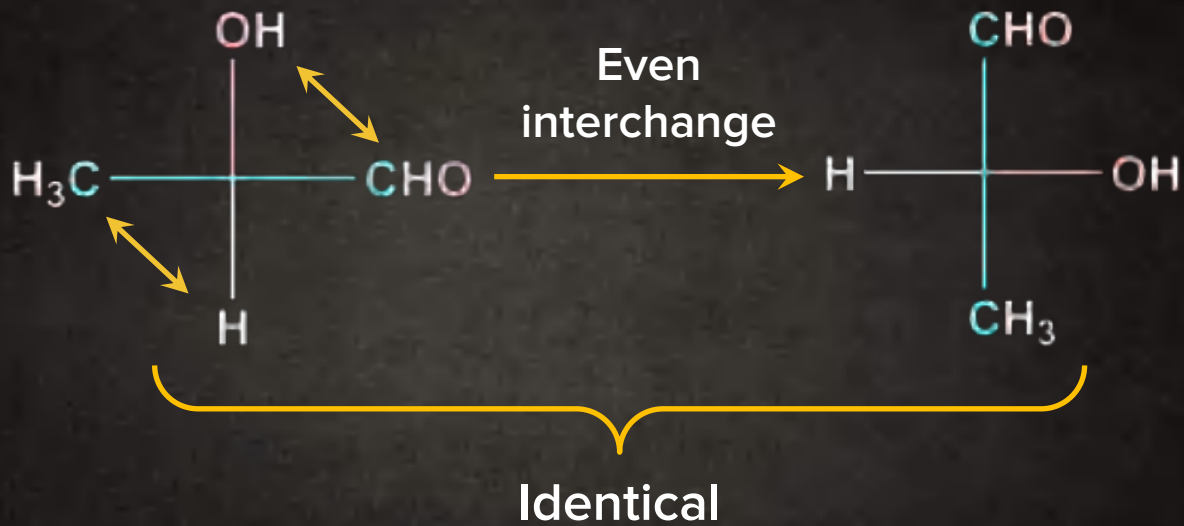


## Note



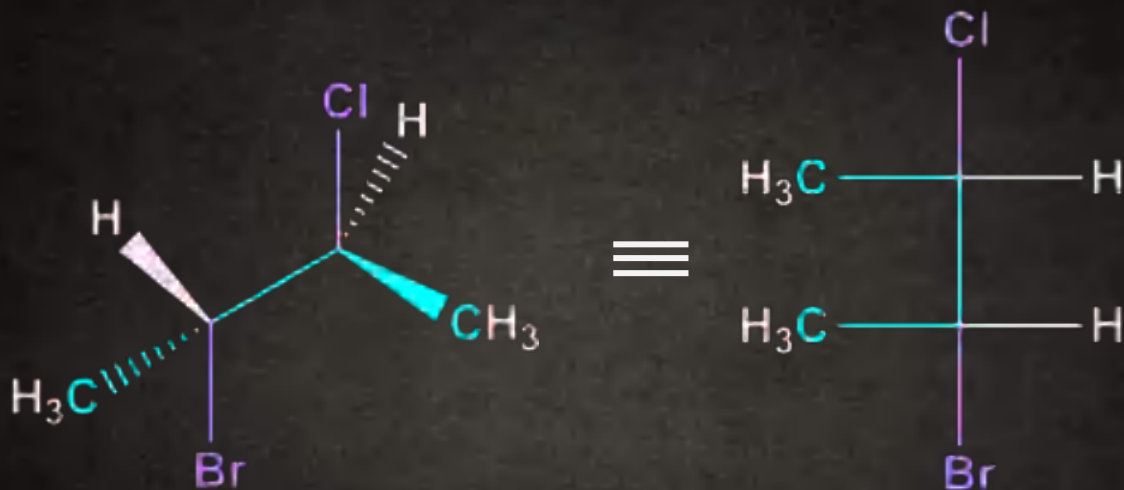
**Odd** interchange gives  
**isomer** of the molecule

## Note



**Even** interchange gives  
**identical** molecule

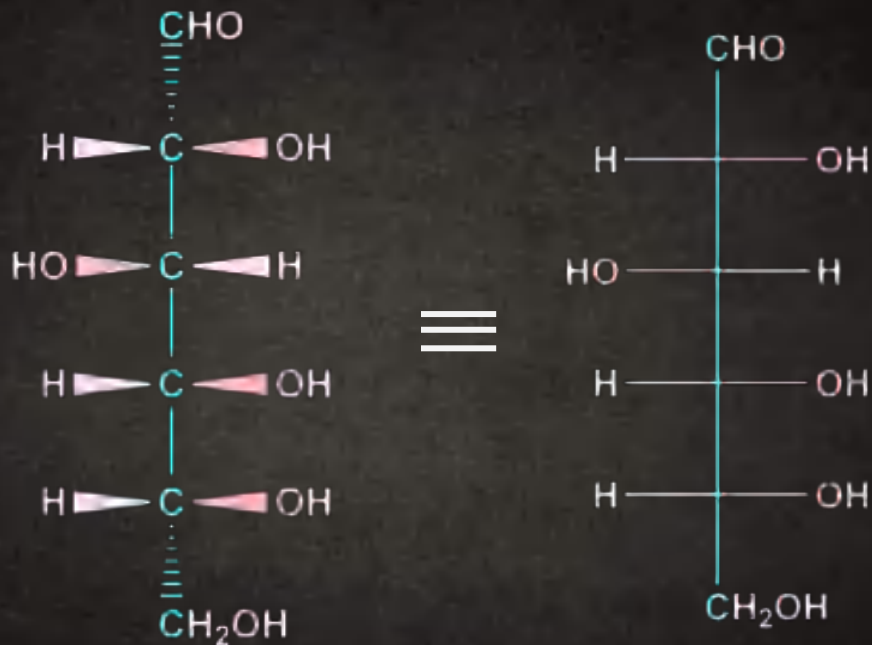
# Wedge-Dash into Fischer



Wedge-dash  
formula

Fischer projection  
formula

# Wedge-Dash into Fischer



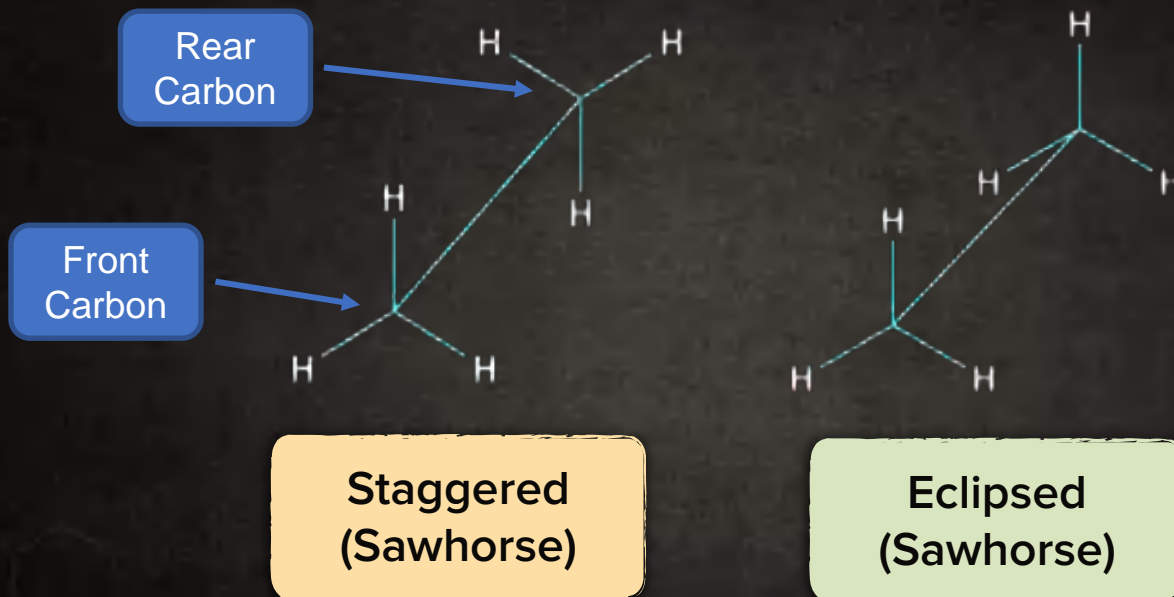
Wedge-dash  
formula

Fischer projection  
formula

## Note

COS **cannot** be  
observed in Fisher  
projection formula.

# Sawhorse Projection Formula



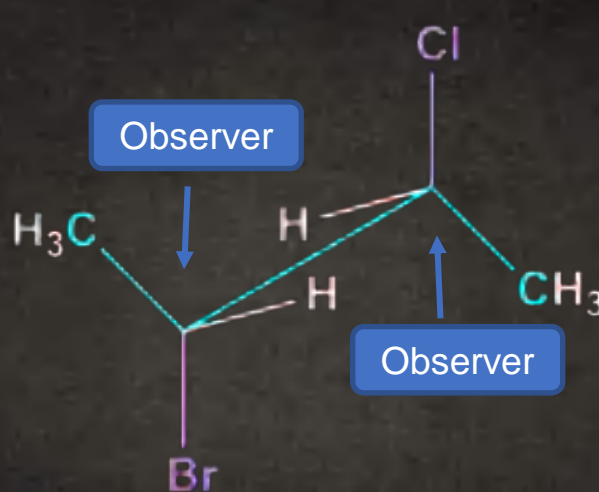


# Sawhorse into Fischer

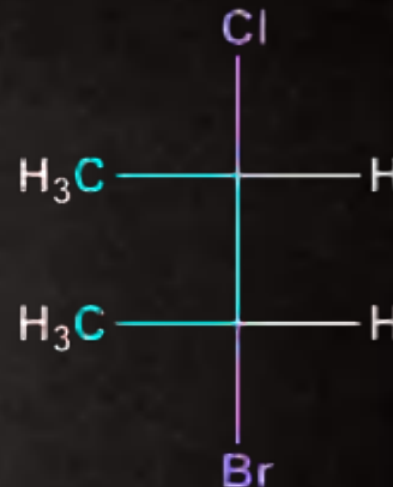
First of all, convert **eclipsed** sawhorse into **staggered** form.

While observing, the **groups towards observer** are kept on **horizontal line** in Fisher projection and the **group going away from observer** are kept at the **vertical line**.

On **horizontal line**, the groups which comes **left** and **right** to the observer are kept on **left** and **right** side respectively in Fisher projection.

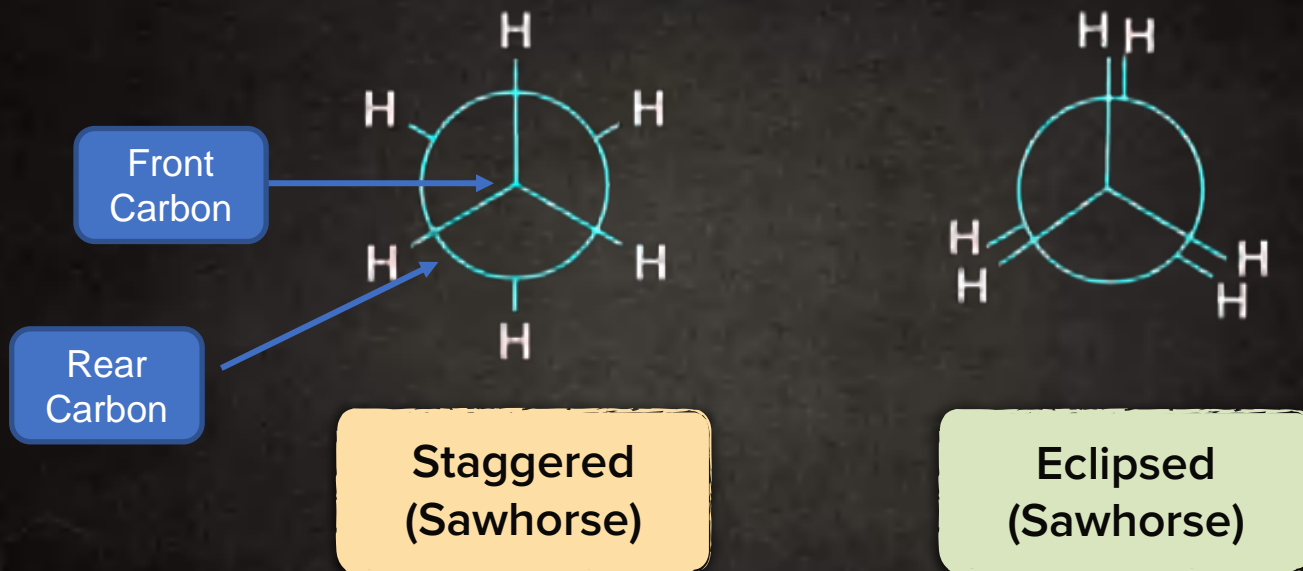


Sawhorse  
formula



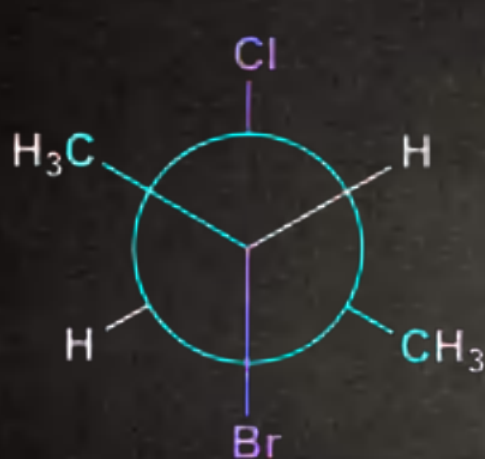
Fischer projection  
formula

# Newman Projection Formula

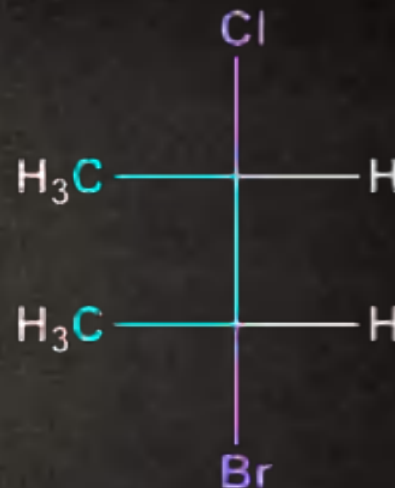


The rear carbon is represented by a circle which is just behind the front carbon

# Newman into Fischer



Newman  
formula



Fischer projection  
formula



# Compounds With Only One Chiral Carbon

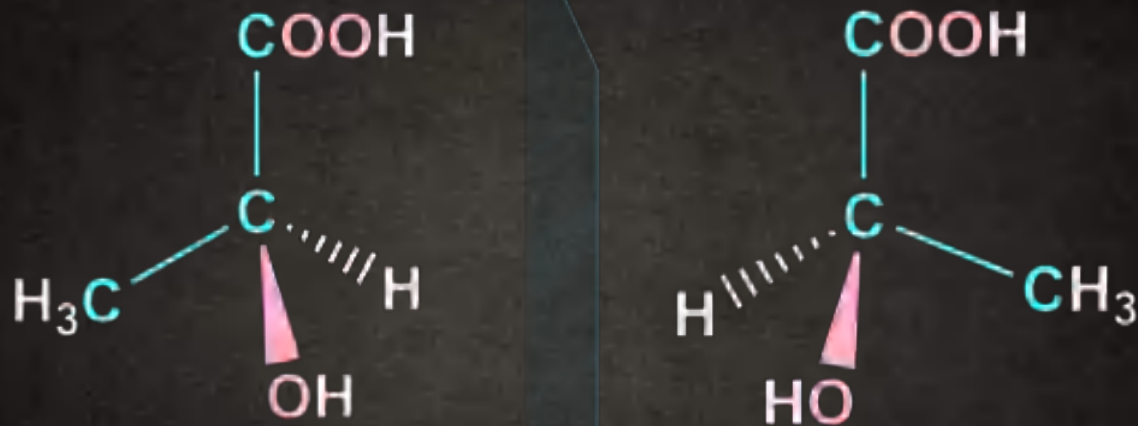
Compounds with only one chiral carbon are **always optically active**.

These molecules have an **optical isomer**.



They are **non-superimposable** mirror images of each other.

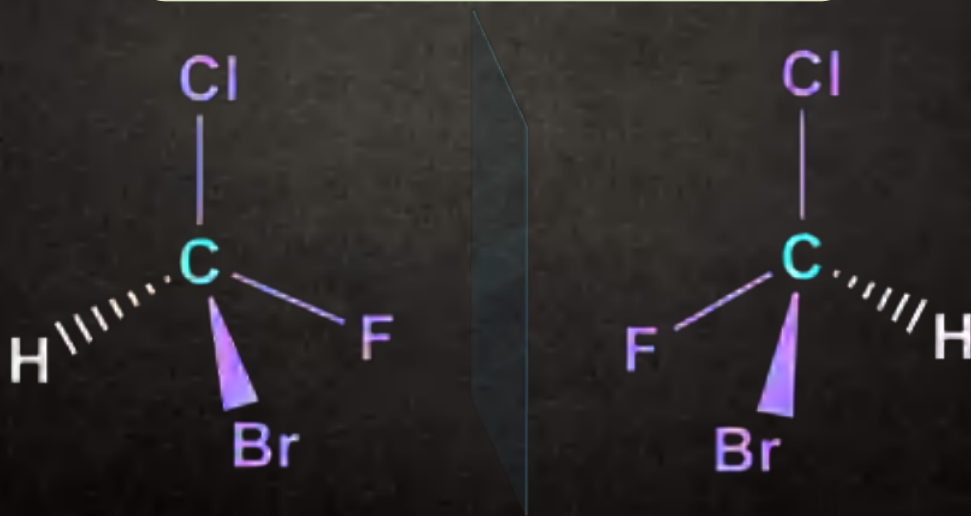
# Chiral Compounds



**Non-superimposable** mirror images

# Enantiomers

Stereoisomers that are **non-superimposable** mirror images of each other.





# Racemic Mixture

A mixture of **equal amounts of enantiomers** is known as a racemic mixture.



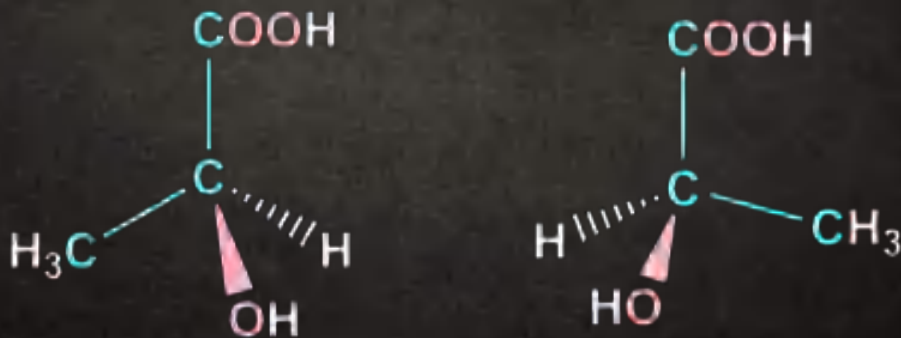
It is always optically **inactive**.

When enantiomers are mixed together in equal amounts, the **rotation caused by** one enantiomer is **exactly cancelled** by an equal and opposite rotation caused by another enantiomer.

A prefix ( **$\pm$** ) is used to specify the racemic nature of the particular sample.

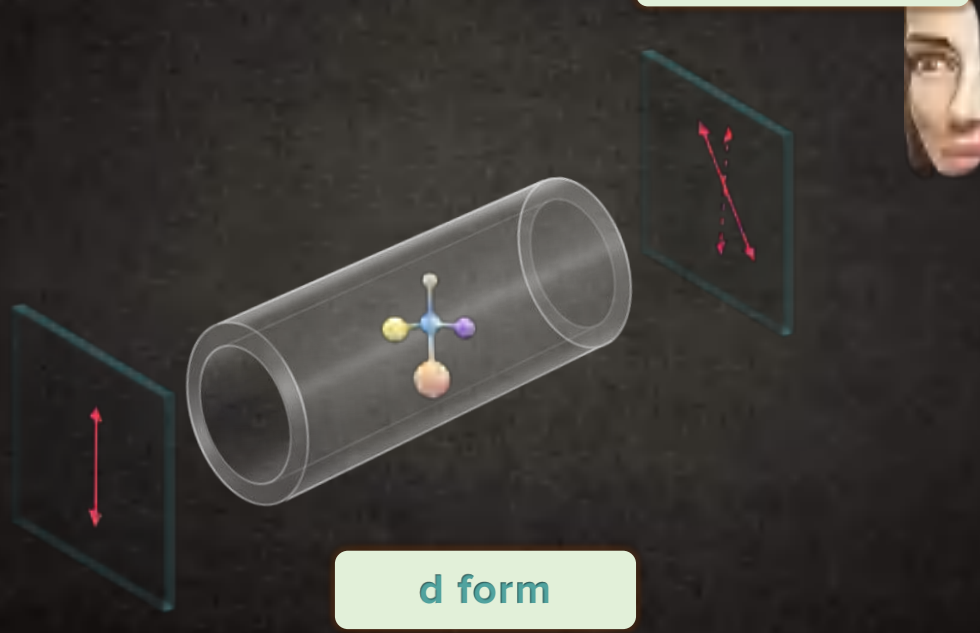
## Example

**Equal amounts** of the *d* and *l* forms of lactic acid in a mixture **counter-balance the rotation** of each other.

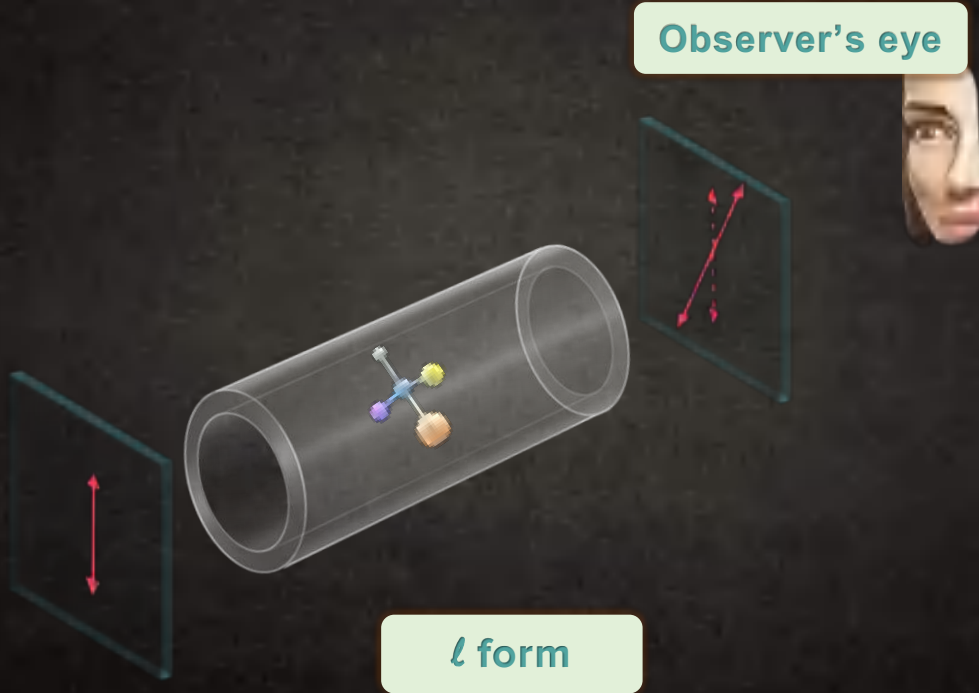


# Clockwise Rotation

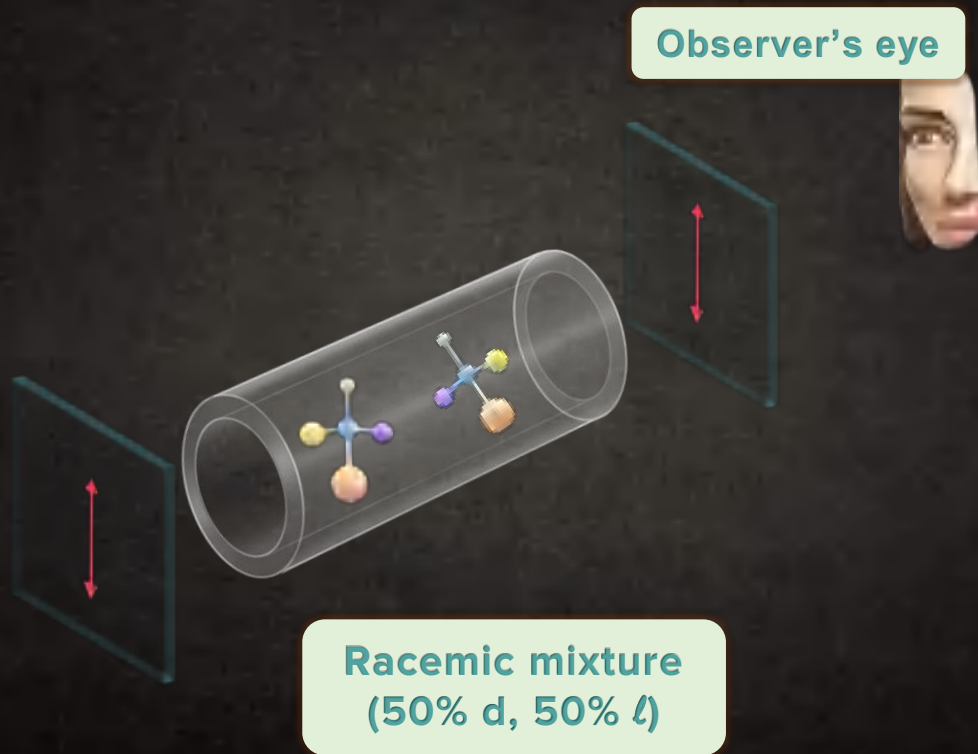
Observer's eye



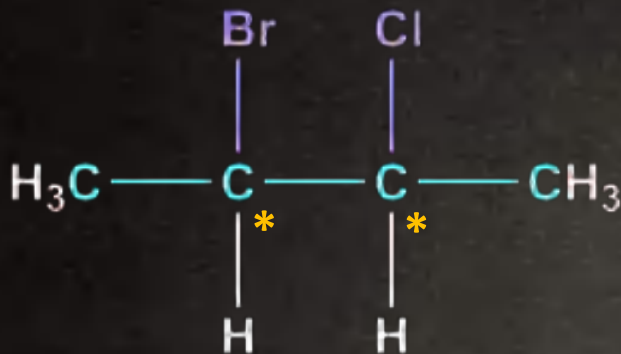
# Anti-clockwise Rotation



# Racemic Mixture



## Check for Chirality



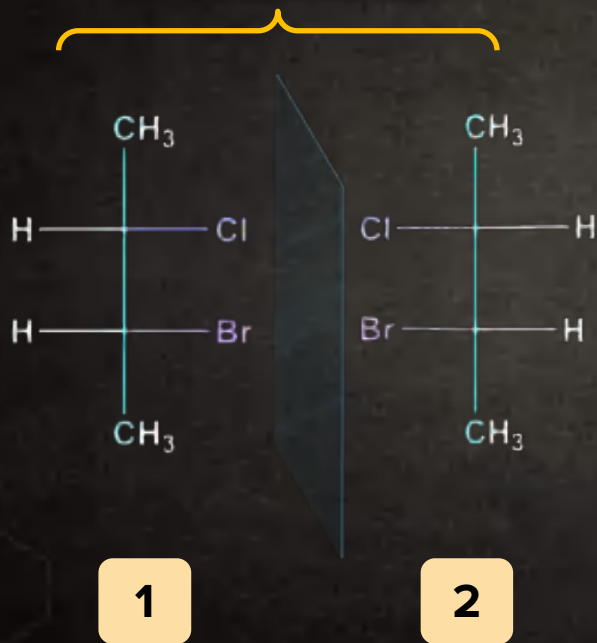
POS is  
absent

**Optically active  
compound**

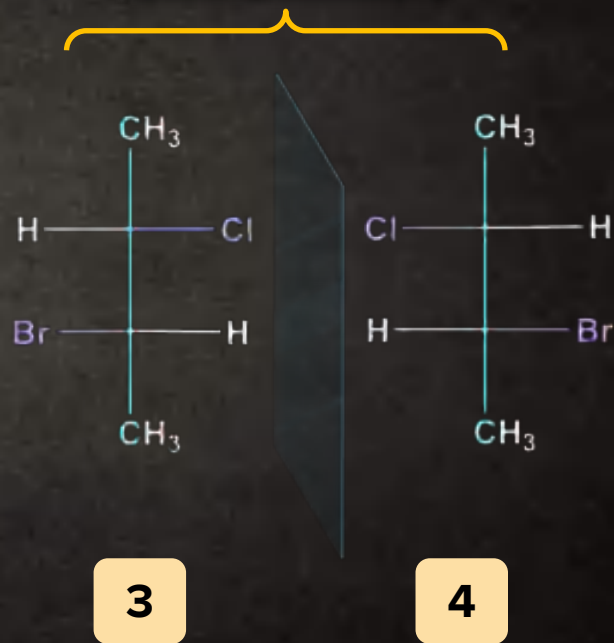
**COS is not checked**  
for chirality in the  
Fischer projection.

# Enantiomers

Enantiomers



Enantiomers

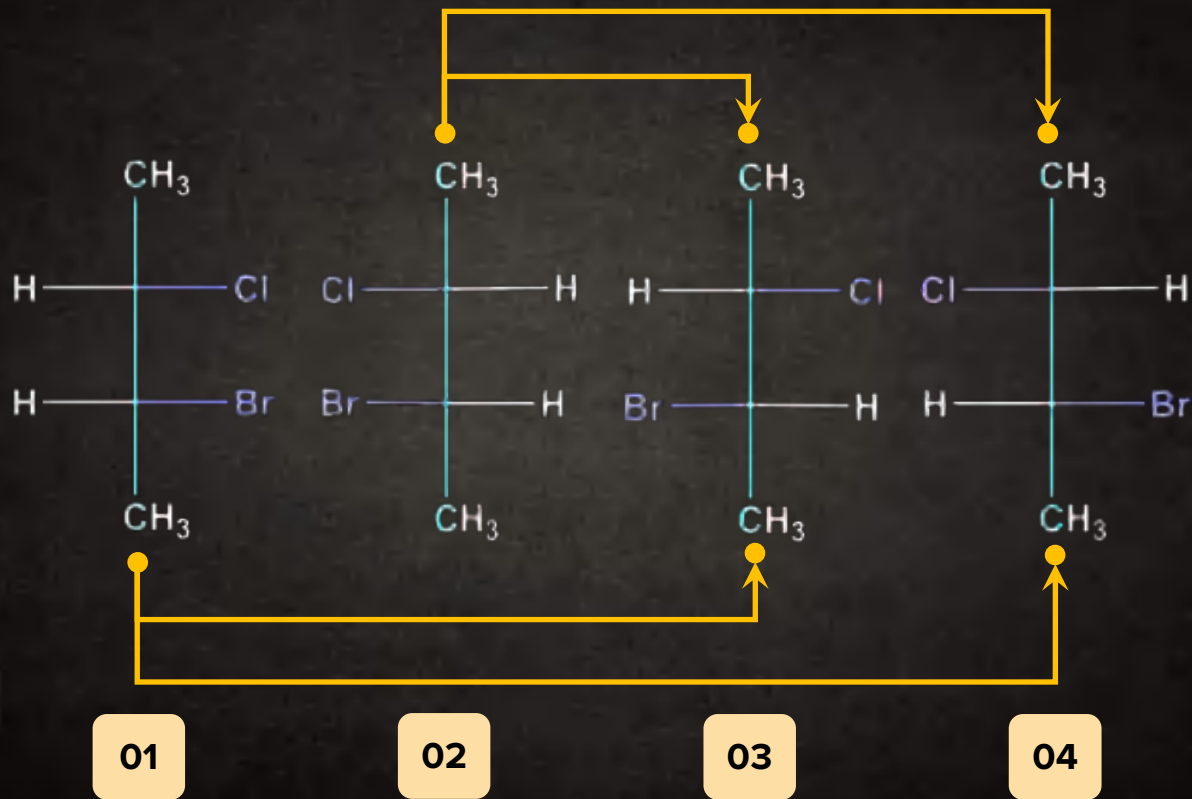




# Diastereomers

Diastereomers are stereoisomers that are **not mirror images** of each other.

# Diastereomers



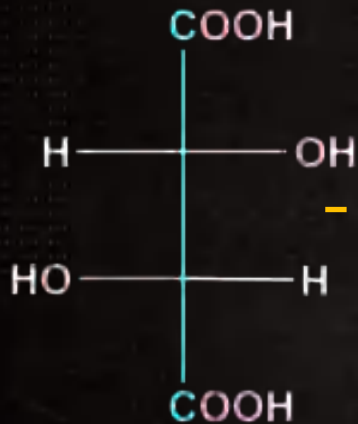


Properties	Enantiomers	Diastereomers
Molecular formula	Same	Same
Structural formula	Same	Same
Stereo chemical formula (structural formula with orientation)	Different	Different
Dipole Moment	Same	Different



Properties	Enantiomers	Diastereomers
Physical properties (M.P., B.P., density, solubility, refractive index, etc.)	Same	Different
Angle of rotation of PPL	Same in magnitude but in different direction	Different

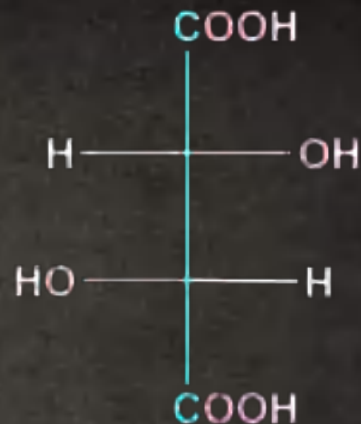
# Check for Chirality



POS is absent



Optically **active**  
compound

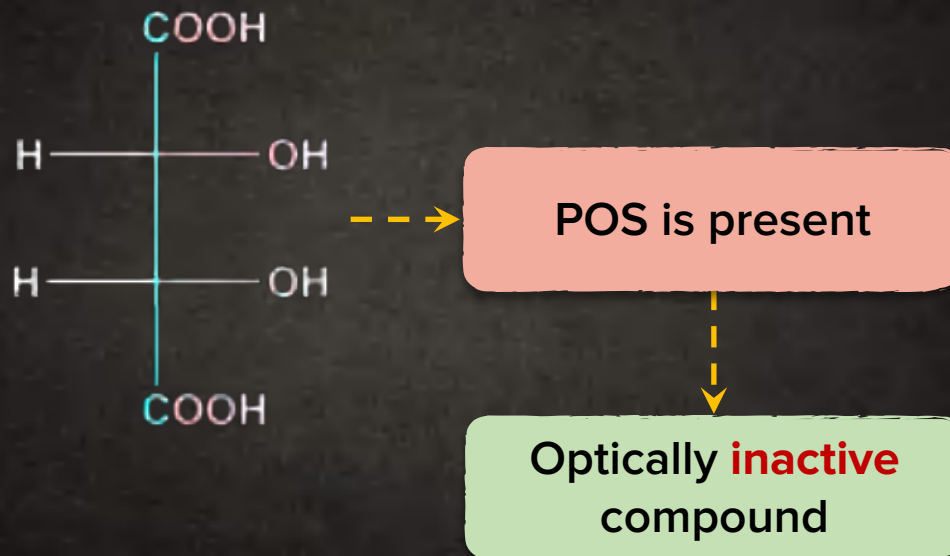


POS is absent



Optically **active**  
compound

# Check for Chirality





# Meso Compounds

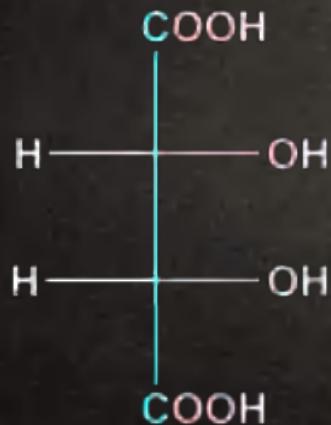
An **optically inactive** molecule whose at least one **diastereomer** is **optically active**

The molecule contains chiral centres and symmetry but are **optically inactive**.

The mirror images of meso compounds are **superimposable** over each other.

# Meso Compounds

Optical inactivity is caused by  
**internal compensation.**



It contains 2  
chiral carbons,  
but POS is present.

Optically **inactive**

# Meso Compounds

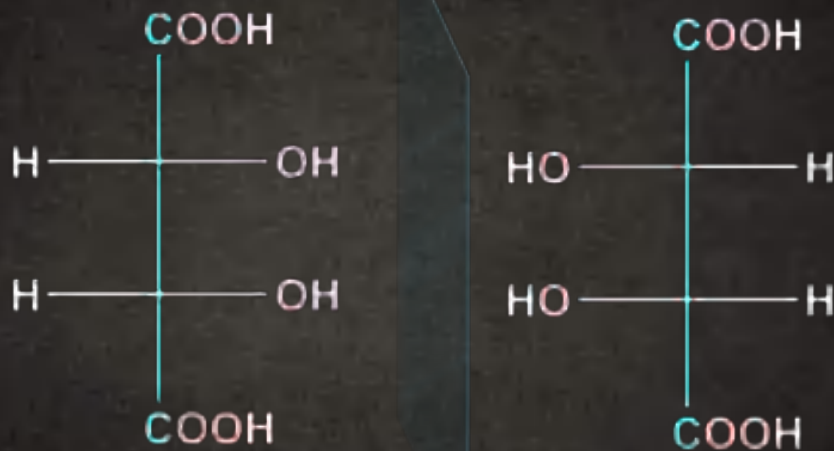
Example



Plane of  
symmetry

If two compounds are **meso**, and mirror images of each other, then they are **identical**.

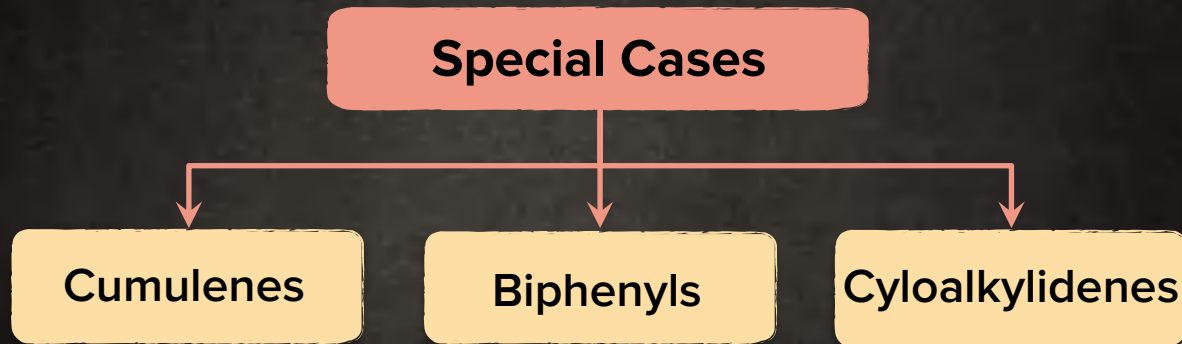
# Identical Compounds



**Identical**



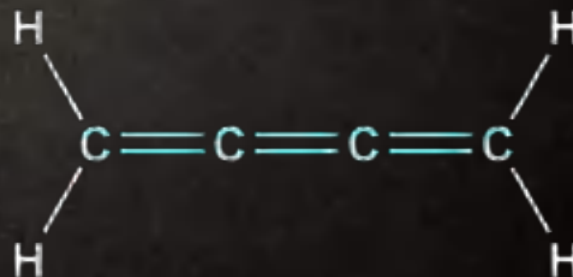
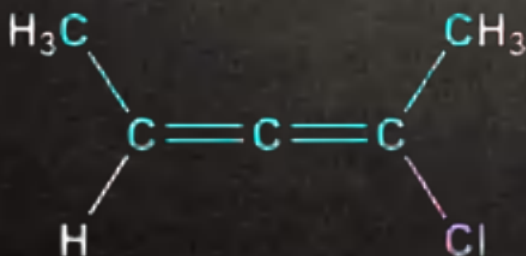
# Special cases of Geometrical & Optical Isomers



# Cumulenes

Hydrocarbons whose molecules have **cumulated double bonds** are known as cumulenes.

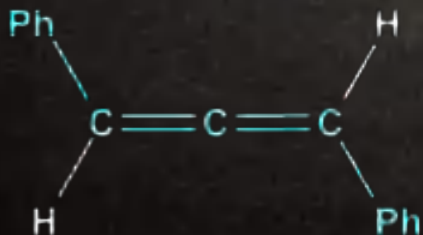
Example



# Cumulenes

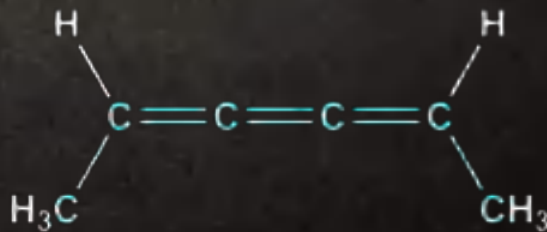
Even-cumulene

Cumulene with  
**even** number  
of **adjacent**  
**double bonds**

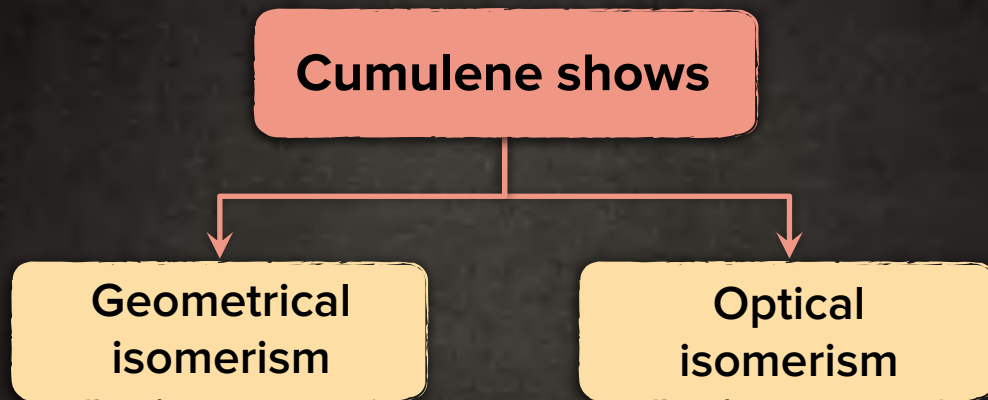


Odd-cumulene

Cumulene with  
**odd** number  
of **adjacent**  
**double bonds**



# Configurational Isomerism in Cumulenes



# Geometrical Isomerism in Even-Cumulenes

In even-cumulenes, **groups** on the terminal carbons **exist** in a **perpendicular plane**.



Generally, for compounds to **exhibit geometrical isomerism**, **groups** on the terminal carbons must be in the **same plane**.



Hence, even-cumulenes **do not** show **geometrical isomerism**.

# Geometrical Isomerism in Odd-Cumulenes

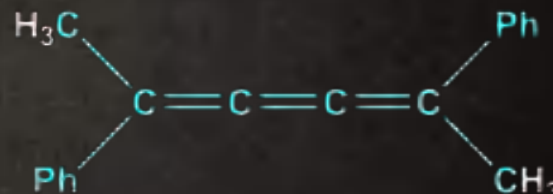
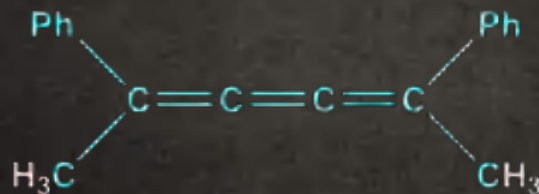
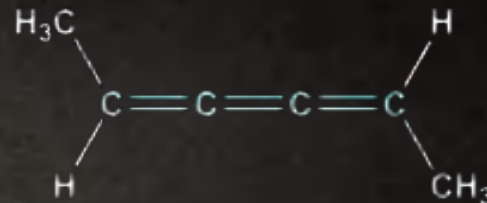
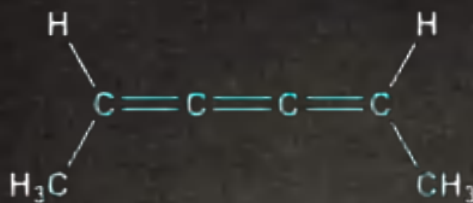
In odd-cumulenes, the **terminal groups** exist in the **same plane**.



Hence, they may exhibit **geometrical isomerism**.

# Geometrical Isomerism in Odd-Cumulenes

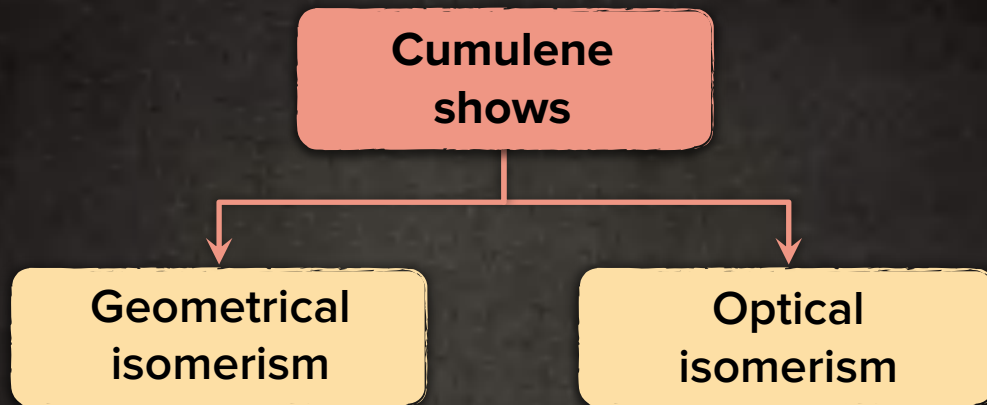
## Examples



**cis-form**

**trans-form**

# Configurational Isomerism in Cumulenes



# Optical Isomerism in Even-Cumulenes

In even-cumulenes, two **mutually perpendicular  $\pi$  bonds** are formed.



Hence, the **atoms/groups** attached to the terminal  $sp^2$  carbons are in **different planes**.

If the atoms/groups attached to terminal  $sp^2$  carbons are **different**



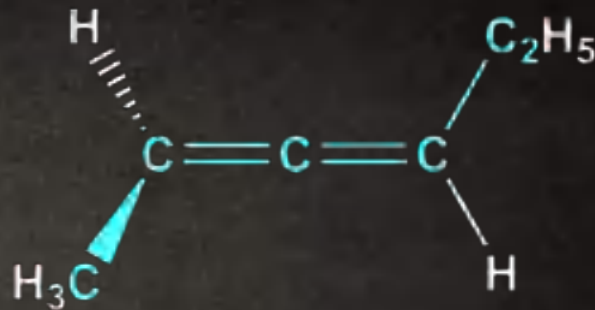
So, the elements of symmetry  
(i.e., **POS, COS**) are **absent**.



Hence, even-cumulenes are **optically active**.

# Optical Isomerism in Even-Cumulenes

## Examples



POS

**X**

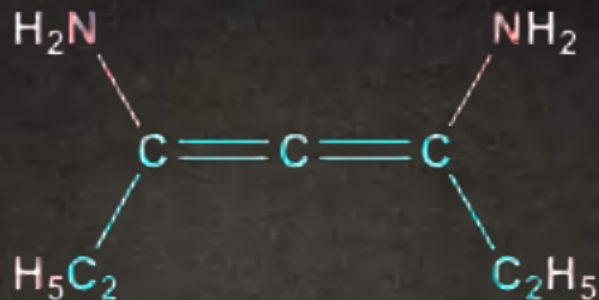
COS

**X**

**Optically active**

# Optical Isomerism in Even-Cumulenes

## Examples



POS

**X**

COS

**X**

**Optically active**

## Note!

In general



In odd-cumulenes, **terminal atoms/groups** remain in the **same plane**. Whether **terminal groups** are **different** or **same**.



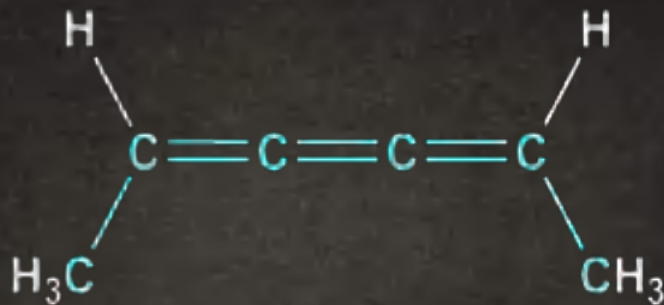
POS present



Optically inactive

# Optical Isomerism in Odd-Cumulenes

## Examples



POS



COS

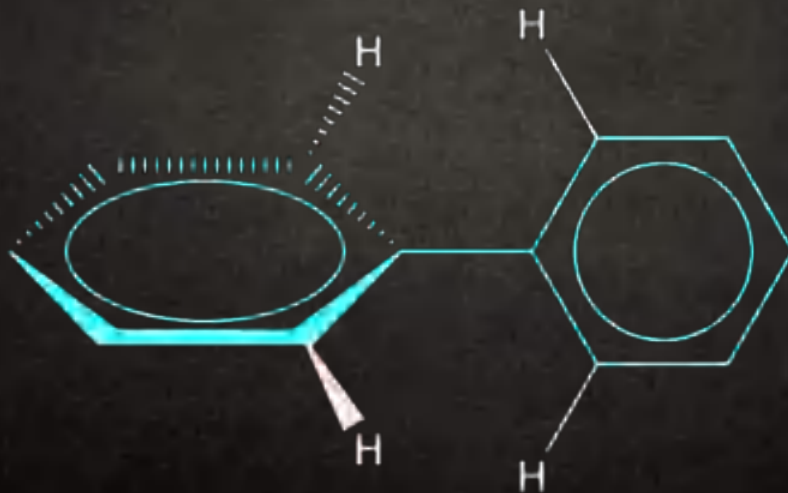


**Optically inactive**

# Biphenyl System

A biphenyl system consists of **two phenyl rings** attached by a **sigma bond**.

## Examples



# Biphenyl System

Biphenyls containing four large groups in the ortho-positions **cannot freely rotate** about the central bond because of steric hindrance.



In such compounds, the two rings are in **perpendicular** planes.

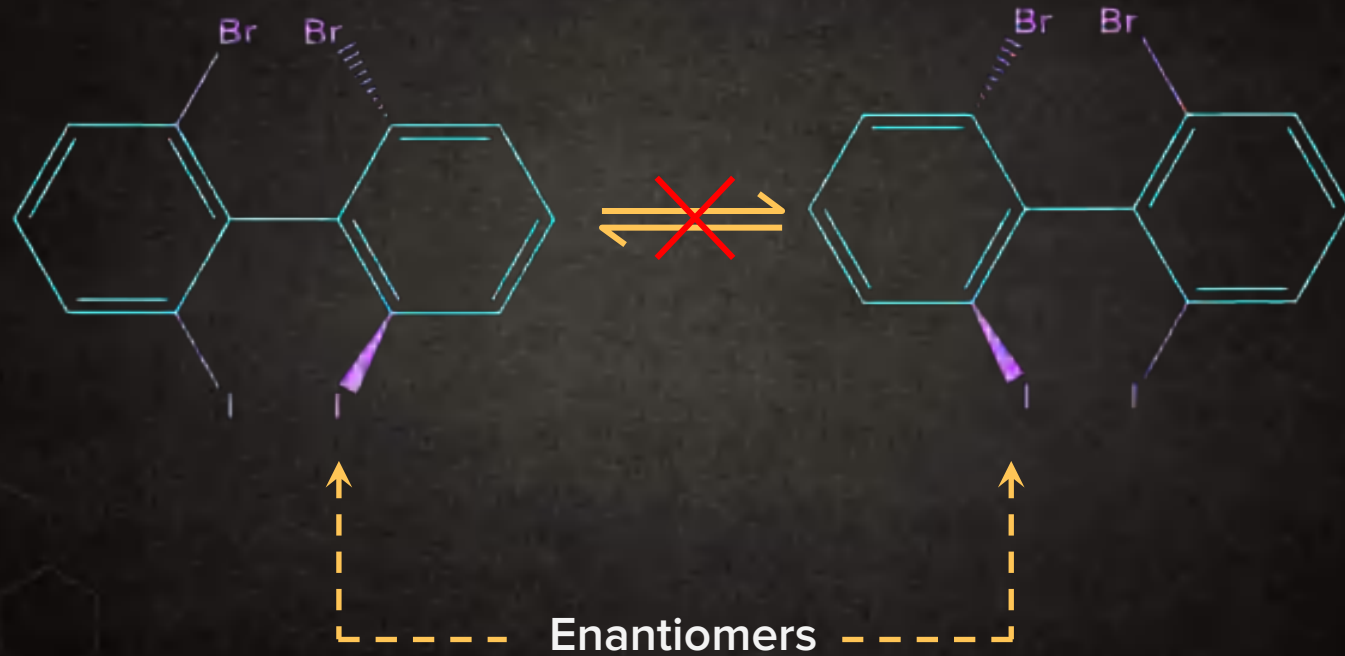


# Biphenyl System

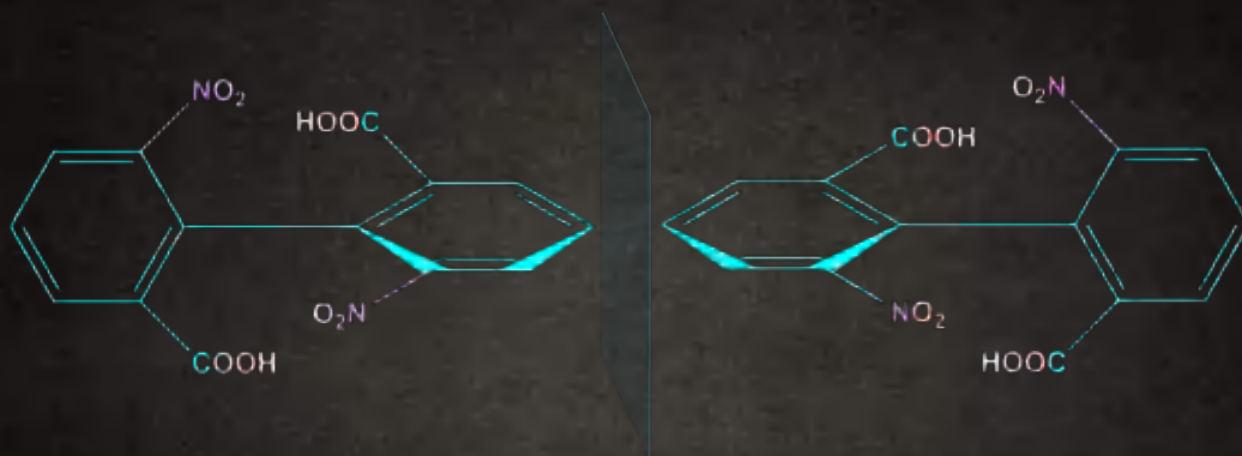
**o-o'-tetrasubstituted** biphenyls become **non-planar** in order to have minimum electronic repulsion among the substituents.

In this orientation (phenyl planes perpendicular to each other), the **free rotation** of C–C single bond is **restricted**, and molecule shows optical activity.

# Biphenyl System



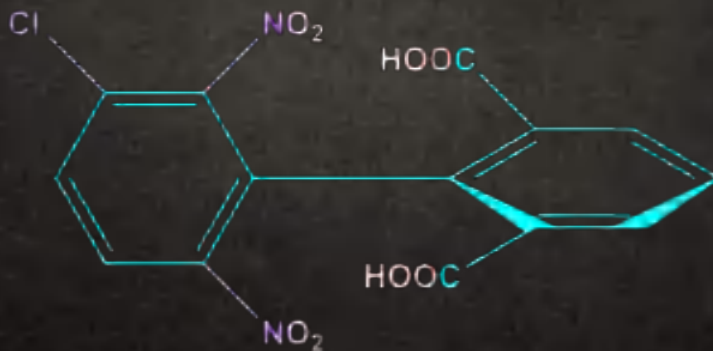
# Biphenyl System



There is no plane of symmetry and hence, the molecule is **chiral**.

# Biphenyl System

If either ring is **symmetrically substituted**, the molecule has a plane of symmetry. Hence, **optically inactive**.



## Note!



Generally, groups in the **para** position **cannot** cause the lack of symmetry.



# Atropisomers

Isomers that can be separated only because **rotation** about single bonds is **prevented**.



# Atropisomers

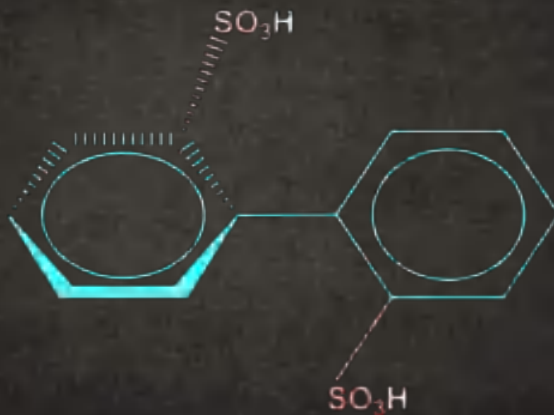
It is **not always necessary** for four large ortho groups to be present for the rotation to be prevented.



Compounds with three and even two groups, if **large enough** and suitably substituted, can have hindered rotation.

## Example

Biphenyl-2,2'-bis-sulphonic acid



**Optically active.**

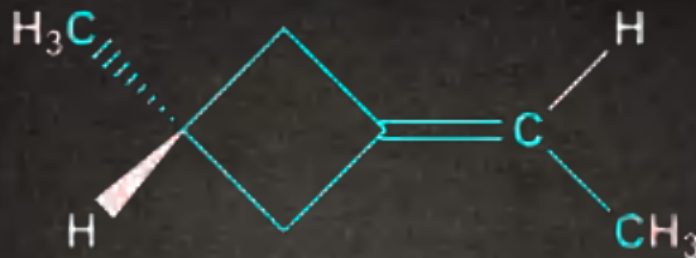
# Cycloalkylidenes

One of the **double bonds in allenes** is **replaced** by one **cycloalkane ring**.

Example



# Cycloalkylidenes



POS

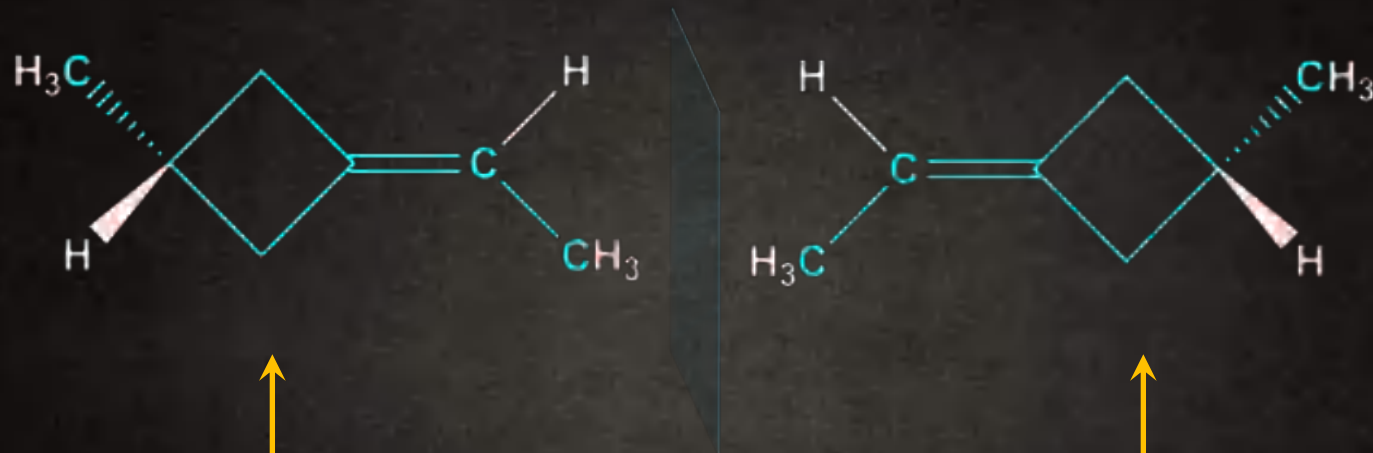
**X**

COS

**X**

**Optically active.**

# Cycloalkylidenes



**Enantiomeric pairs**



## Note!

Presence of a chiral carbon is **neither a necessary nor a sufficient** condition for optical activity.



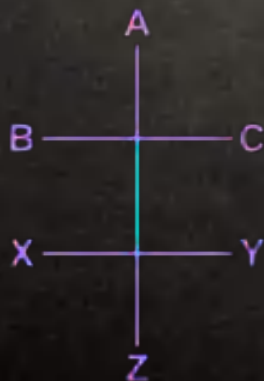
**Optical activity** may be present in molecules with **no chiral atom**.



Some molecules with two or more chiral carbon atoms are **superimposable** on their mirror images and hence, **optically inactive**.

# Prediction of Optical Rotation

Relation between compounds	Optical rotation
Identical	$+ x^{\circ}$
Enantiomer	$- x^{\circ}$



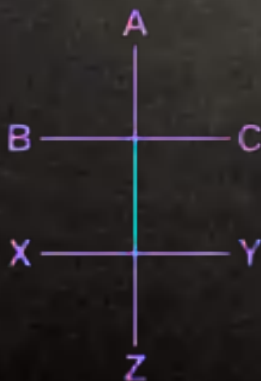
$[\alpha]$

$=$

$+ x^{\circ}$

# Prediction of Optical Rotation

Relation between compounds		Rotation
Diastereomers	Achiral	Zero
	Chiral	Cannot be predicted



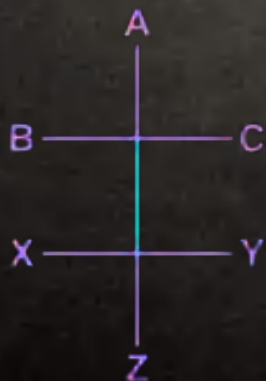
$[\alpha]$

$=$

$+ x^\circ$

# Prediction of Optical Rotation

Relation between compounds		Rotation
Structural isomer	Achiral	Zero
	Chiral	Can not be predicted



$[\alpha]$

$=$

$+ x^\circ$



## Note!

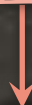


Optical rotation of a mixture can also be predicted if the mixture is a **racemic mixture**.

Optical rotation would be  $0^\circ$

# Optical Purity

Sometimes we may deal with a mixture that is **not a racemic mixture**. In these cases, we specify the optical purity of the mixture.



It is defined as the **ratio** of observed rotation to the rotation of pure enantiomer.

Optical  
purity

=

$$\frac{\text{Observed optical rotation}}{\text{Optical rotation of pure enantiomer}} \times 100$$

## Example

If we have some 2-Butanol with observed rotation of **+9.72**, we compare this rotation with **+13.5** rotation of the pure (+) enantiomer.

$$\text{Optical purity} = \frac{9.72}{13.5} \times 100 = 72\%$$

That means **72%** is pure (+) 2-Butanol and **28%** is racemic mixture.

## Example

Total (+)  
isomer

=

72 + 14

=

86%

Total (-)  
isomer

=

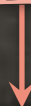
14%



# **Enantiomeric Excess**

# Enantiomeric Excess

To compute the enantiomeric excess of a mixture, we calculate the **excess of predominant enantiomer** as a percentage of the entire mixture.



The calculation of enantiomeric excess gives the **same result** as the calculation of **optical purity**.

# Enantiomeric Excess

Optical purity = Enantiomeric excess

$$= \frac{|d - \ell|}{d + \ell} \times 100$$

$$= \frac{\text{Excess of one enantiomer over other}}{\text{Entire mixture}} \times 100$$

## Example

If we have some 2-Butanol with observed rotation of **+9.72**, we compare this rotation with **+13.5** rotation of the pure (+) enantiomer.

Optical purity = Enantiomeric excess

=  $d - \ell$  = 72%

$d + \ell$  = 100%

## Example

2d

=

172

Composition of mixture

d

=

86%

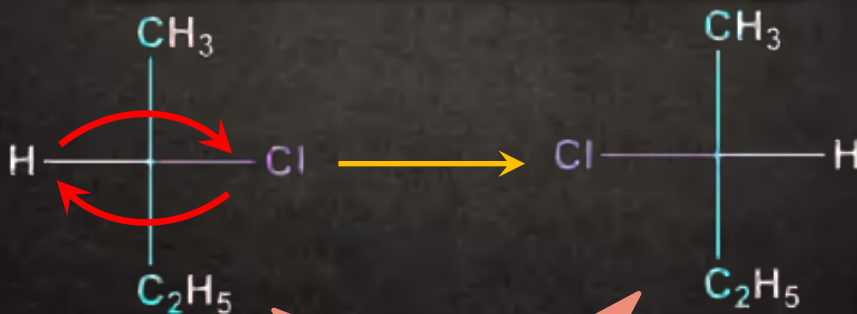
*l*

=

14%

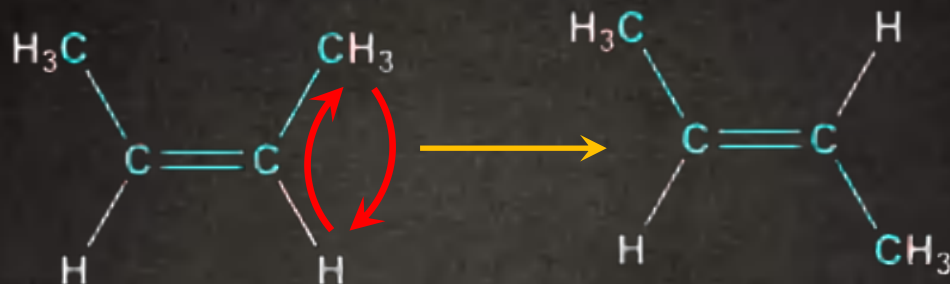
# Stereo Centre

An atom or bond bearing groups such that the **interchange of any two groups** produces a stereoisomer.



Stereoisomers

# Stereo Centre



Stereoisomers

# Pseudo Chiral Centre

An atom that is attached to **two constitutionally like chiral groups** and **two other distinct atoms/ groups**.



C-3 is a pseudo chiral centre.



# Calculation of Number of Stereoisomers

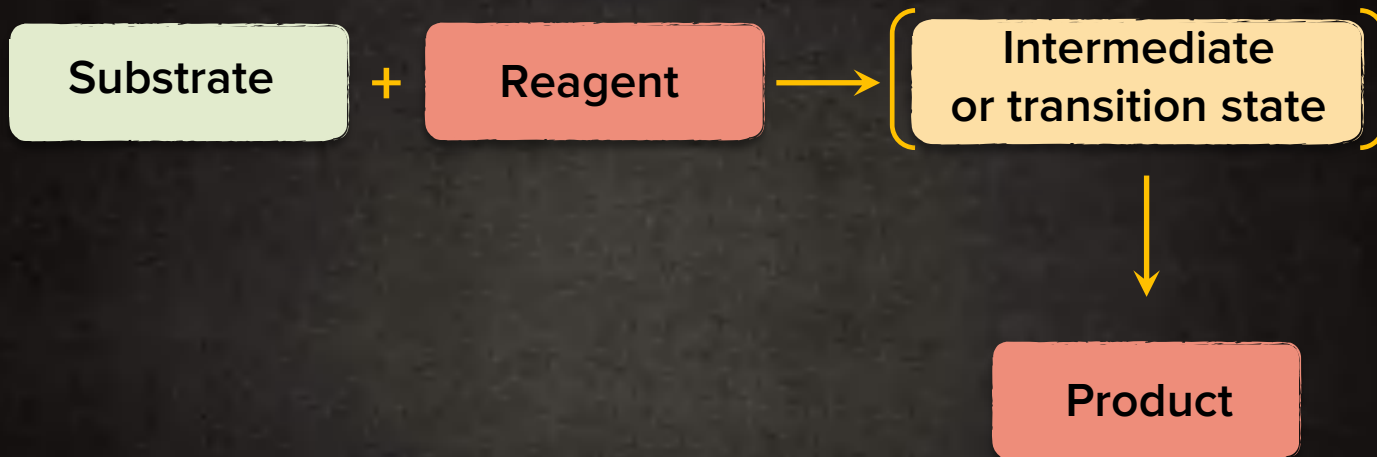
Maximum number of  
stereoisomers

=

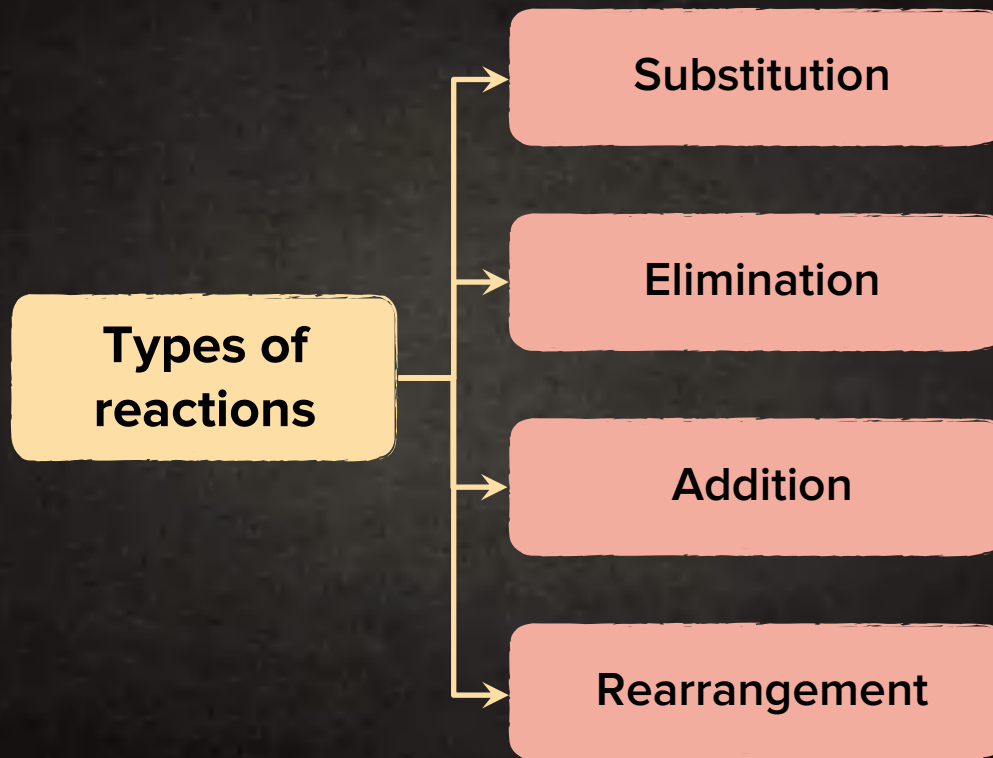
$2^n$

Where 'n' is the number  
of stereo centres

# General Reaction in Organic Chemistry



# Types of Reactions





# Substitution Reaction

**Replacement** of an atom or group **by any other atom or group** in a molecule is known as **substitution reaction**.



# Nucleophilic Substitution Reaction

If substitution reaction is brought about by a **nucleophile**, then it is known as **nucleophilic substitution reaction**.



Nu

Nucleophile

Ig

Leaving group



# Nucleophile (Nu)

Electron rich species having **at least one unshared** pair of electron.

Neutral or  
negatively  
charged

Always a  
Lewis base

Examples

$\text{CN}^-$ ,  $\text{OH}^-$ ,  $\text{Br}^-$ ,  $\text{I}^-$ ,  
 $\text{NH}_3$ ,  $\text{H}_2\text{O}$ , etc.

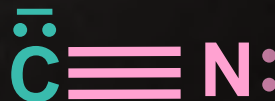


# Ambident Nucleophiles

Some nucleophiles have a pair of electrons on each of **two or more atoms**, or canonical forms can be drawn in which two or more atoms bear an unshared pair of electrons.

Nucleophiles which have **two attacking sites**, one **negatively charged** and one **neutral** site, are known as ambident nucleophiles.

Negatively charged



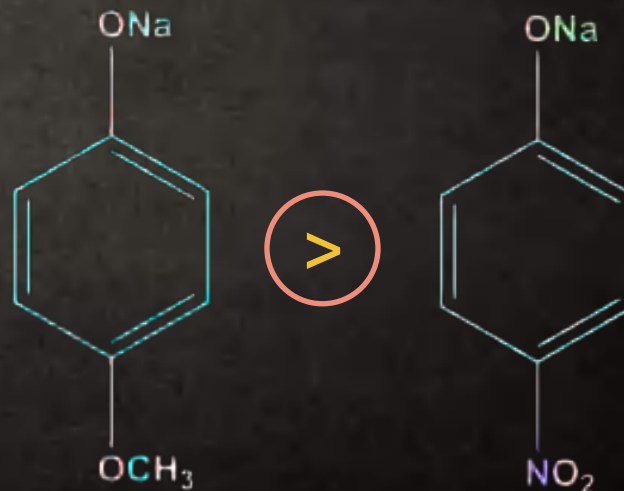
Neutral

# Criteria for Nucleophilicity

01

Factors that **increase electron density** at donor atom, increases nucleophilicity.

Tendency to **give electron pair** to an electron deficient atom is called **Nucleophilicity**.





# Criteria for Nucleophilicity

02

The **more polarisable** donor atom is the **better nucleophile**.

Size of  
donor atom ↑

Nucleophilicity ↑



# Periodicity

Along the  
period

Nucleophilicity ↓



>



>



>



In polar  
protic  
solvent

Down the  
group

Nucleophilicity ↑



<



<



<





# Nucleophilicity in Polar Protic Solvents

Consider  $\text{NaNu}$   
( $\text{M}^+\text{X}^-$  type salt)



When dissolved in  
 $\text{H}_2\text{O}$  or  $\text{ROH}$

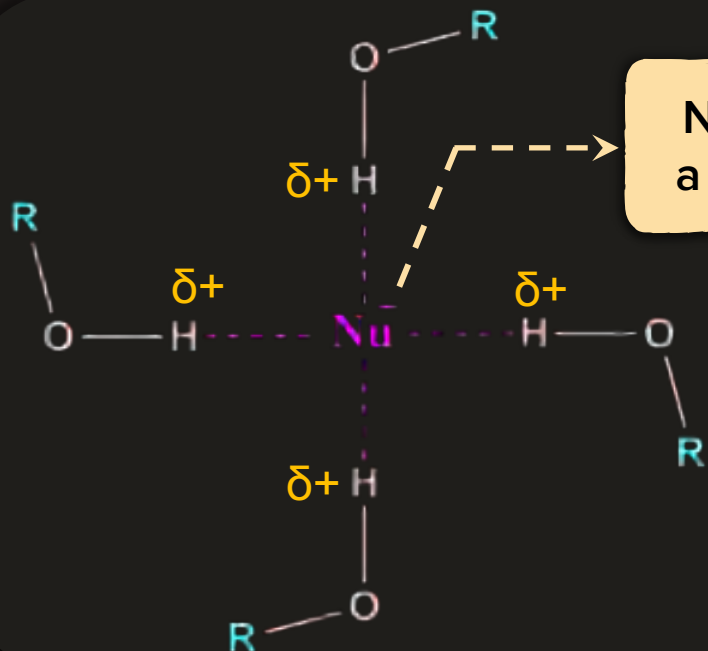


$\text{Na}^+$  is solvated  
by **O-terminal**  
of the solvent



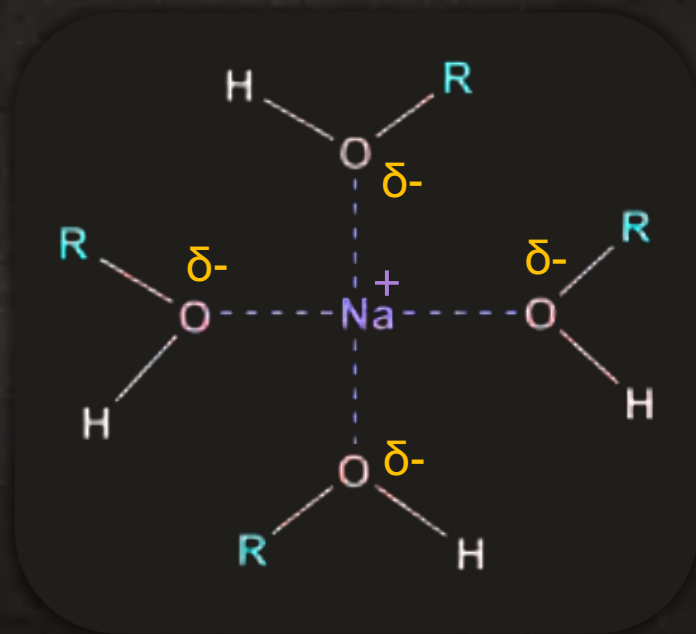
$\text{Nu}^-$  is solvated  
by **H-terminal**  
of the solvent

# Nucleophilicity in Polar Protic Solvents



Nucleophile in  
a solvent cage

# Nucleophilicity in Polar Protic Solvents





# Nucleophilicity in Polar Protic Solvents

The **degree of solvation** of anions **increases** with the **decrease** in the **size** of the anions.

Example

$\text{I}^-$

$\text{Br}^-$

$\text{Cl}^-$

$\text{F}^-$

Degree of solvation **increases**

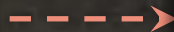
Size of solvated ion **increases**

Nucleophilicity **decreases**



# Nucleophilicity in Polar Protic Solvents

**Bigger** the solvated ion,  
**lesser** the nucleophilicity.



In polar protic solvents,  
**nucleophilicity increases**  
**down the group.**

In polar aprotic  
solvent

Down the  
group

Nucleophilicity ↓

$\text{F}^-$

>

$\text{Cl}^-$

>

$\text{Br}^-$

>

$\text{I}^-$

# Nucleophilicity in Polar Aprotic Solvents

Consider  $\text{NaNu}$   
( $\text{M}^+\text{X}^-$  type salt)



When dissolved  
in DMSO

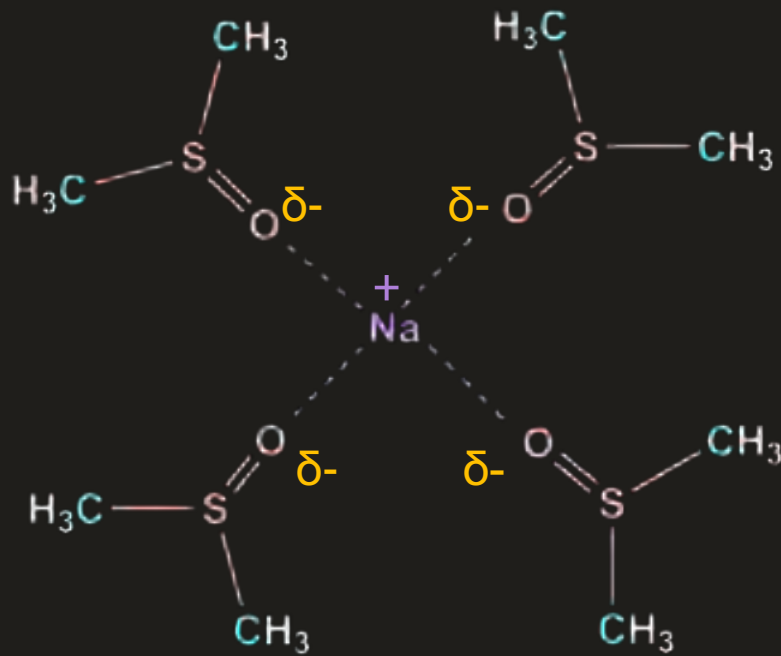


**$\text{Na}^+$  is solvated**  
by the solvent



**$\text{Nu}^-$  remains  
unsolvated**

# Nucleophilicity in Polar Aprotic Solvents



# Nucleophilicity in Polar Aprotic Solvents

Example

Size **increases**

$\text{F}^-$

$\text{Cl}^-$

$\text{Br}^-$

$\text{I}^-$

Nucleophilicity **decreases**

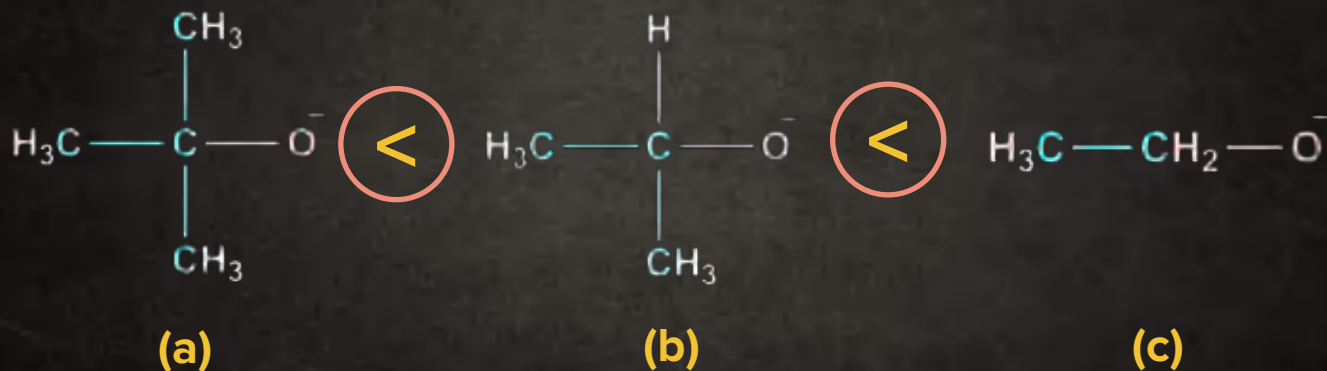
# Steric Hindrance

Nucleophilicity

$\propto$

1

Steric hindrance



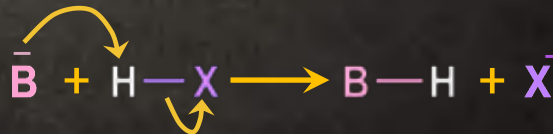
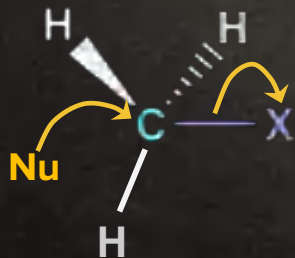
Nucleophile (a) **cannot approach electrophile easily.**

## Nucleophilicity

Measure of how readily a species is able to **attack** an electron-deficient atom.

## Basicity

Measure of how well, a species **abstracts** a proton.





## **Nucleophilicity**

Kinetic property

Determined by  
rate constant

Strong nucleophiles  
are strong bases

## **Basicity**

Thermodynamic  
property

Determined by  
equilibrium constant

Strong bases are not  
necessarily be strong  
nucleophiles

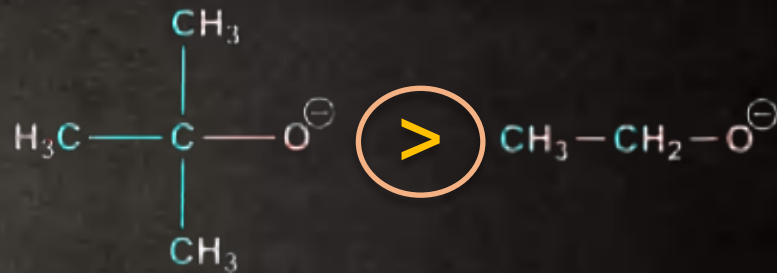
## Note:



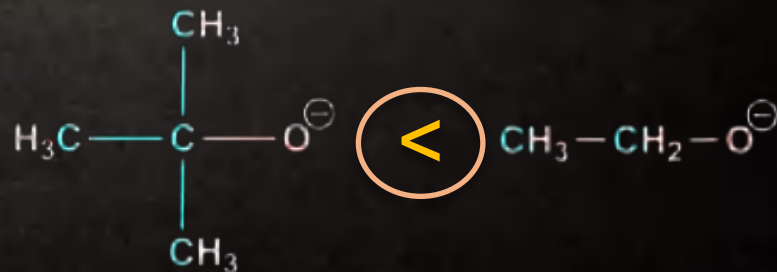
Mostly, nucleophilicity and basicity runs in parallel. But there are **exceptions**.

Sterically crowded bases are poor nucleophiles

### Order of basicity



### Order of nucleophilicity





# Leaving Group

In a reaction in which the substrate molecule becomes **cleaved**, part of it (the part **not containing the carbon**) is usually called the leaving group.

Nucleofuge

Leaving group that **carries away** an electron pair.

Electrofuge

Leaving group that comes away **without** an electron pair.

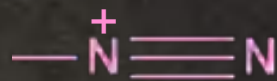


# Leaving Group Ability

## Examples

Generally, leaving group carries away an electron pair

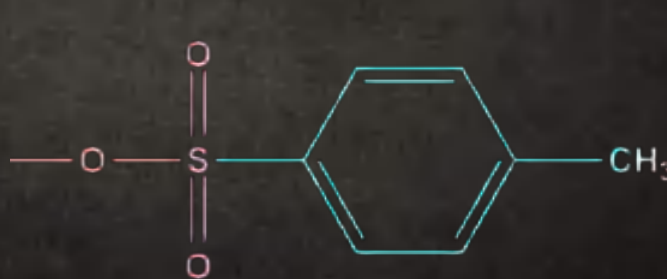
For nucleofuge, **weaker bases** are good leaving groups.



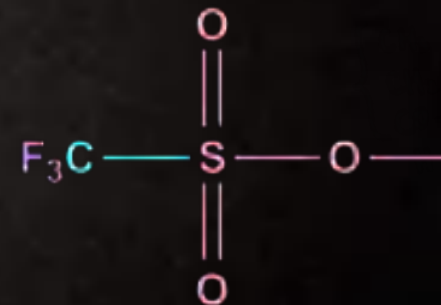
Dinitrogen



Halide



Tosylate



Triflate

## Examples





# **Different Kind of Mechanisms for Nucleophilic Substitution Reaction**

# Nucleophilic substitution reactions

$S_N1$

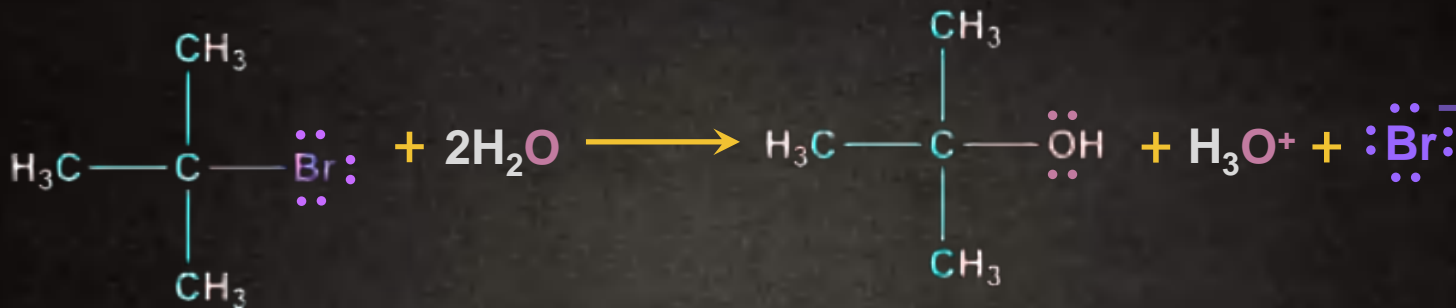
$S_N2$

$S_NAr$

$S_Ni$

$S_NNGP$

# $S_N1$ Reaction



Step 1

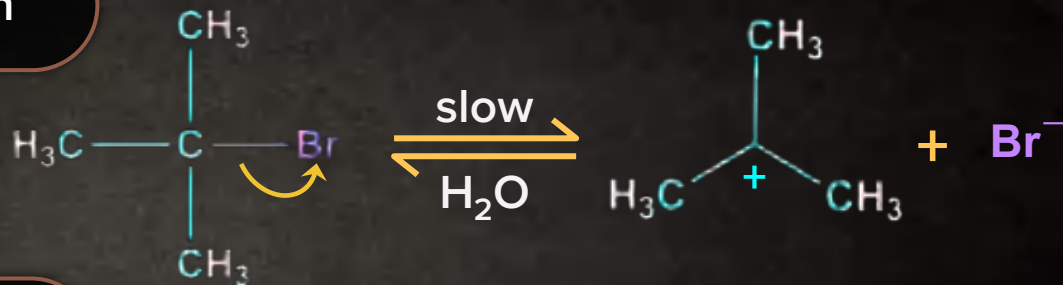
Formation of carbocation

Step 2

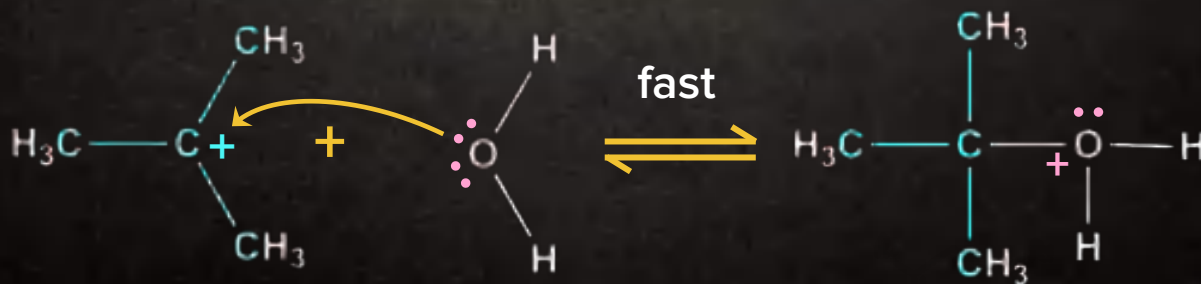
Attack of nucleophile

# Steps involved in $S_N1$ Reaction

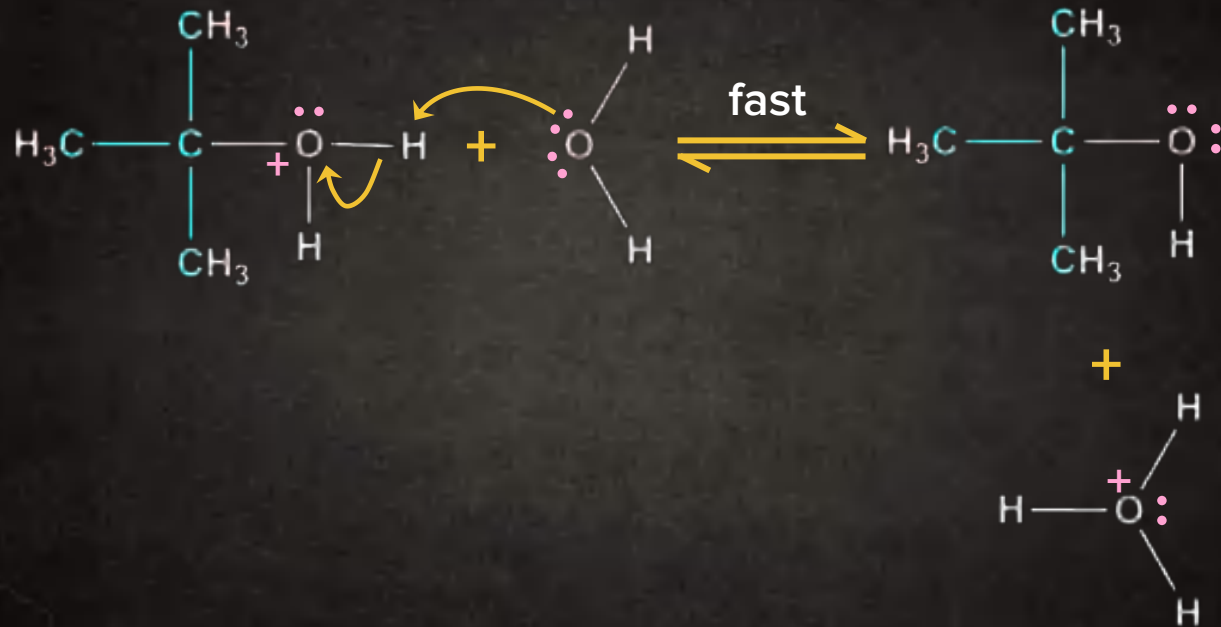
## Step 1 Formation of carbocation



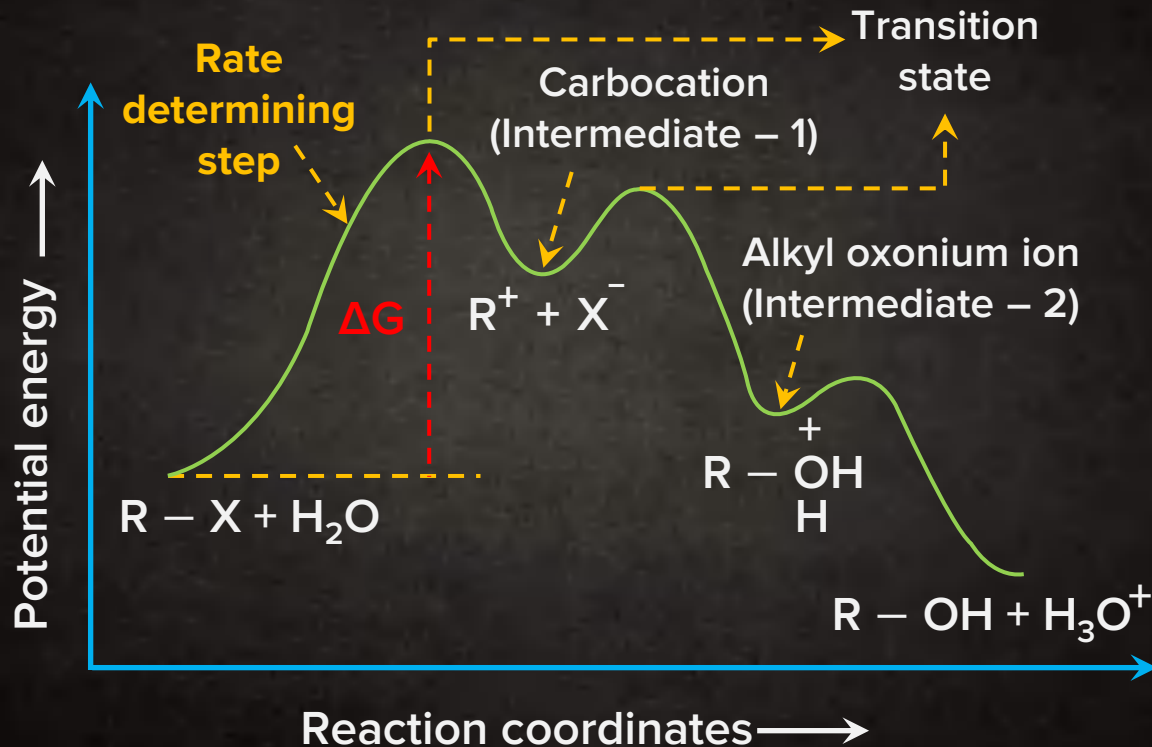
## Step 2 Attack of nucleophile



# Deprotonation



# Energy Profile Diagram of S<sub>N</sub>1 Reaction





# Characteristics of $S_N1$ Reactions

1

It is a **unimolecular, two step** process.

2

**A carbocation** intermediate is formed, so **rearrangement** is possible in  $S_N1$  reaction.

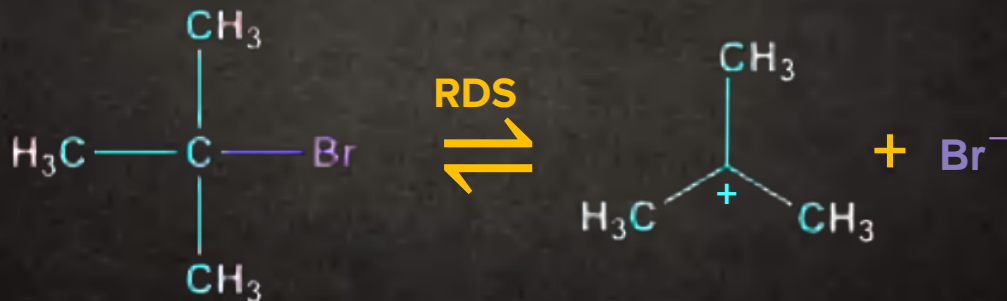
— — — — —  
The rate of  $S_N1$  reaction is **independent** of the concentration and nature of the **nucleophile**.  
— — — — —

# Characteristics of S<sub>N</sub>1 Reactions

**Rate  $\propto$  [Alkyl halide]**



First step must be the slow and rate-determining step.

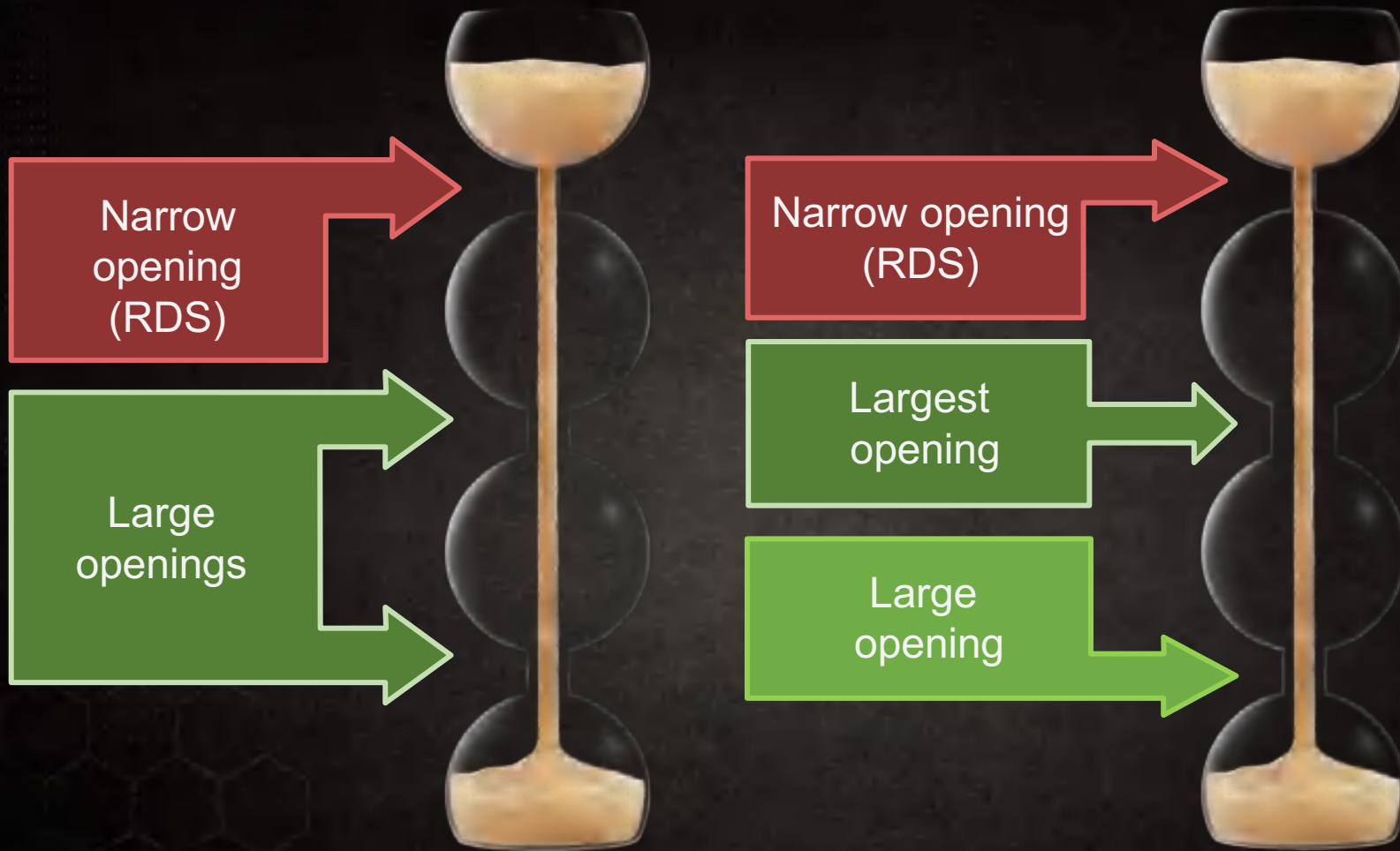




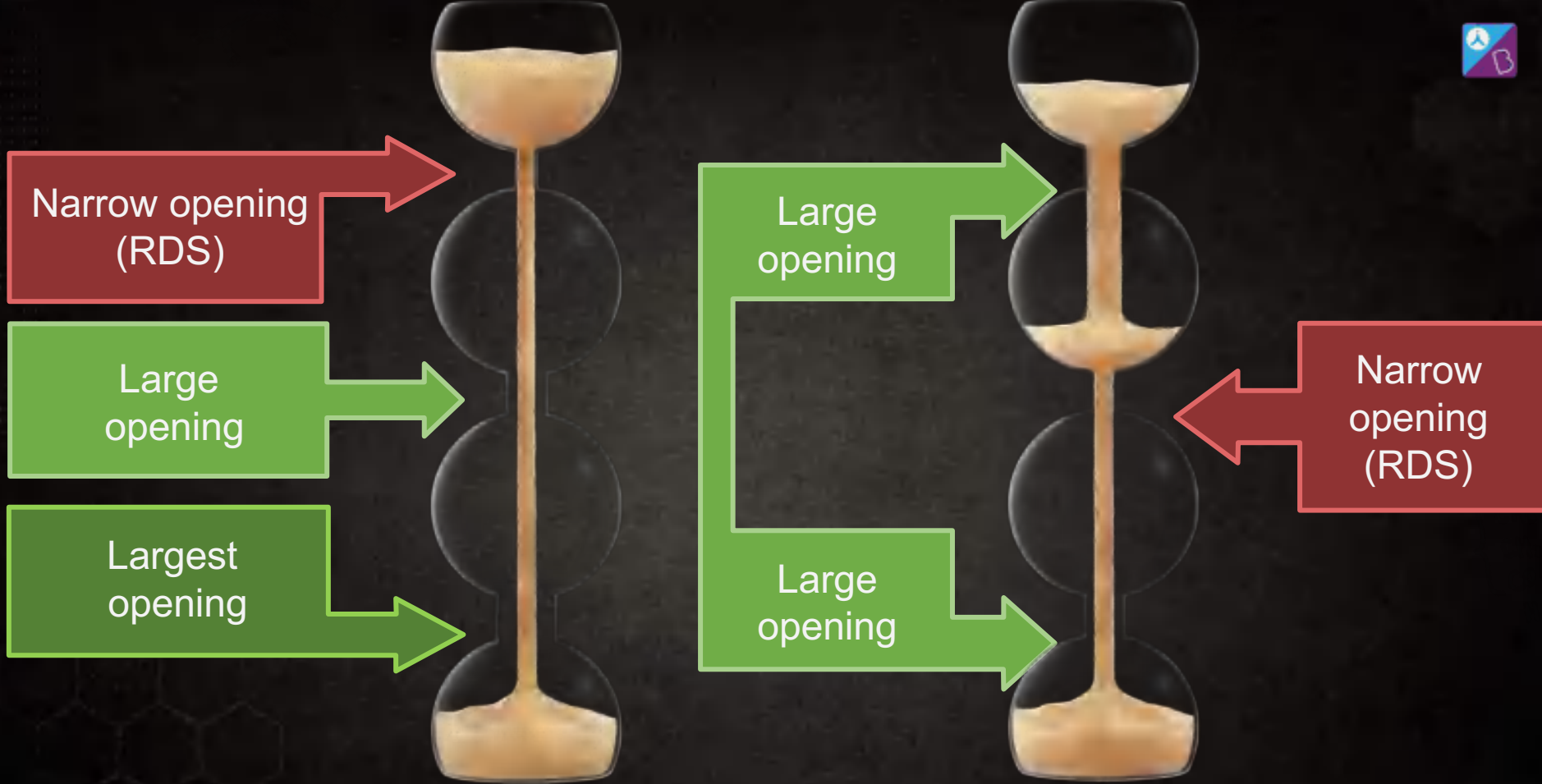
## Rate-Determining Step (RDS)

If one step in a multistep reaction is **intrinsically slower** than all the others, then the rate of the overall reaction will be essentially the same as the rate of this slowest step.

This slowest step is known as the **rate-limiting** step or the **rate-determining step**.



The rate of falling of the sand from the first flask to the last flask will depend on the smallest orifice. In this case the radii of the first Orifice is the smallest making it the RDS. And the other two have  $2R$  radii.



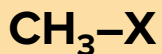
The rate of falling of the sand from the first flask to the last flask will depend on the smallest orifice. In this case the radii of the first Orifice is the smallest making it the RDS. And the other two have  $3R$  and  $2R$  respectively.



## Nature of Alkyl Halide

Rate of  $S_N1$  Reaction Depends  
on **Carbocation Stability**

$S_N1$  reactivity



<

1° Alkyl  
halide

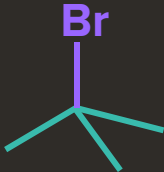
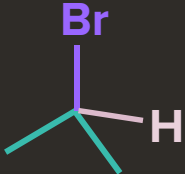
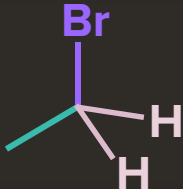
<

2° Alkyl  
halide

<

3° Alkyl  
halide

# Rates of $S_N1$ Reactions with $H_2O$

Alkyl bromide	Class of alkyl bromide	Relative rate
	Tertiary	12,00,000
	Secondary	11.6
	Primary	$\approx 0$



# Regioselective Reaction

It is a **regioselective** reaction, where the most stable carbocation gives the major product.

When a reaction that can potentially yield **two or more** constitutional isomers



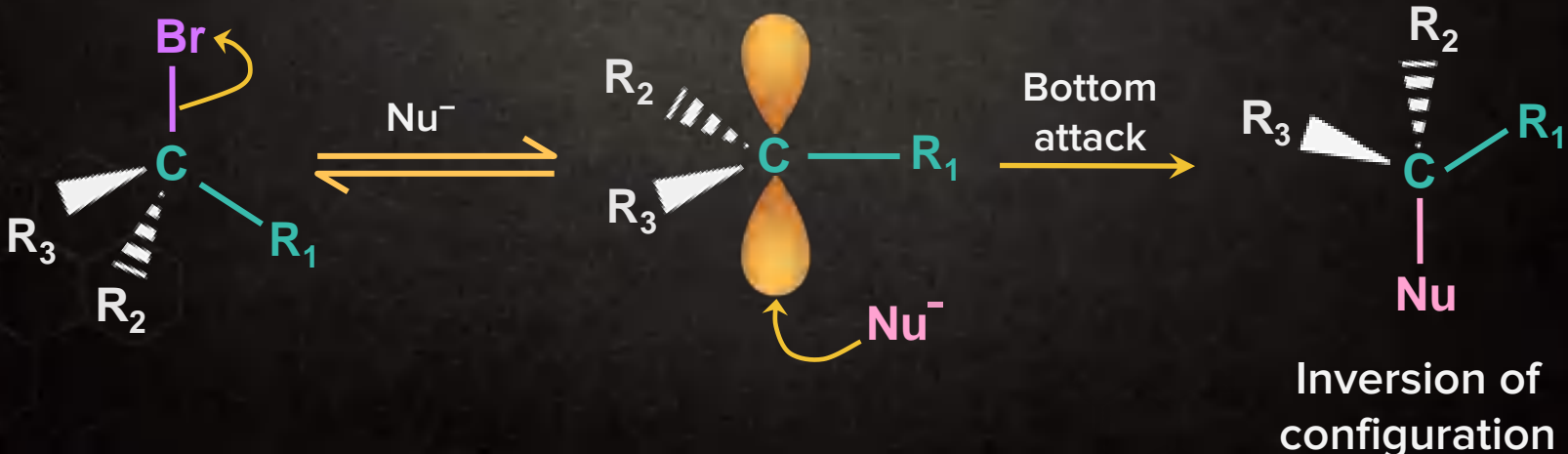
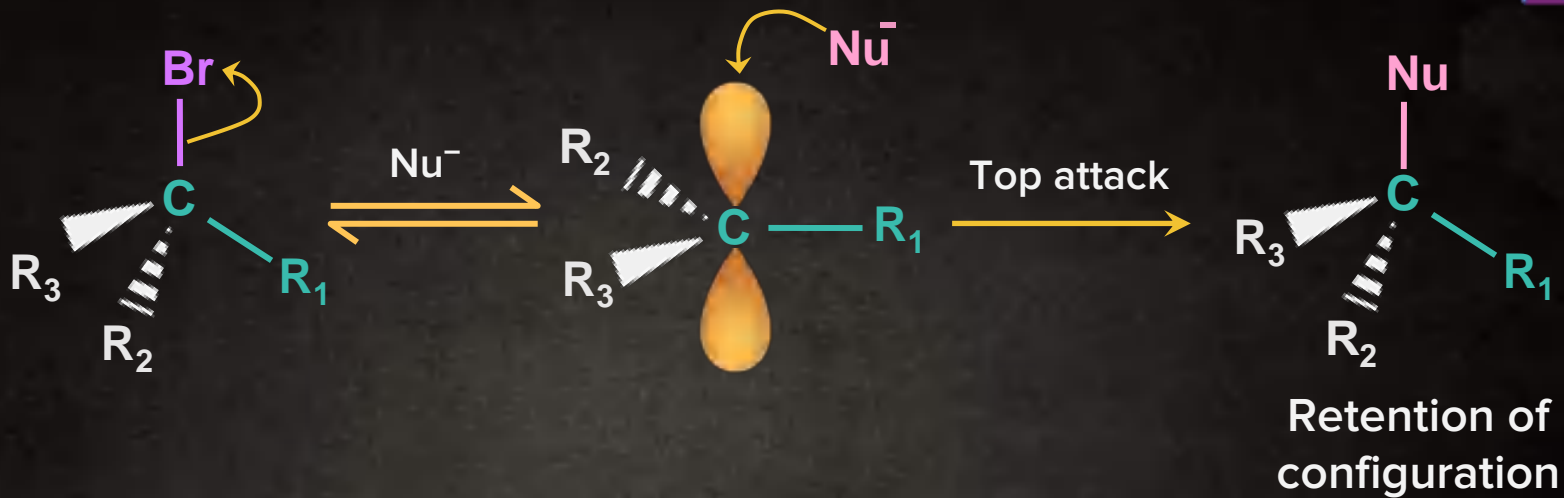
Actually, produces **only one** (or a predominance of one), the reaction is said to be **regioselective**.

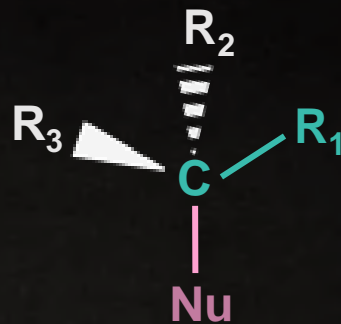
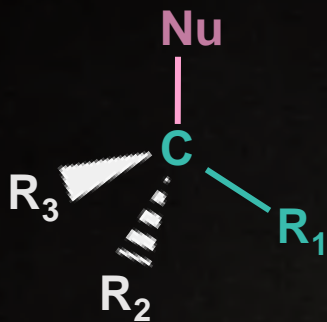


# Stereochemistry of $S_N1$ Reaction

In the  $S_N1$  mechanism, the carbocation intermediate is  $sp^2$  hybridised and planar.

A **nucleophile** can attack on the **carbocation** from either face. If the reactant is **chiral**, then the attack of the nucleophile from both faces gives enantiomers as the product, which is known as **racemisation**.

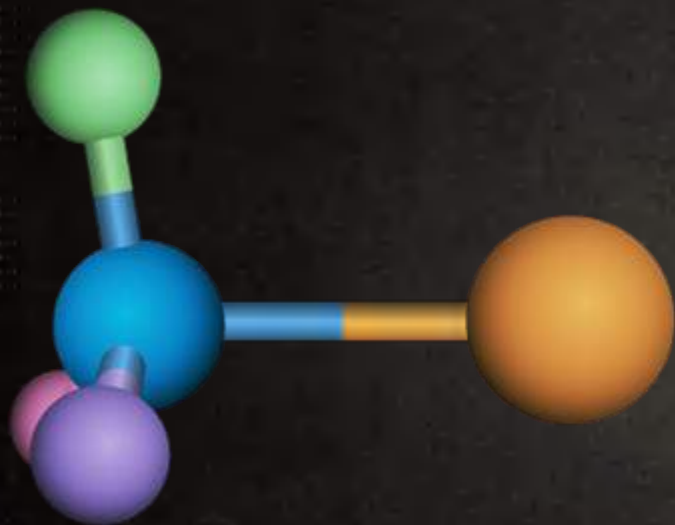




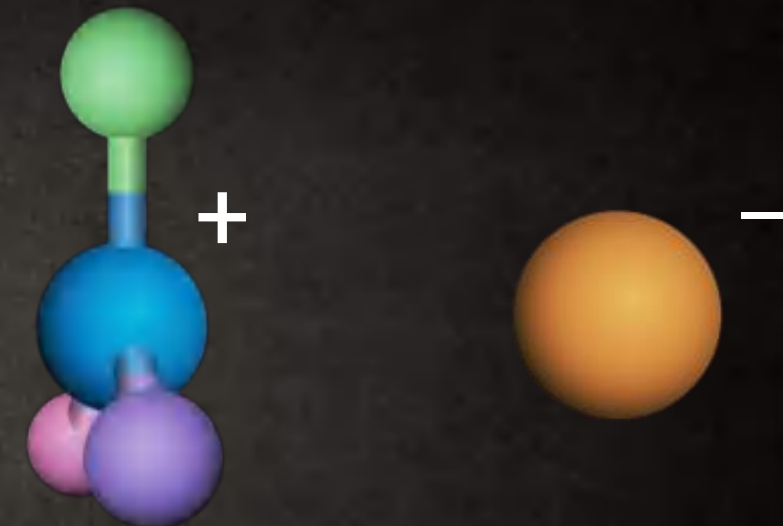
**Racemic mixture**



Practically, we get a slightly higher proportion of **inverted product** in  $S_N1$  reaction.

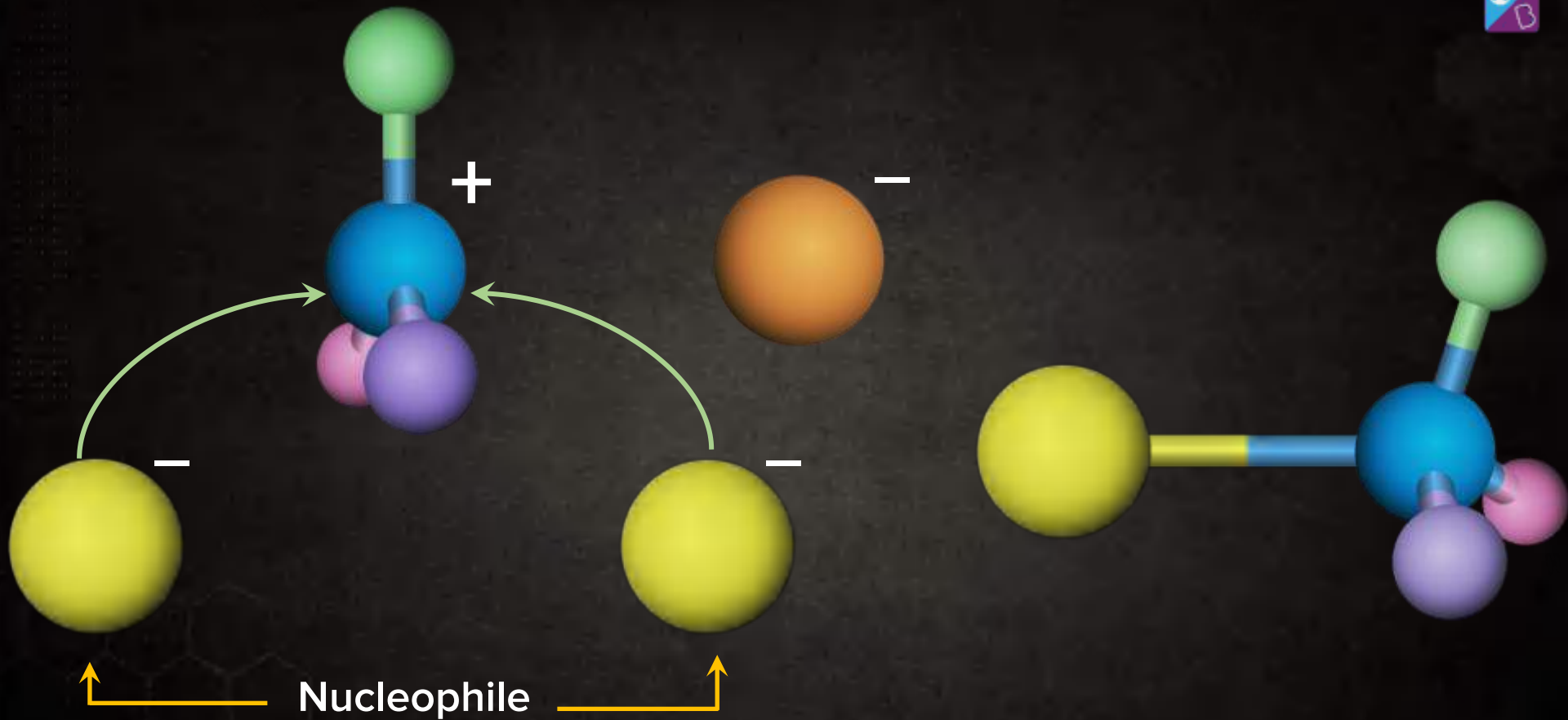


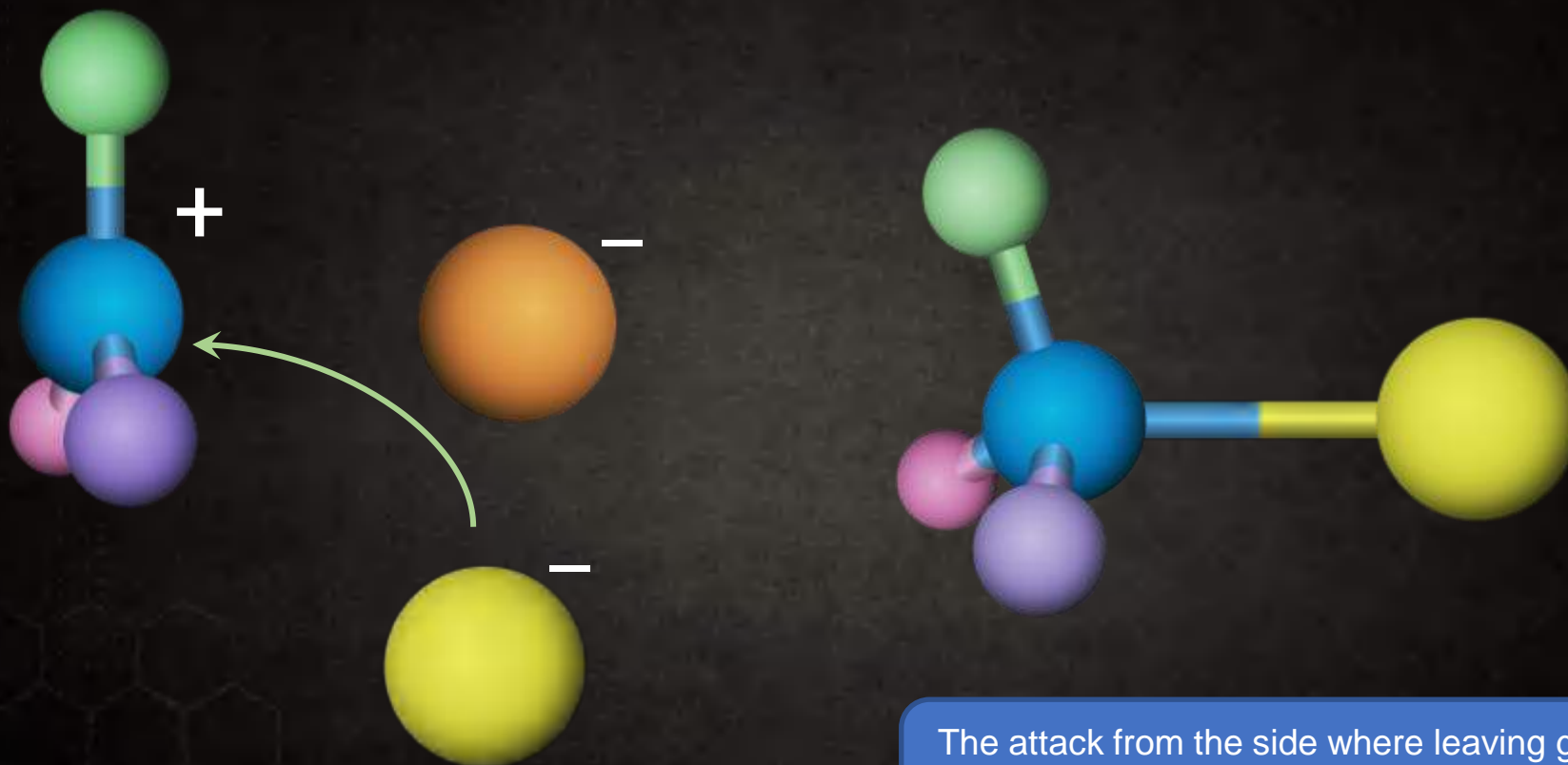
Intimate ion pair



Carbocation

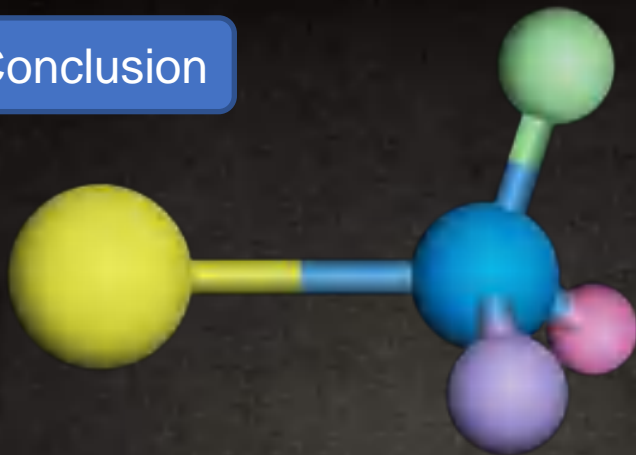
Leaving  
group



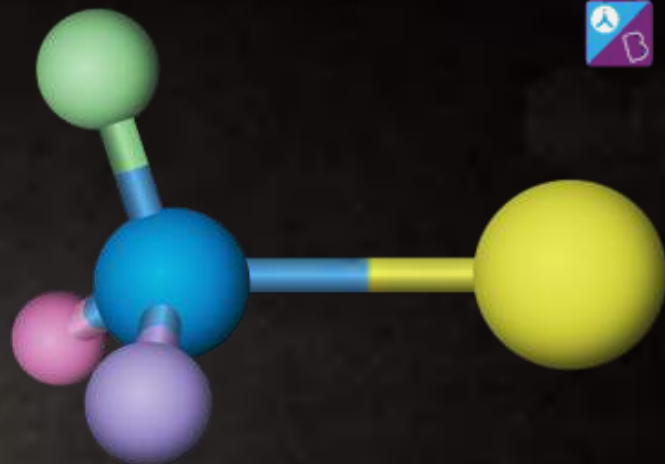


The attack from the side where leaving group leave the molecule is still slightly disfavored due to crowding.

## Conclusion



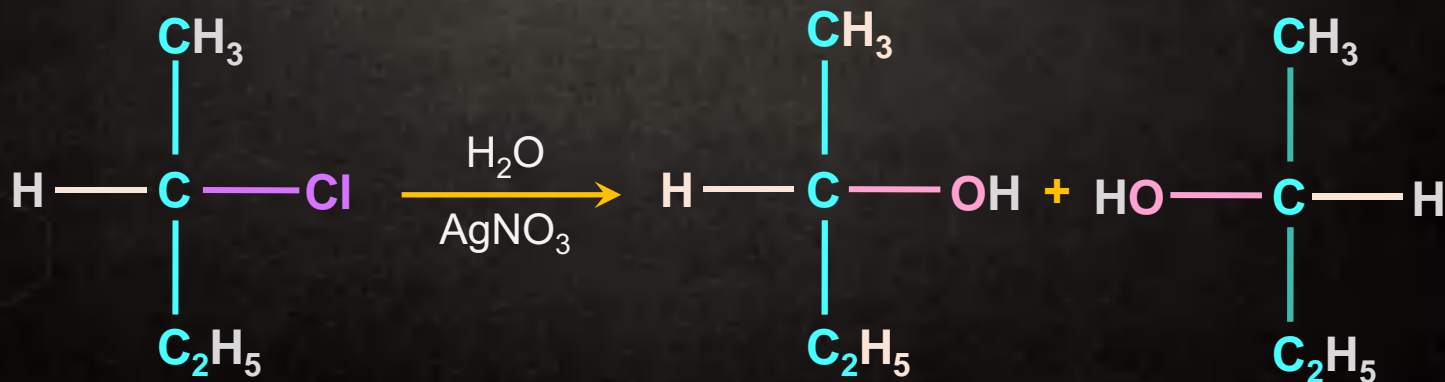
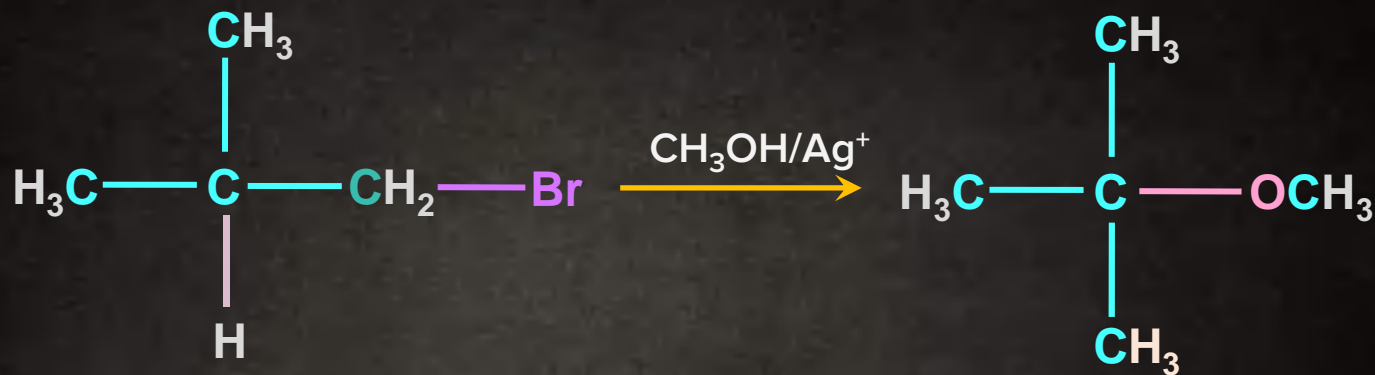
Inversion of configuration  
> 50%



Retention of configuration  
< 50%



# Example of S<sub>N</sub>1 Reaction



# Reactions that Follow $S_N1$ Mechanism

**Ex-1**

Reaction of alcohol  
with hydrogen halide

**Ex-2**

Reaction of diazonium  
salt with water



## Reaction with Hydrogen Halide

When alcohols react with a hydrogen halide, a **substitution** takes place, producing an alkyl halide and water.

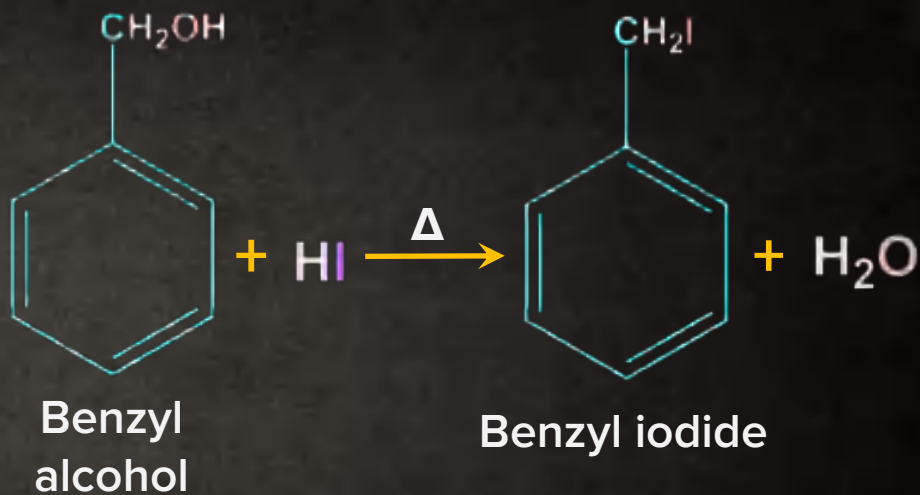


### Mechanism



# Reaction of Alcohol with HI

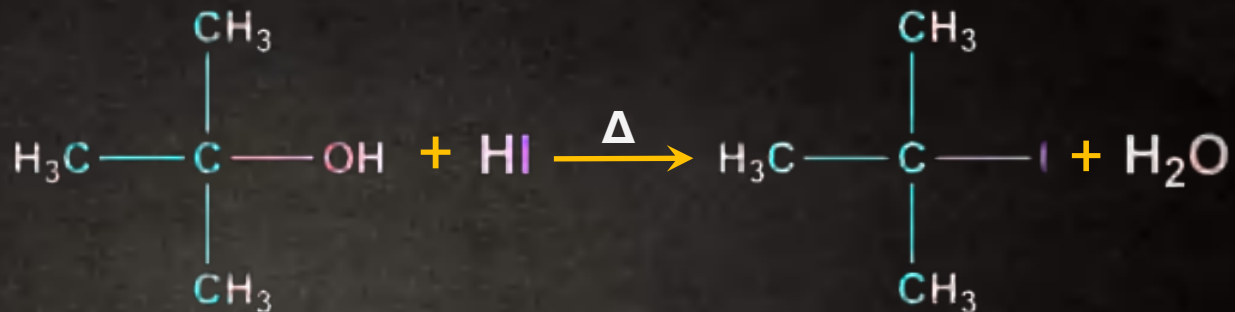
## Example



Reaction occurs by  
**S<sub>N</sub>1 mechanism**

# Reaction of Alcohol with HI

Example



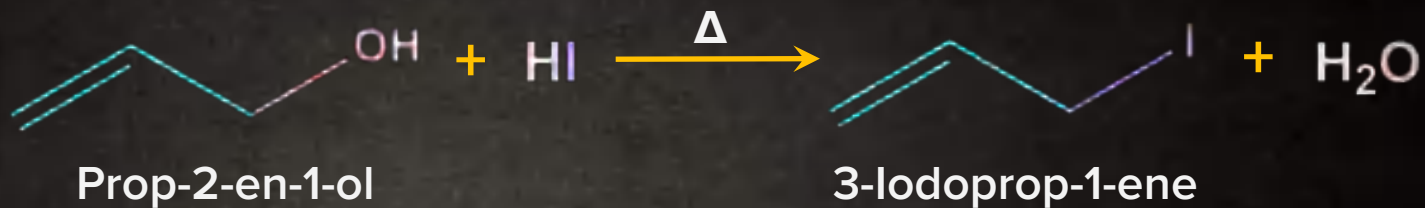
tert-butyl alcohol

tert-butyl iodide

Reaction occurs by  
**S<sub>N</sub>1 mechanism**

# Reaction of Alcohol with HI

## Example



Reaction occurs by  
**S<sub>N</sub>1 mechanism**



# Reaction with Hydrogen Halide

Order of reactivity of HX

HI

>

HBr

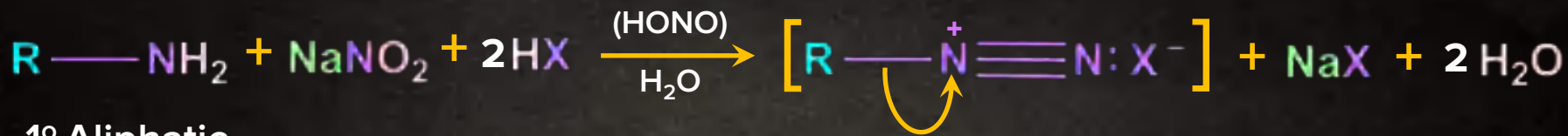
>

HCl

**HF** is generally **unreactive**.



# Reaction of Diazonium Salt



1° Aliphatic  
amine

Aliphatic diazonium  
salt  
(highly unstable)

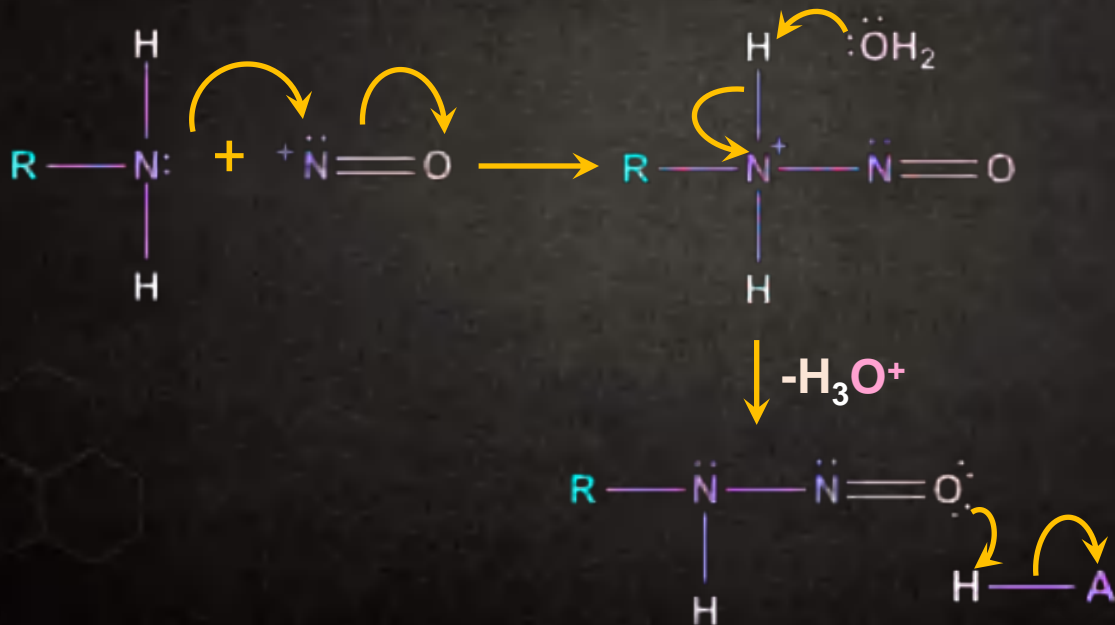
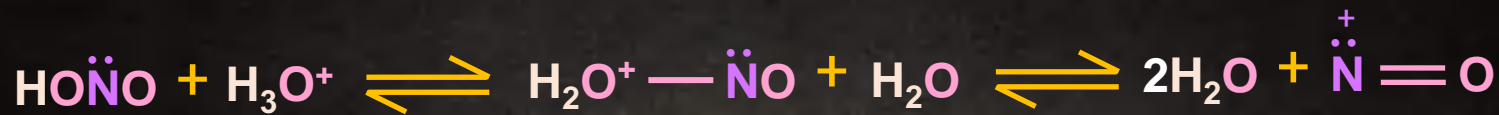
$-\text{N}_2$



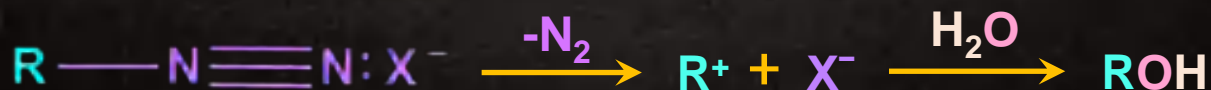
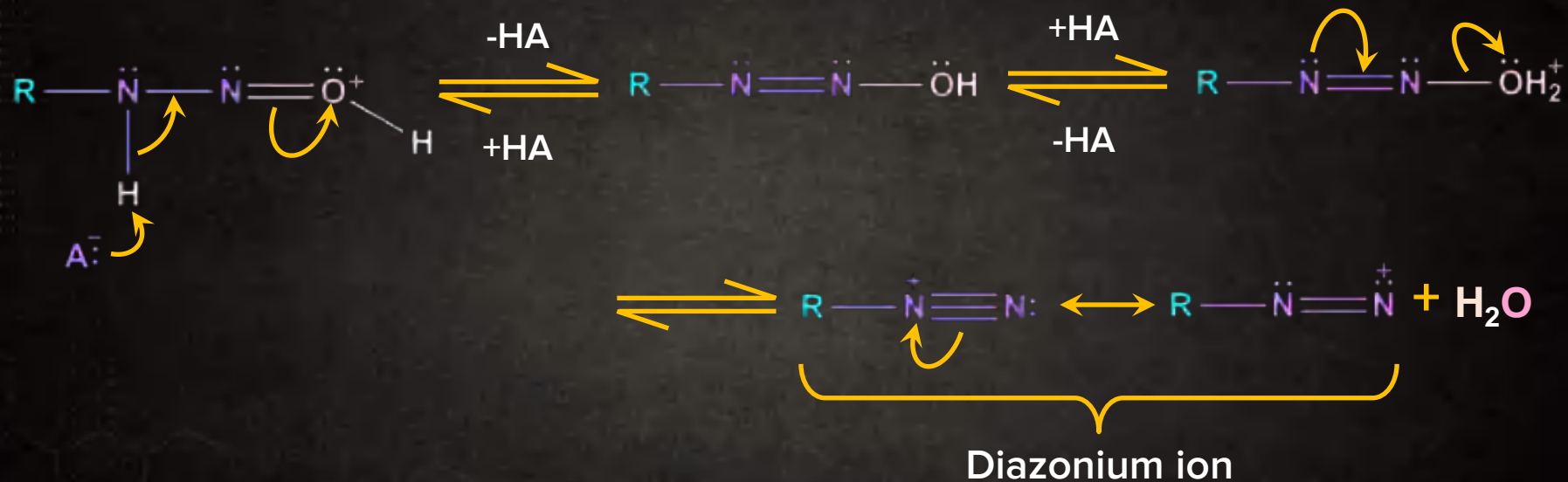
$\text{H}_2\text{O}$

Alcohol

# Mechanism



# Mechanism



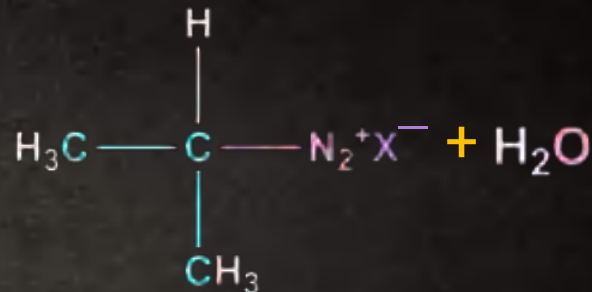
$$\text{R}-\text{N}_2^+\text{X}^- + \text{H}_2\text{O} \longrightarrow \text{R}-\text{OH} + \text{N}_2\uparrow + \text{HX}$$

Diazonium salt                      Alkyl alcohol

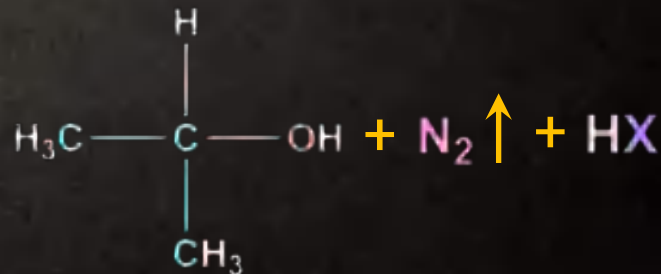
## Displacement of diazo group by -OH

# Reaction of Diazonium Salt with Water

Example



1-Methylethane diazonium halide



1-Methylethanol

# $S_N2$ Reaction



$S_N2$  reaction occurs  
in a **single step**.



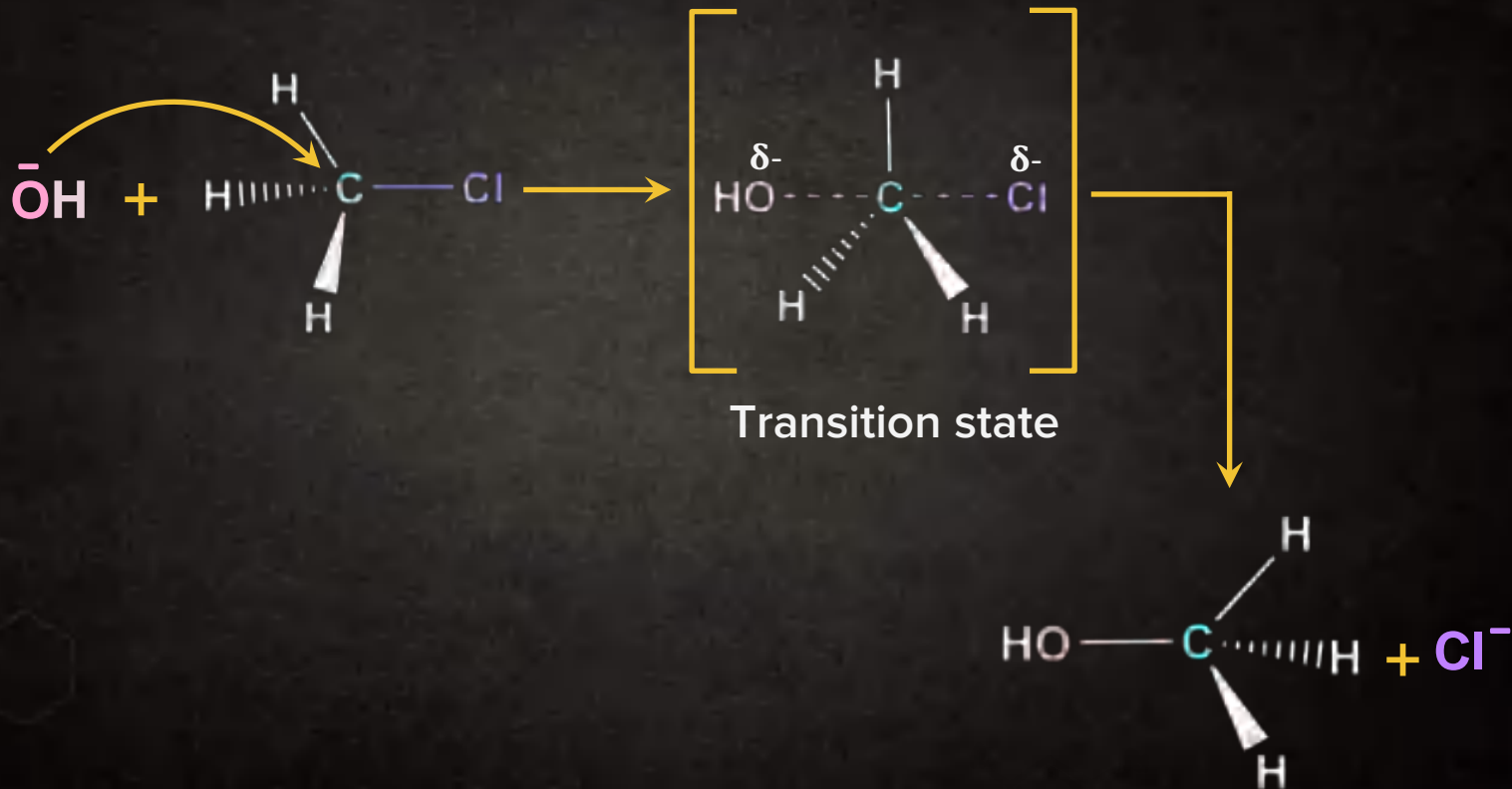
It is a **concerted**  
**reaction**.



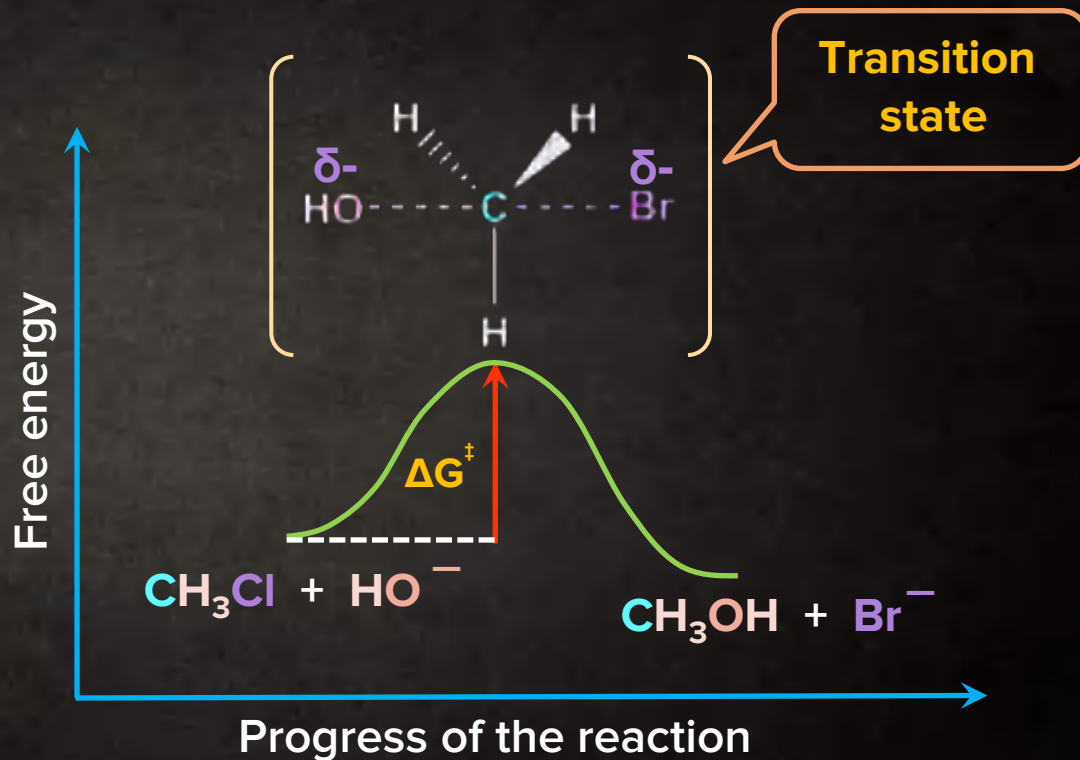
# Concerted Reaction

A reaction where bond-forming and bond-breaking occur **simultaneously** (in concert) through a **single transition state**.

# Mechanism

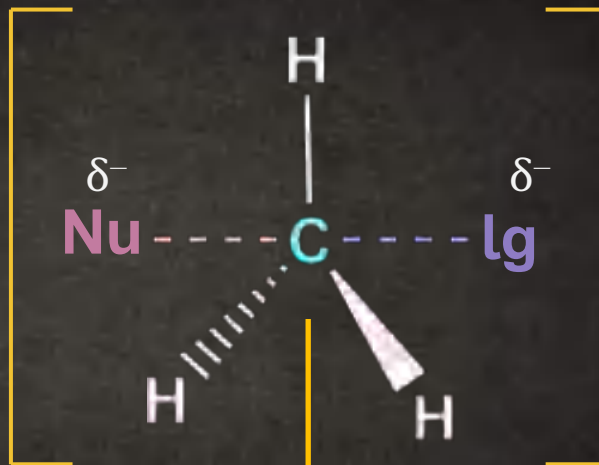


# Energy Profile Diagram of S<sub>N</sub>2 Reaction Mechanism



# Transition State (T.S.)

In the **transition state**, a bond is **partially formed** between the nucleophile and the carbon, and the bond between the carbon and the leaving group is **partially broken**.



Pentavalent T.S.

Cannot be isolated

Unstable



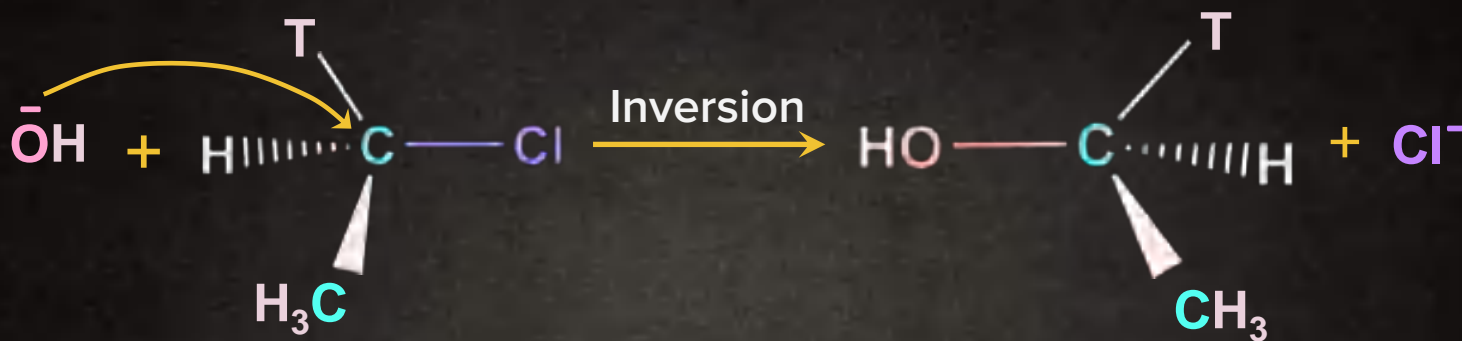
# Stereochemistry of $S_N2$ Reaction

In an  $S_N2$  mechanism, the nucleophile attacks from the **back side**, that is from the side directly opposite to the leaving group.

This causes an **inversion of configuration** at the chiral carbon atom.

Also known as **Walden inversion**

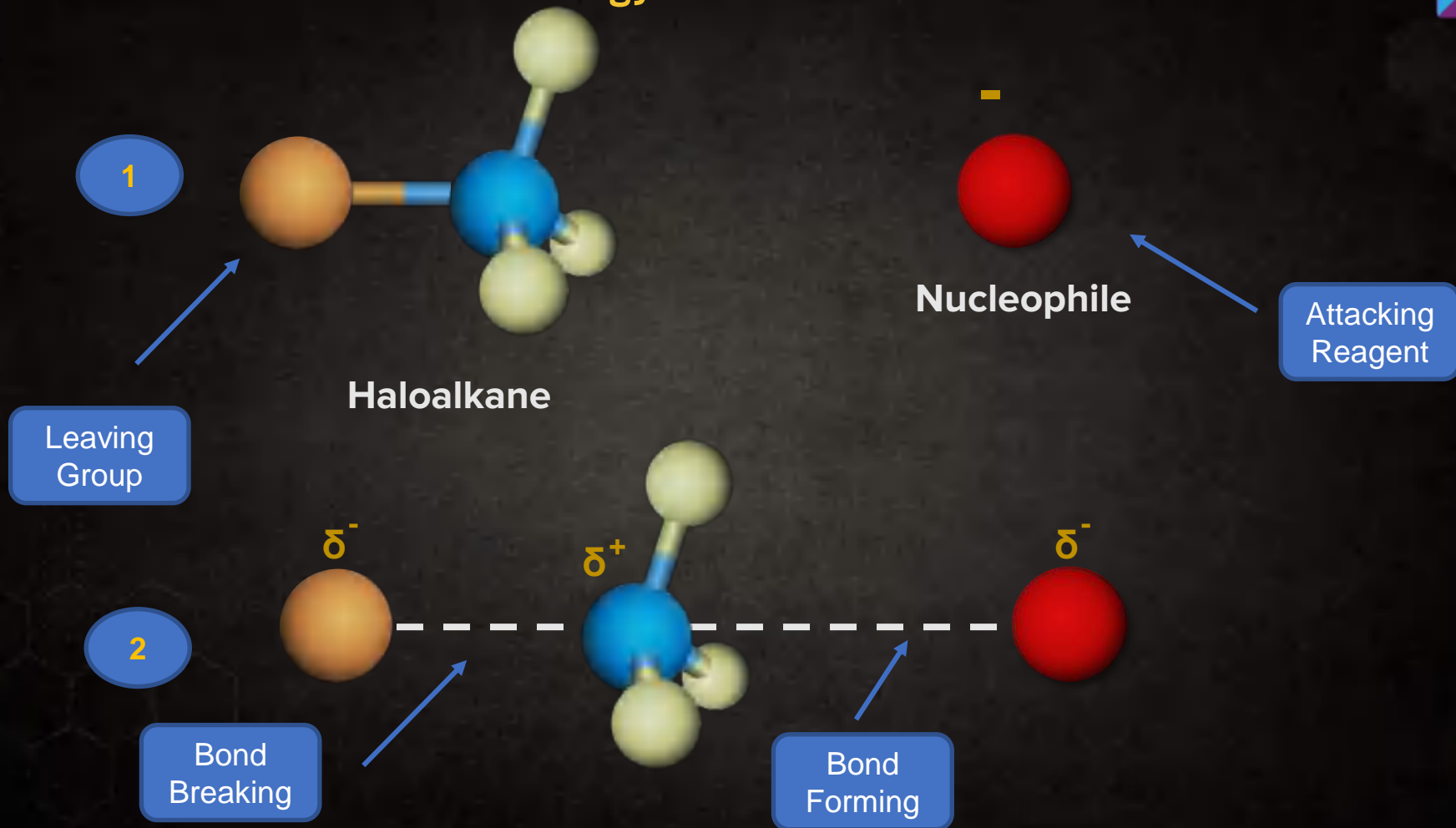
# Stereochemistry of $S_N2$ Reaction

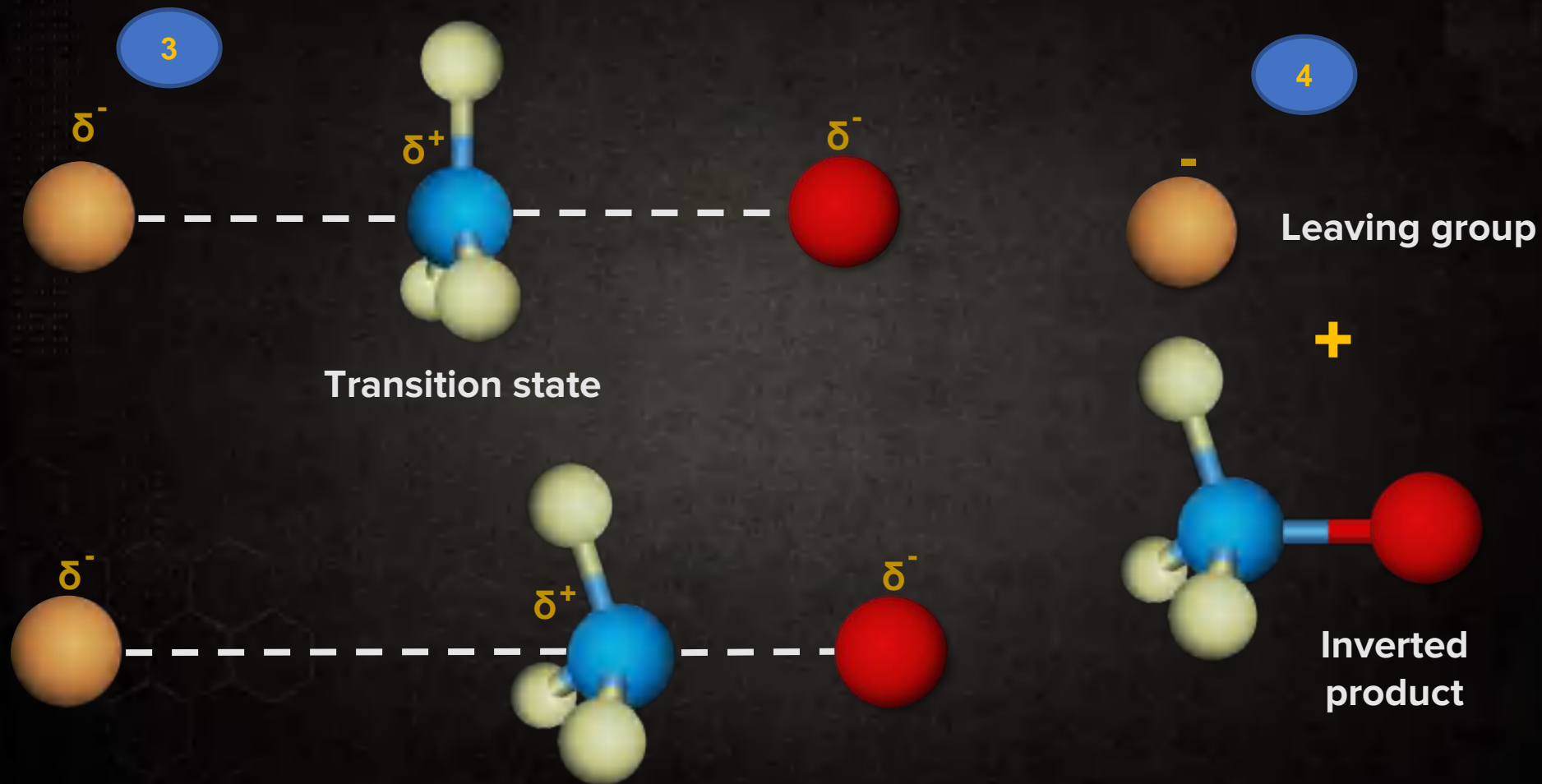


$S_N2$  is a **stereospecific** reaction.

A reaction in which a particular stereoisomeric form of the reactant reacts in such a way that it leads to a **specific stereoisomeric form** of the product.

# Let's Understand with an Analogy





# Characteristic of S<sub>N</sub>2 Reaction

1

It is a **bimolecular, one-step** process.

2

The rate of S<sub>N</sub>2 reaction depends on the **concentration** of both alkyl halide and the nucleophile.

$$\text{Rate} \propto [\text{Alkyl halide}][\text{Nucleophile}]$$



## Factors Affecting $S_N1$ and $S_N2$ Reaction

Nature of the substrate

Nature of the nucleophile

Nature of the solvent

Leaving group ability



## Effect of Nature of the Substrate in

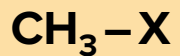
$S_N1$  reaction

$S_N2$  reaction



# Nature of Alkyl Halide

**$S_N1$  reactivity**



**<**

**1° alkyl  
halide**

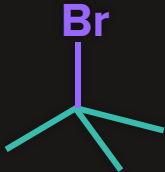
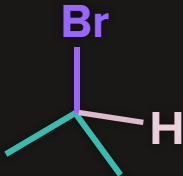
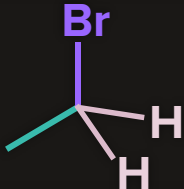
**<**

**2° alkyl  
halide**

**<**


**3° alkyl  
halide**

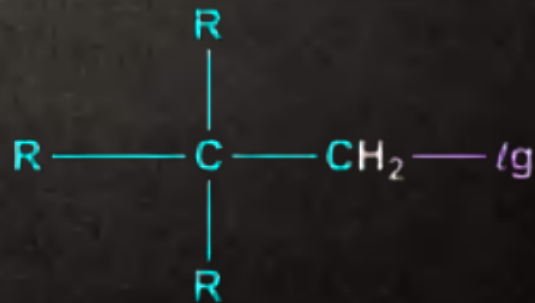
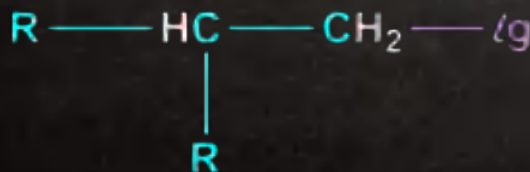
# Rates of $S_N1$ Reactions with $H_2O$

Alkyl bromide	Class of alkyl bromide	Relative rate
	Tertiary	12,00,000
	Secondary	11.6
	Primary	$\approx 0$

# Rates of $S_N1$ Reactions

$\beta$  -branching 

Rate of reaction 



## Nature of the Substrate

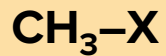
The important reason behind this order of reactivity is **steric effect**.



Very **large and bulky groups** can often **hinder** the formation of the required transition state. The crowding **raises the energy** of the transition state and **slows down the rate** of reaction.

# Nature of Alkyl Halides

**S<sub>N</sub>2 reactivity**



**>**

**1° alkyl  
halide**

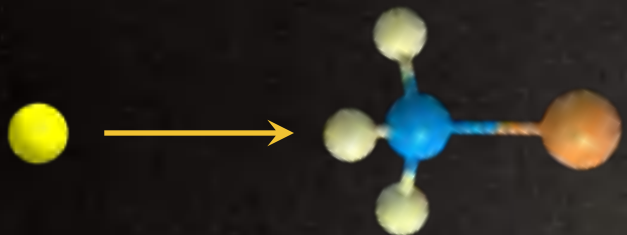
**>**

**2° alkyl  
halide**

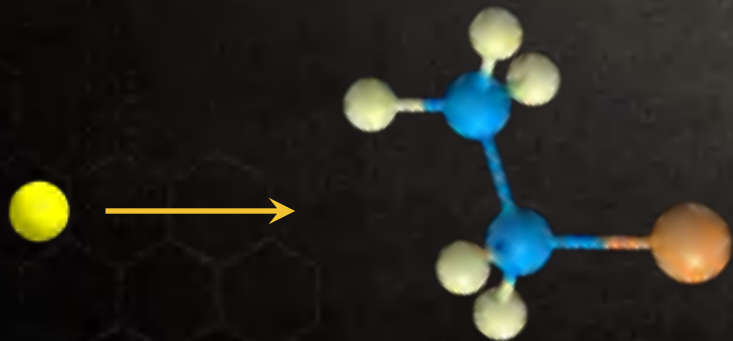
**>**

**3° alkyl  
halide**

# Nature of R-X

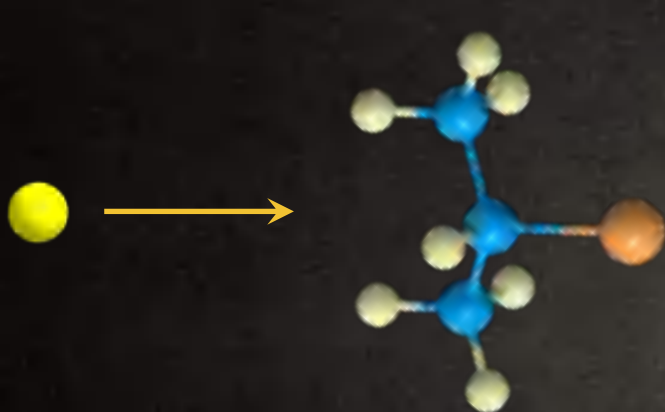


Nucleophile approaching  
a **meth**yl alkyl halide

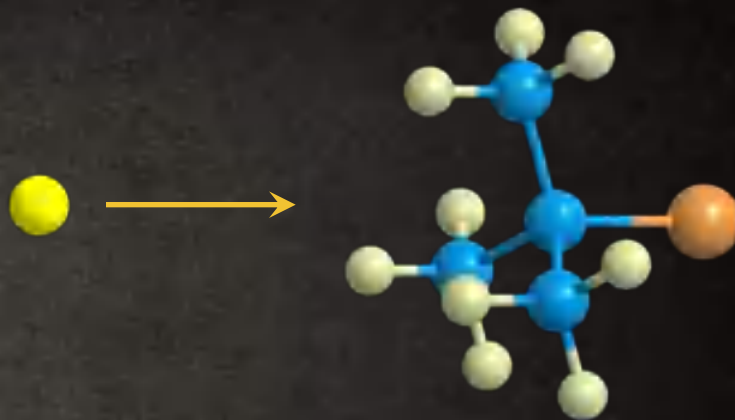


Nucleophile approaching  
**1°** alkyl halide

# Nature of R-X



Nucleophile approaching  
**2°** alkyl halide



Nucleophile approaching  
**3°** alkyl halide



## Relative Rate of Reaction of Alkyl Halides by S<sub>N</sub>2 Mechanism

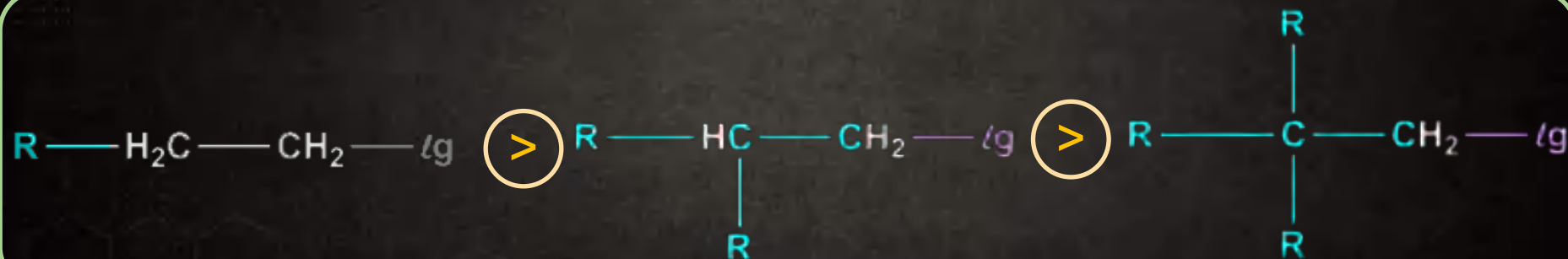
Substituent	Compound	Relative rate
Methyl	CH <sub>3</sub> X	30
1°	CH <sub>3</sub> CH <sub>2</sub> X	1
2°	(CH <sub>3</sub> ) <sub>2</sub> CHX	0.02
3°		~0

# Rates of S<sub>N</sub>2 Reactions

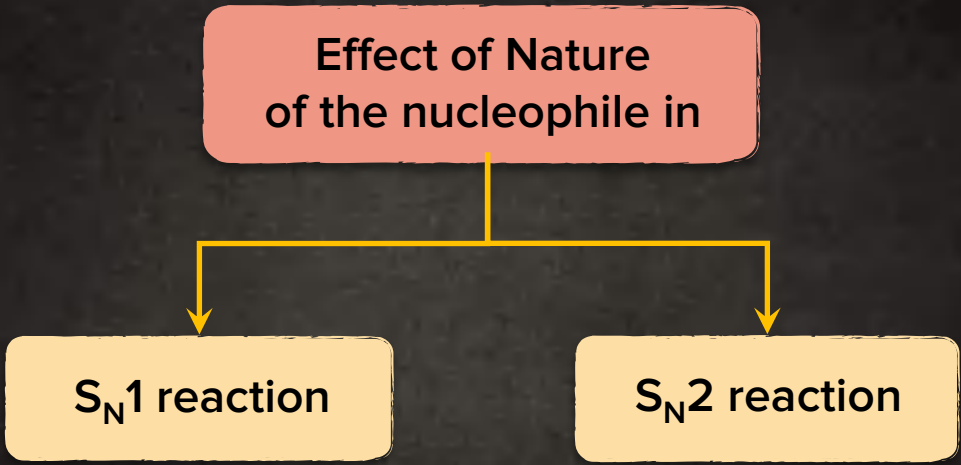
β-branching



Rate of  
reaction



Effect of Nature  
of the nucleophile in



```
graph TD; A[Effect of Nature of the nucleophile in] --> B[SN1 reaction]; A --> C[SN2 reaction];
```

$S_N1$  reaction

$S_N2$  reaction



## Concentration & Reactivity of the Nucleophile

The rate of  $S_N1$  reaction is **unaffected** by the **concentration** of the nucleophile.

**Weak and neutral**  
nucleophiles favour  
 $S_N1$  reaction.



# Concentration & Reactivity of the Nucleophile

Mostly, solvents (protic) function as nucleophiles themselves in an  $S_N1$  reaction. So, an  $S_N1$  reaction is termed as a **solvolysis reaction**.

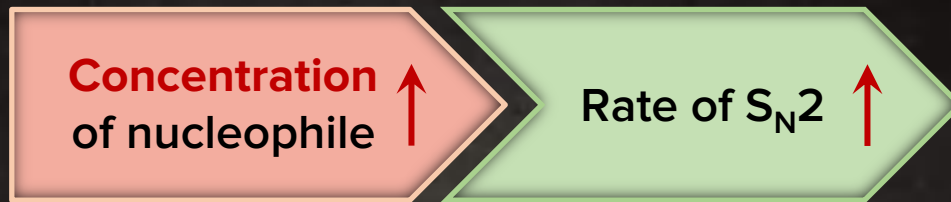
Water

**Hydrolysis**

Ethanol

**Ethanolysis**

# Concentration & Reactivity of the Nucleophile



**Anionic** nucleophiles  
mostly give  **$S_N2$**  reaction.



## Effect of Nature of the Solvent in

$S_N1$  reaction

$S_N2$  reaction

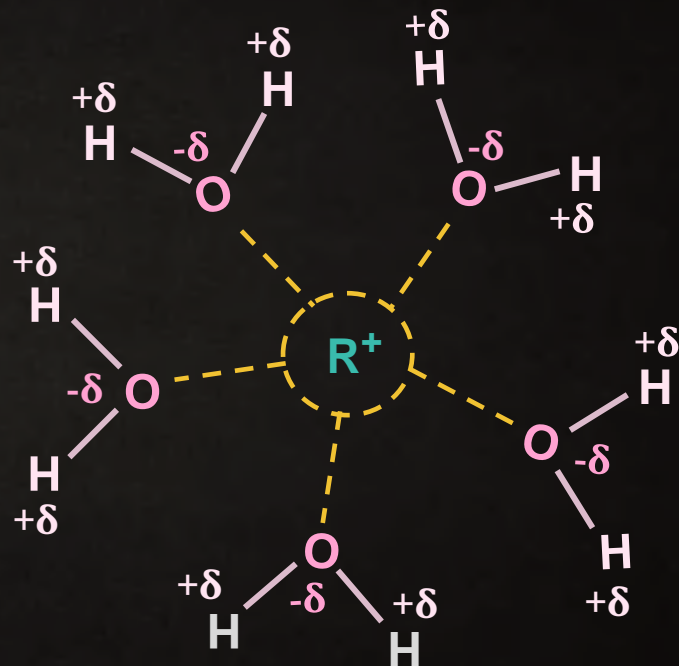
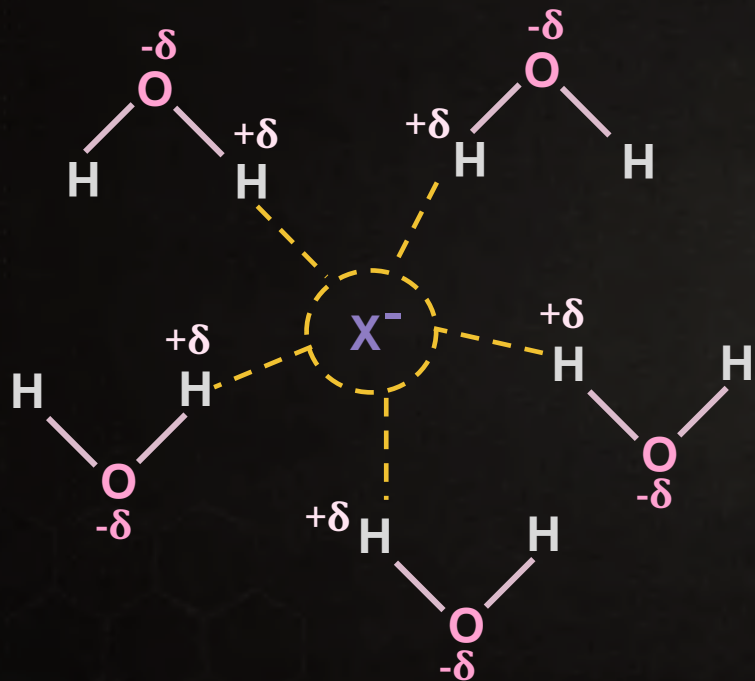


## Nature of Solvent

Using a **polar protic solvent** will greatly **increase** the rate of carbocation formation of an alkyl halide in any  $S_N1$  reaction because of its ability to **solvate cations and anions** effectively.



# Solvated Ions





# Nature of Solvent

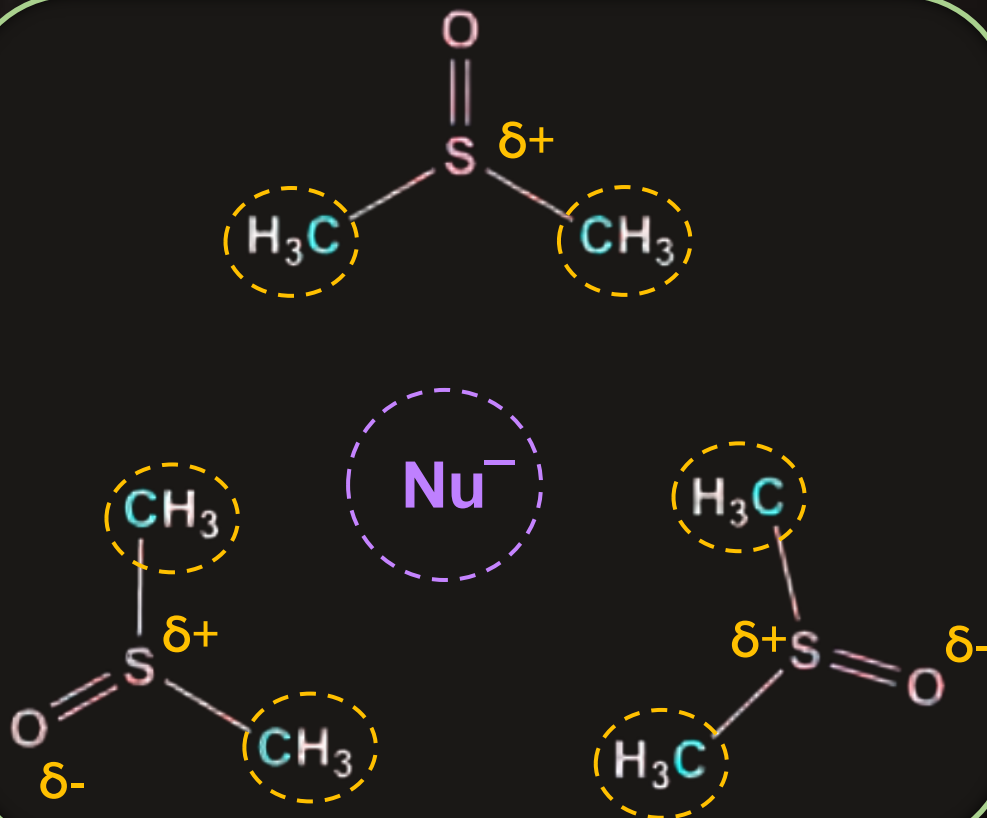
**Polar aprotic** solvents have a crowded **positive centre**, so they do not solvate the anion appreciably.



Hence, the rate of  **$S_N2$**  reactions **increases** when they are carried out in a polar aprotic solvent.

# Polar Aprotic Solvents

As we can see here, there is a repulsion between the methyls of DMSO and nu-methyl; so, nu remains unsolvated.





## Effect of Leaving Group Ability in

$S_N1$  reaction

$S_N2$  reaction



# Leaving Group Ability

In the  $S_N1$  reaction, the leaving group begins to acquire a **negative charge**.

**Stabilisation** of this developing negative charge on the leaving group **increases** the rate of reaction.

Relative reactivities of alkyl halides

RF

<

RCI

<

RBr

<

RI



# Leaving Group Ability

A good leaving group **stabilises the transition state** and thereby increases the rate of the reaction.

Relative reactivities of alkyl halides

RF

<

RCI

<

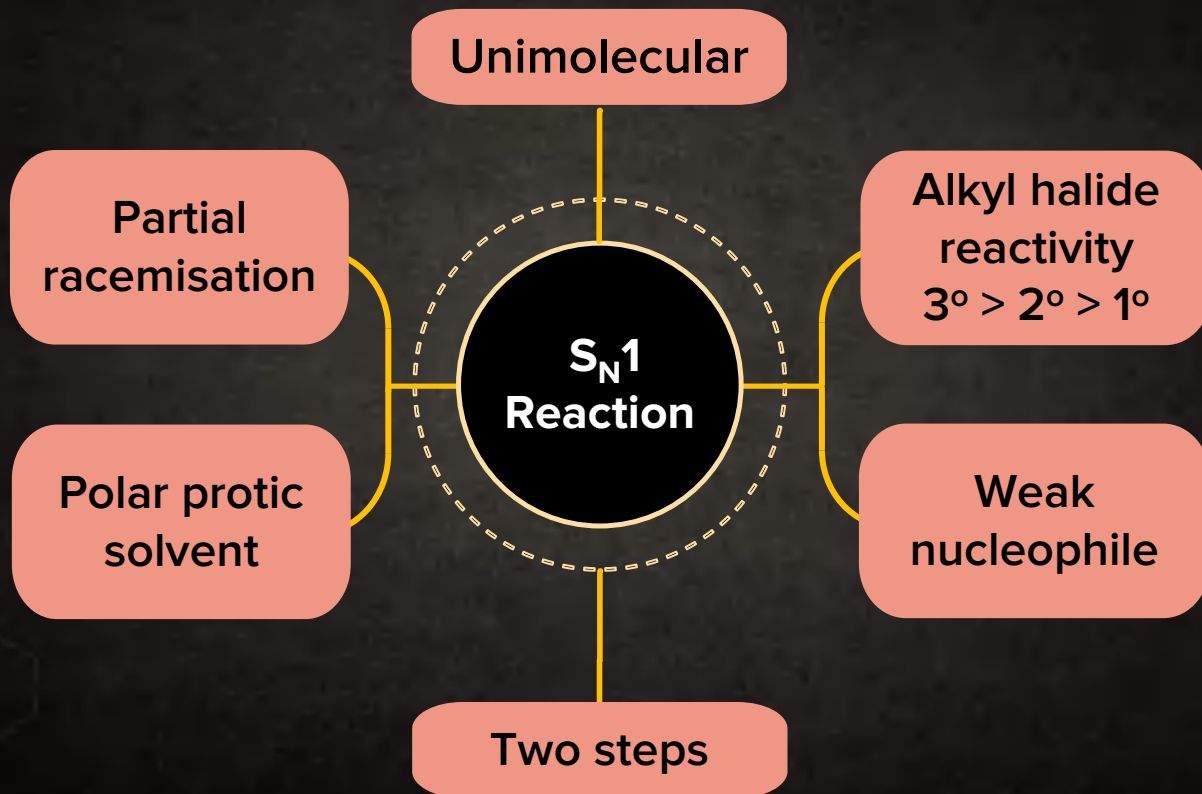
RBr

<

RI

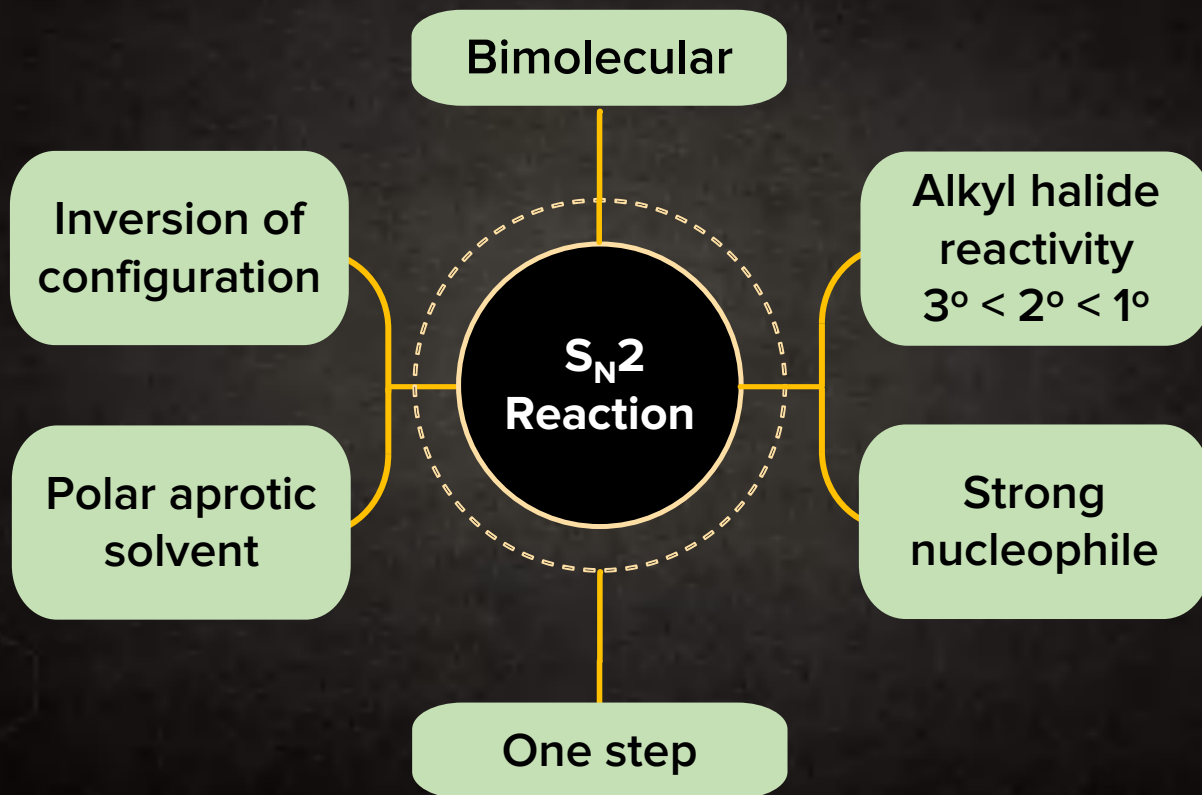


# Quick Recap of $S_N1$ Reaction





# Quick Recap of S<sub>N</sub>2 Reaction





**Nucleophilic Substitution  
Reaction in Benzylic, Vinylic,  
and Aryl Halides**

## Nucleophilic substitution in

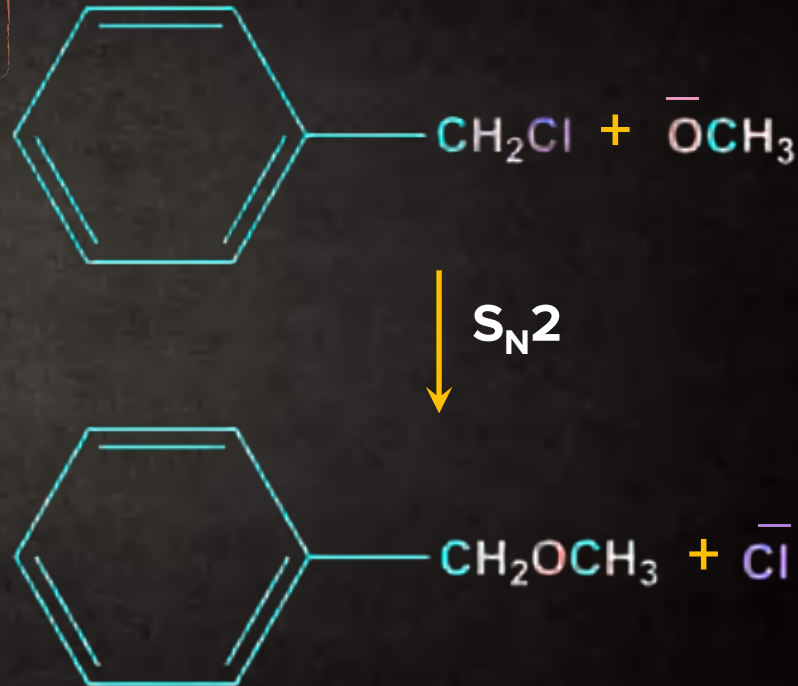
Benzylic halides

Vinylic and  
aryl halides

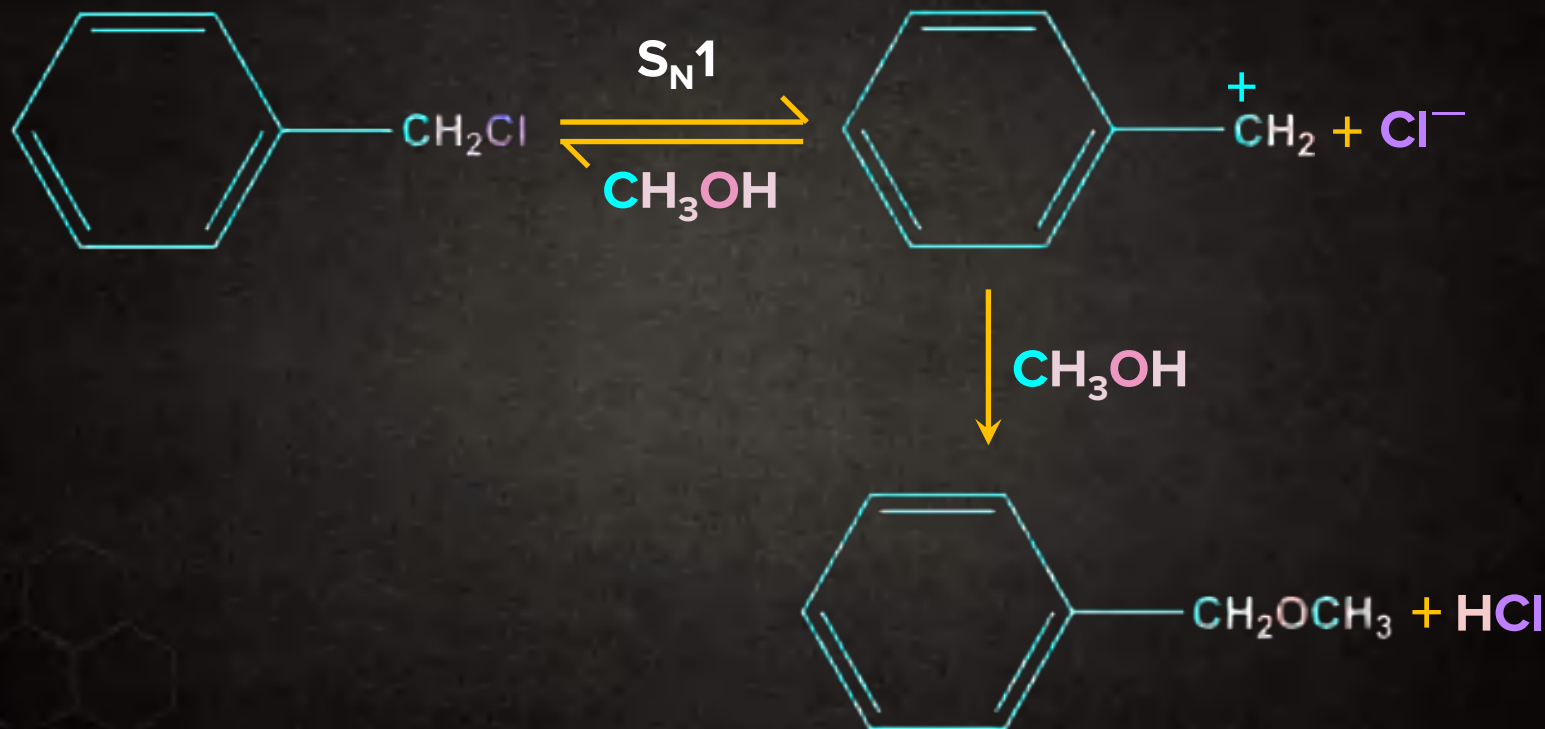
# $S_N2$ Reaction in a Benzylic Halide

Example

Benzylic halides may undergo both  $S_N1$  and  $S_N2$  reactions.



# $S_N1$ Reaction in a Benzylic Halide



Vinyllic & aryl halides generally **do not undergo**  $S_N1$  and  $S_N2$  reactions.

Unstable  
carbocation

Reason why  $S_N1$   
**does not** occur

Repulsion by  $\pi$ -  
electron cloud

Reason why  $S_N2$   
**does not** occur.

Partial double  
bond character

# Unstable Carbocation

Example

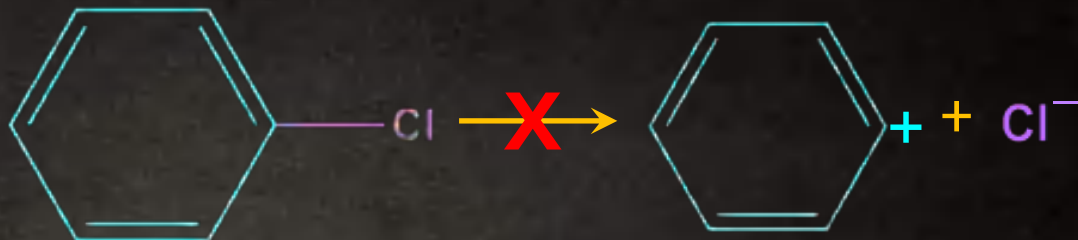


**Highly unstable  
to be formed**

**sp** carbons are more electronegative than **sp<sup>2</sup>** carbons that carry the positive charge of alkyl carbocations. This is the reason why S<sub>N</sub>1 cannot take place because these carbocations are highly unstable.

# Unstable Carbocation

Example



Aryl cation



**Highly unstable  
to be formed**

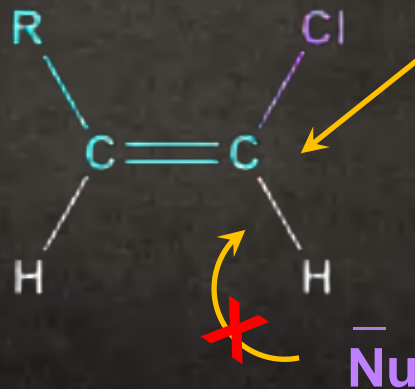
**sp** carbons are more electronegative than **sp<sup>2</sup>** carbons that carry the positive charge of alkyl carbocations.

An **sp<sup>2</sup>** hybridised C atom forms a stronger bond than an **sp<sup>3</sup>** hybridised C atom. As a result, it is hard to break the **C-X** bond when halogen is bonded to a **sp<sup>2</sup>** C atom.

# Repulsion by $\pi$ -Electron Cloud

## Example

Nucleophile is **repelled** by the  $\pi$ -electron cloud.



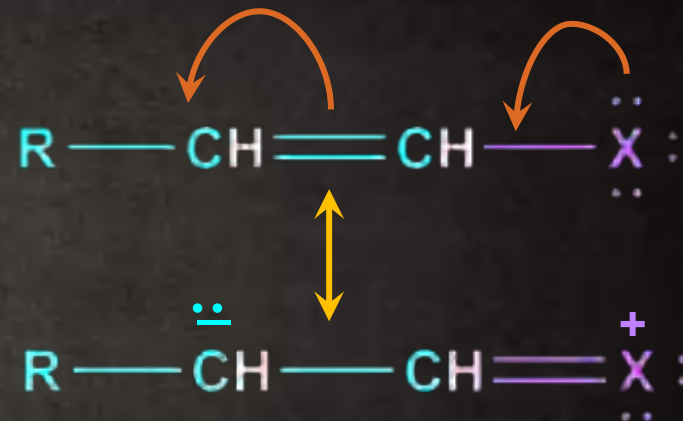
Vinylic halide



Aryl halide

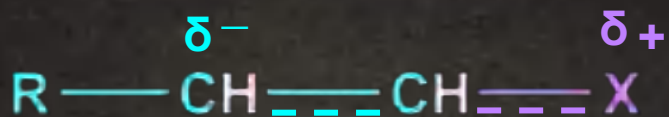
# Partial Double Bond Character

## Example



Vinylic  
halide

# Partial Double Bond Character

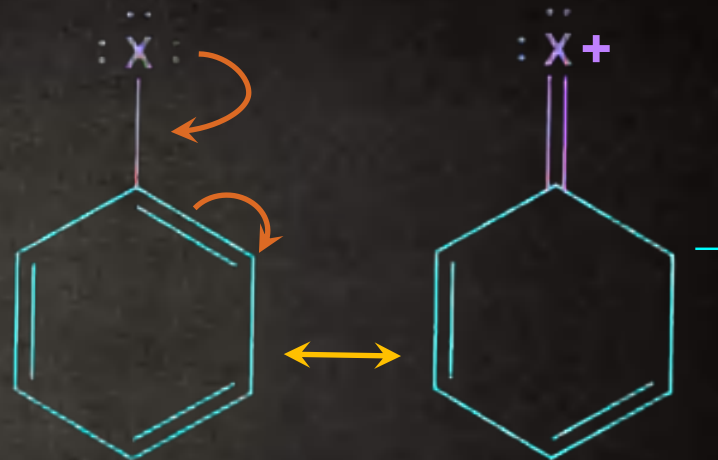


Resonance hybrid  
of vinylic halide

Due to the presence of **partial double bond character**, it is **difficult to break** the C-X bond

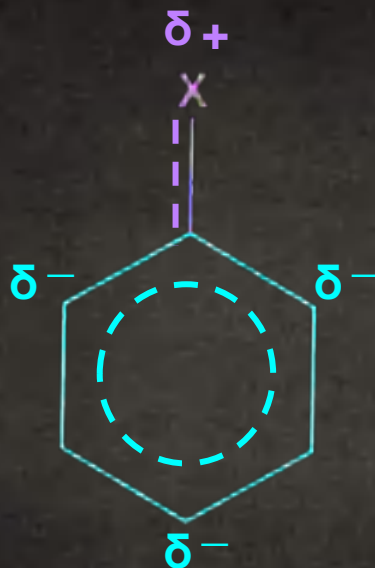
# Partial Double Bond Character

Example



Aryl halide

# Partial Double Bond Character



Resonance  
hybrid of  
aryl halide

Due to the presence of **partial double bond character**, it is **difficult to break** the C-X bond.

# Examples of $S_N2$ Reaction

**Finkelstein reaction**

**Reaction of  
ether with HI**

**Swarts reaction**

**Reaction of alcohol  
with  $PBr_3$**

**Williamson ether  
synthesis**

**Alkylation  
of ammonia**

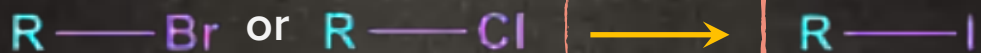
# Preparation of Alkyl Halides



Halide exchange is an  
**equilibrium process.**

# Preparation of Alkyl Iodides

## Finkelstein reaction



Reagents used: **NaI** in dry acetone



# Preparation of Alkyl Iodides

General reaction



Where X = Cl, Br

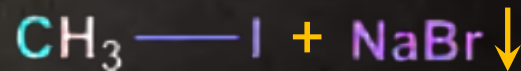
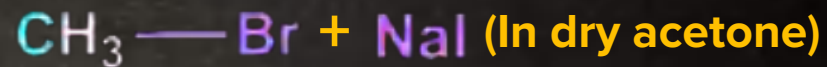
In dry acetone, NaI is **soluble** whereas NaBr and NaCl are **precipitated out**.

**Le Chatelier's principle**

This difference in **solubility** facilitates the reaction in the **forward direction**.

# Preparation of Alkyl Iodides

## Example





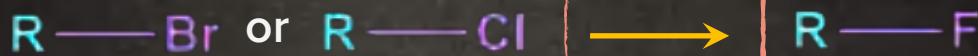
# Preparation of Alkyl Iodides

**Sodium iodide in dry acetone**  
can be used as a test for  
**primary bromides or chlorides.**

Since the mechanism is  **$S_N2$** , the  
reaction is much more successful  
**for primary halides** than for  
**secondary or tertiary halides.**

# Preparation of Alkyl Fluorides

## Swarts reaction



Reagents used: **AgF, SbF<sub>3</sub>, CoF<sub>2</sub>, Hg<sub>2</sub>F<sub>2</sub>**

### Example



# Preparation of Ethers

**Williamson ether Synthesis**

**Laboratory Process**

Alkyl halide



Ether

Reagents

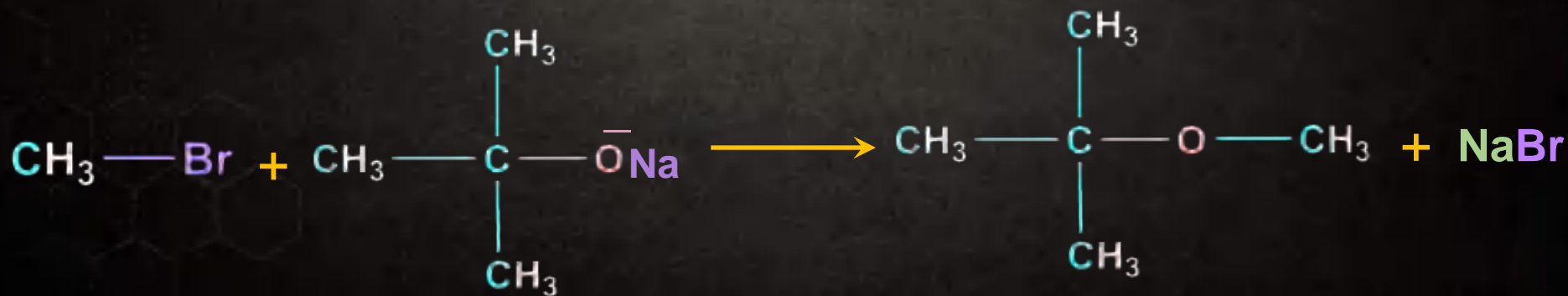
Sodium alkoxide

# Williamson Synthesis

General reaction



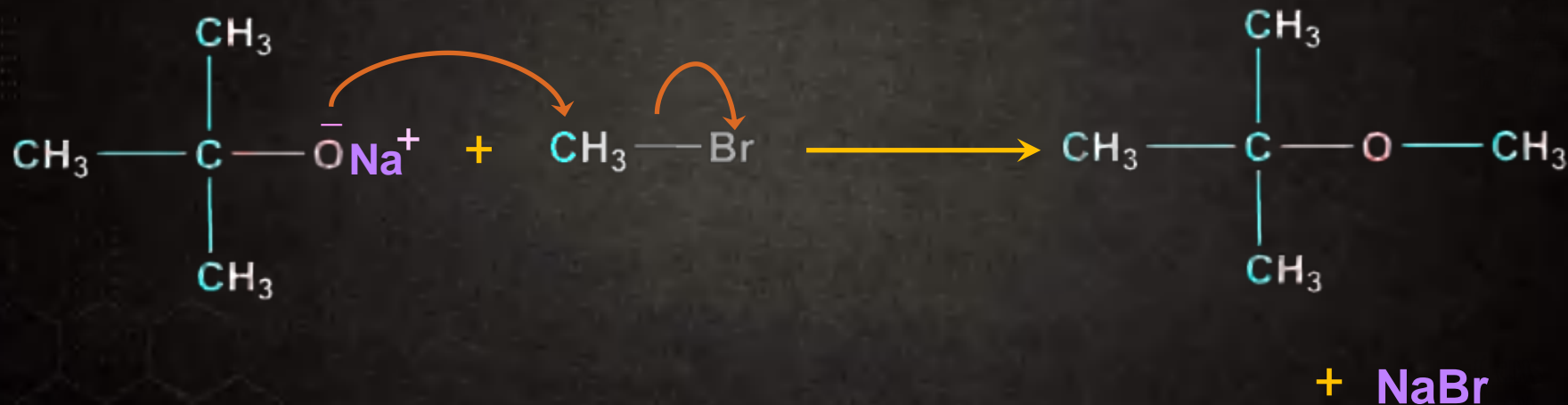
Example





Generally, the reaction follows **S<sub>N</sub>2 mechanism**.

Williamson reaction occurs in a **single step**.



## Reaction of HI

With alcohol

With ether

## Reaction of HI with Alcohol



Propyl alcohol

Propyl iodide

Generally, **primary alcohols and methanol** react to form alkyl halides under acidic conditions by an  $\text{S}_{\text{N}}2$  mechanism.

# Chemical Properties of Ethers

Ethers



Alkyl halide

Reagents



HX (excess),  
high temperature

General reaction



Excess



## Steps involved in O-O bond cleavage of ethers

Step 1

Protonation of the ether

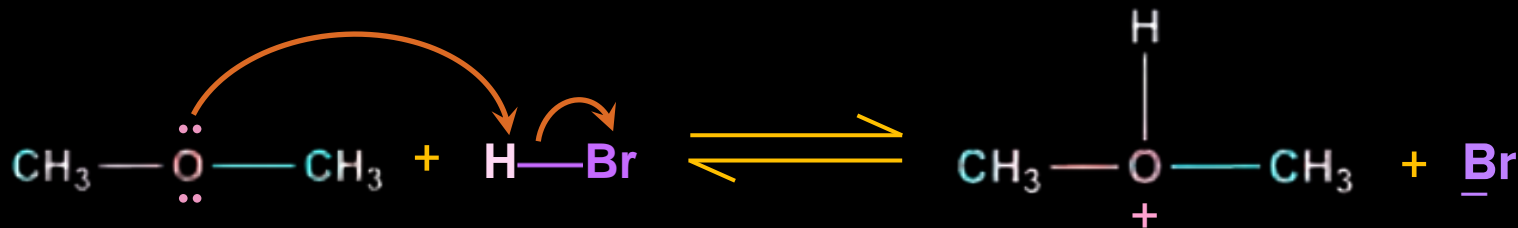
Step 2

Attack of the nucleophile

Step 3

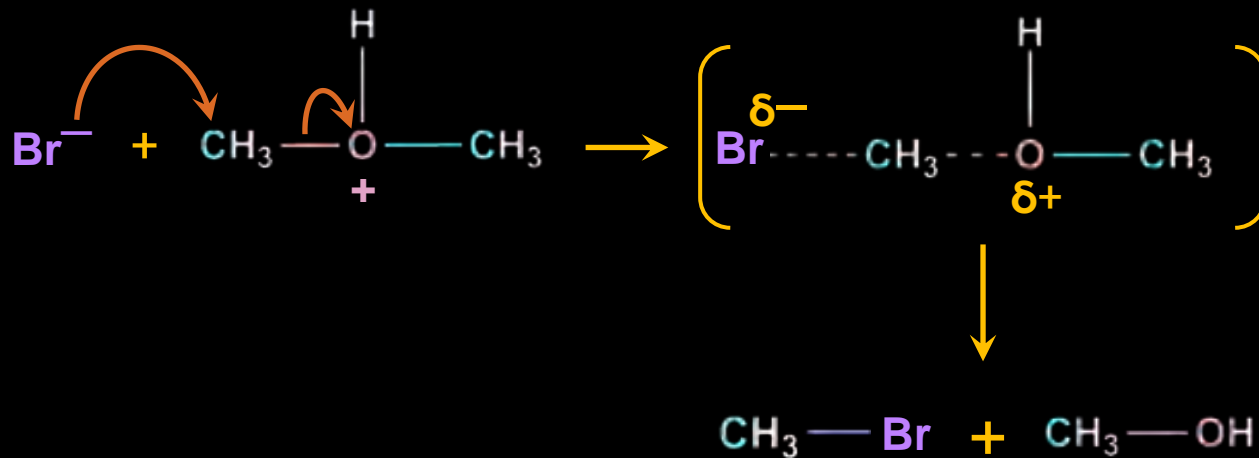
Reaction of alcohol with HBr

# Protonation of the Ether



Oxonium ion

# Attack of the Nucleophile



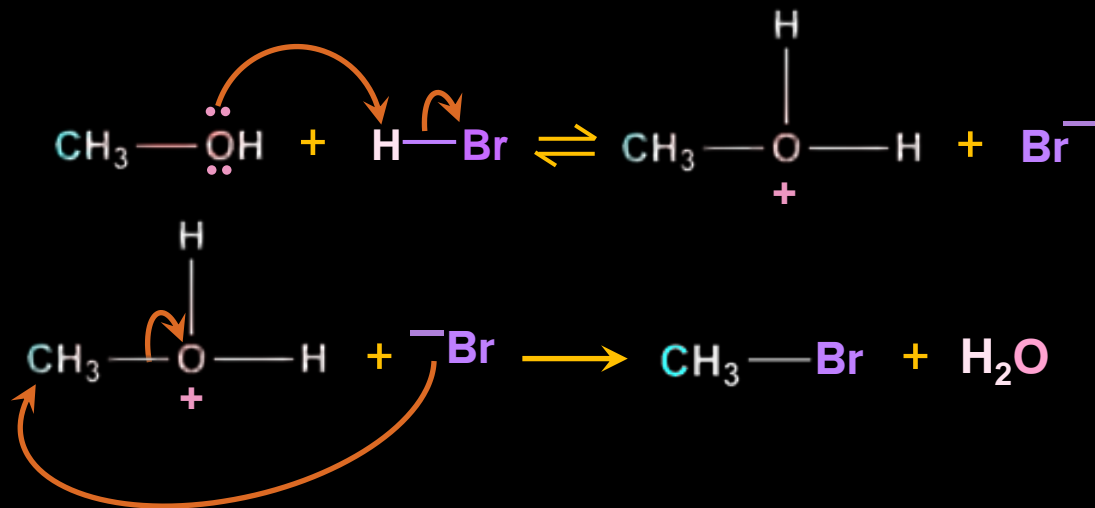
This step follows the **S<sub>N</sub>2** mechanism.

Since this is an S<sub>N</sub>2 step, Br<sup>-</sup> attacks the **less bulky** alkyl group.

# Reaction of Alcohol with HBr



HBr is in **excess**  
(at high  
temperature).





## Reaction of Alcohol with HI

The reagent **HI** is usually used for alkyl iodides. The use of HI sometimes results in reduction of the **alkyl iodide to the alkane**.



# Examples of $S_N2$ Reaction

Finkelstein reaction

Reaction of ether  
with HI

Swarts reaction

Reaction of alcohol  
with  $PX_3$

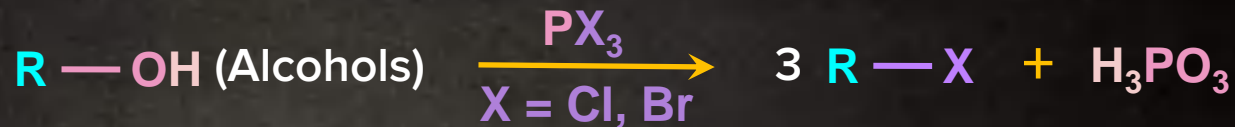
Williamson ether  
synthesis

Ammonolysis of R-X



## Reaction of Alcohol with $PX_3$

### General reaction



### Mechanism

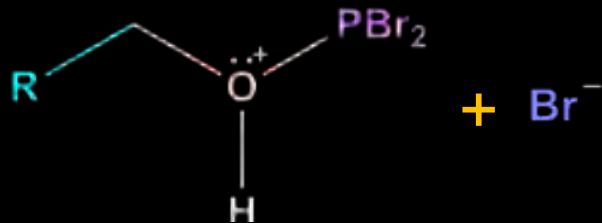
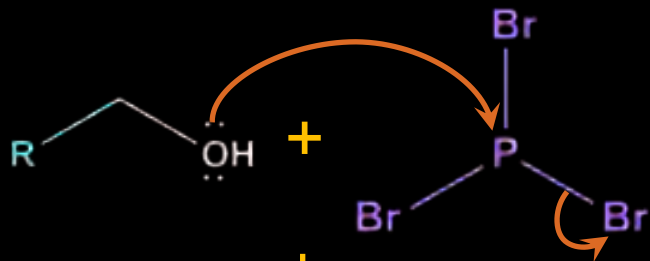
Step 1

Reaction of alcohol with  $PBr_3$

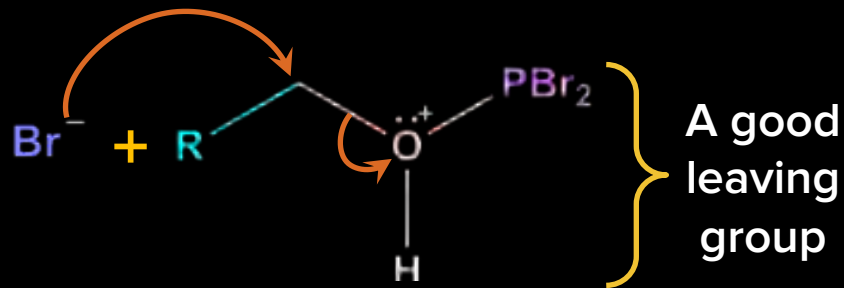
Step 2

Formation of alkyl bromide

# Mechanism



Protonated alkyl  
dibromophosphite

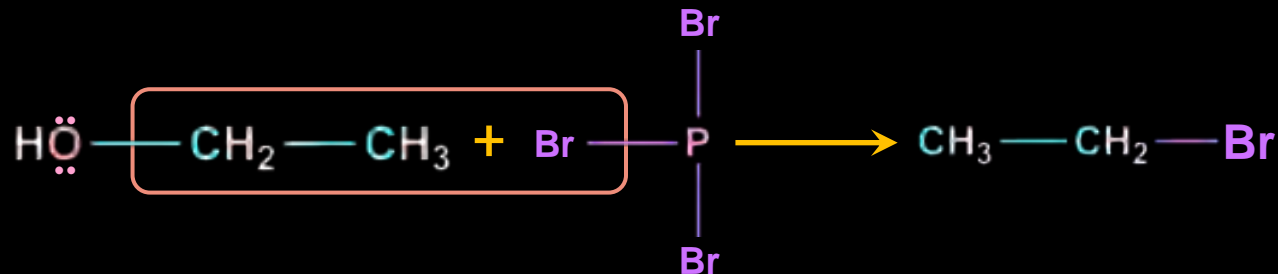




## Reaction of Alcohol with $\text{PBr}_3$

$\text{HOPBr}_2$  can react with **2 more moles** of alcohol, so the net result is the conversion of **3 mol** of **alcohol to alkyl bromide** by 1 mol of phosphorus tribromide.

Short trick





# Preparation of Amines

Alkyl/benzyl halide



Amines

Ammonolysis

Ammonolysis of  $R-X$

Reagent used

Ammonia in  
ethanol at 373 K



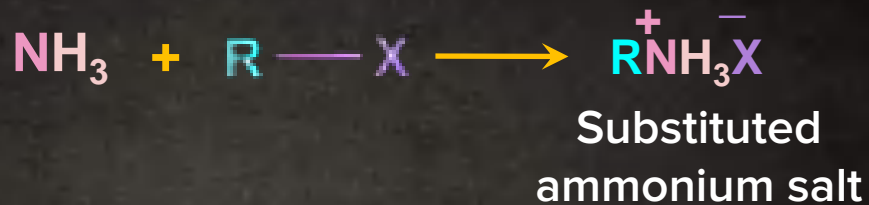
In sealed tube

$S_N2$  reaction



# Ammonolysis of R-X

## General reaction



## Example





# Ammonolysis of R-X

By the treatment with a **strong base**

General reaction



Limitation of  
Ammonolysis of  
Alkyl halides

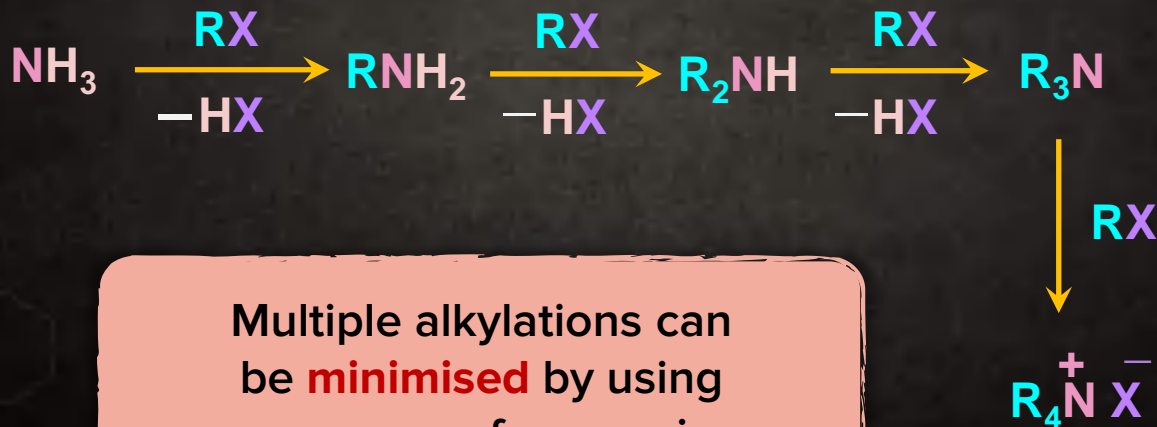
A mixture of **1°, 2°, 3°**  
**amines** is formed.



# Ammonolysis of R-X

The **primary amine** obtained in the first step behaves as a **nucleophile**.

It again reacts with **alkyl halide** to form **secondary** and **tertiary amines**, and finally **quaternary ammonium salt**.



Multiple alkylations can be **minimised** by using an excess of ammonia.



# Ammonolysis of R-X

Ammonolysis of  $\text{R}-\text{X}$

Reactivity order  
 $\text{RI} > \text{RBr} > \text{RCI}$

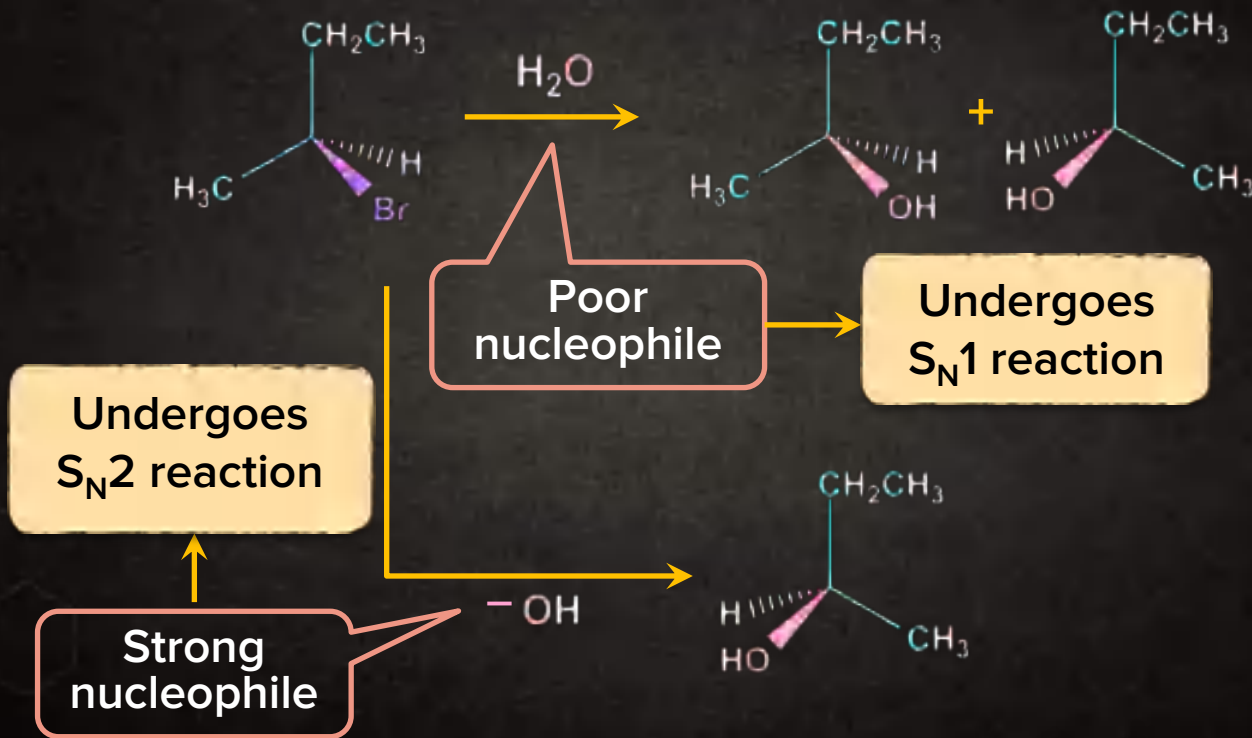


Factor	S <sub>N</sub> 1	S <sub>N</sub> 2
Substrate	3° (requires formation of a relatively <b>stable carbocation</b> )	Methyl > 1° > 2° (requires <b>unhindered substrate</b> )
Nucleophile	<b>Weak Lewis base</b> , neutral molecule, nucleophile may be the solvent (solvolysis)	<b>Strong Lewis base</b> , rate increased by high concentration of nucleophile

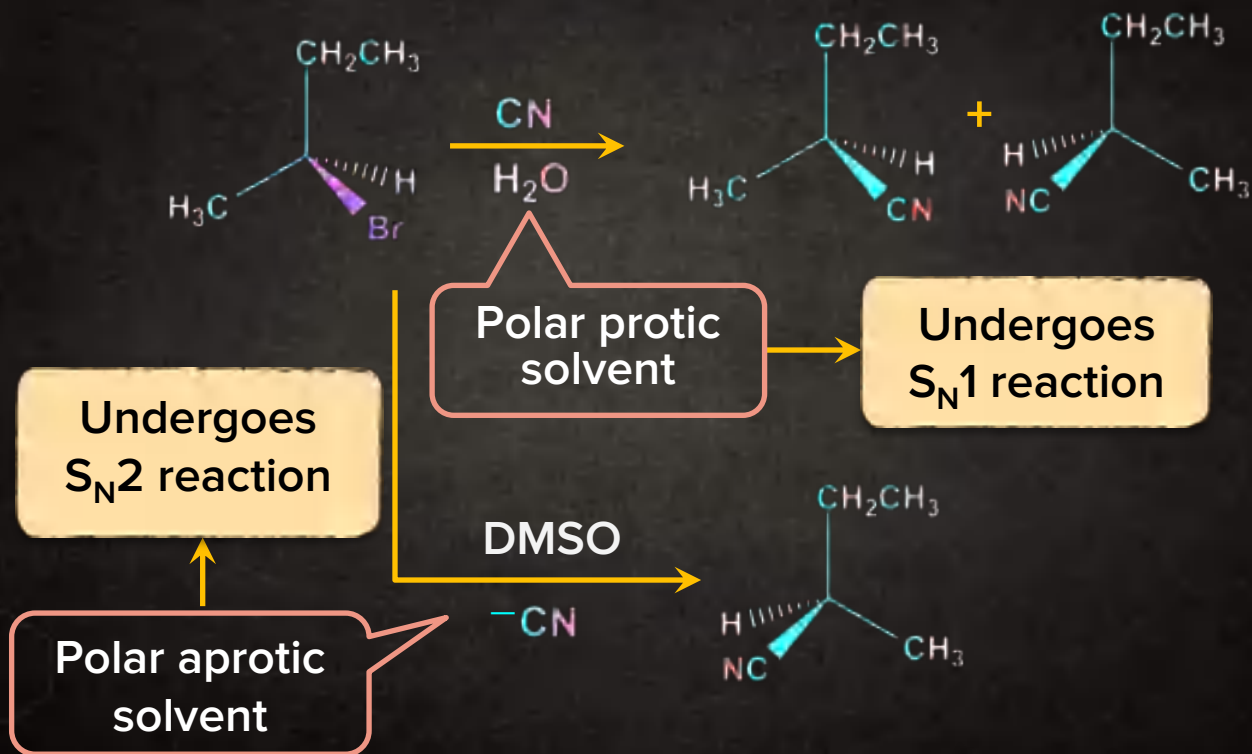


Factor	S <sub>N</sub> 1	S <sub>N</sub> 2
Solvent	<b>Polar protic</b> (Examples: Alcohols, water)	<b>Polar aprotic</b> (Examples: DMF, DMSO)
Leaving group	I > Br > Cl > F for <b>both S<sub>N</sub>1 and S<sub>N</sub>2</b>	

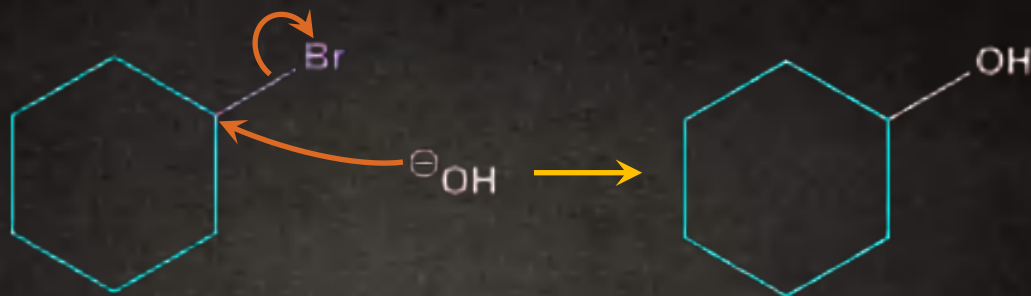
# $S_N1$ vs $S_N2$



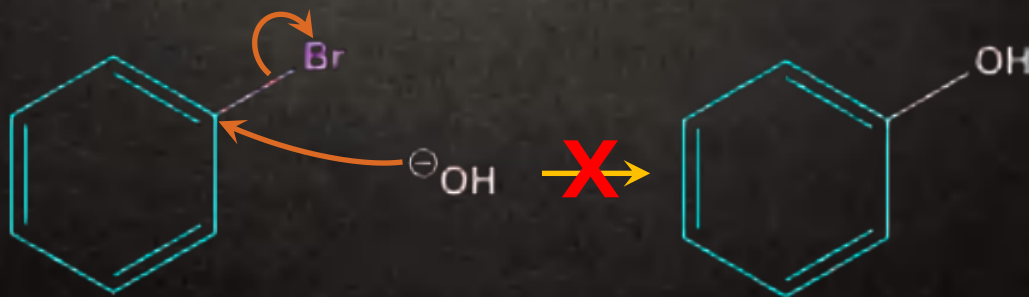
# $S_N1$ vs $S_N2$



# $S_NAr$ : Nucleophilic Aromatic Substitution



Reaction **does** happen



Reaction **does not** happen

Due to partial double bond character in aryl halides,  $S_N2$  will not take place.



# Nucleophilic Aromatic Substitution

Aryl halides can be **remarkably reactive** towards nucleophiles if

They react under the **proper conditions**

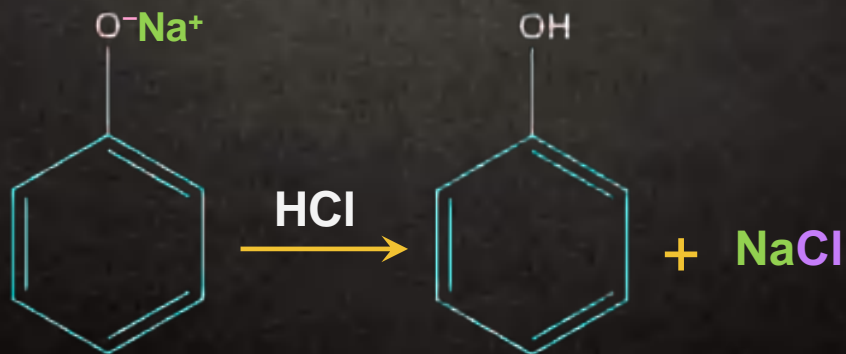
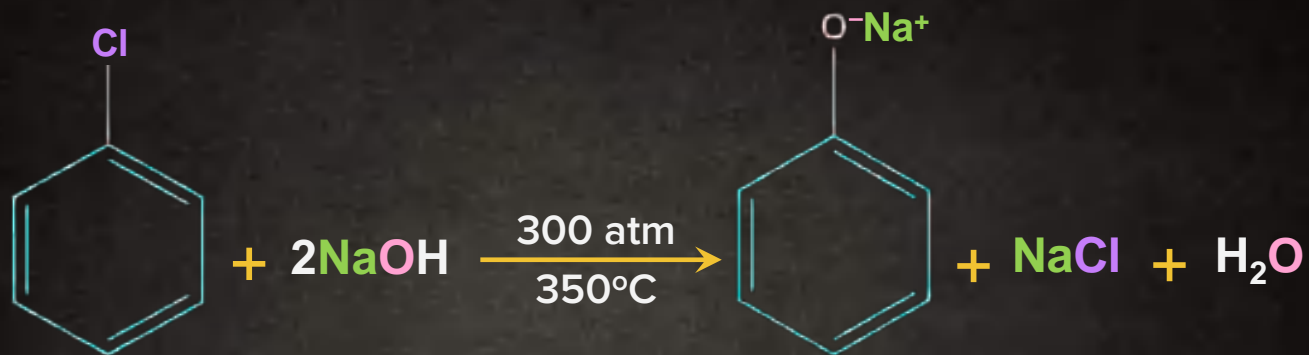
They bear **certain substituents**



## Dow's Process

Chlorobenzene is heated at **350°C** (under high pressure) with aqueous sodium hydroxide. The reaction produces **sodium phenoxide**, which on acidification yields phenol.

# Dow's Process



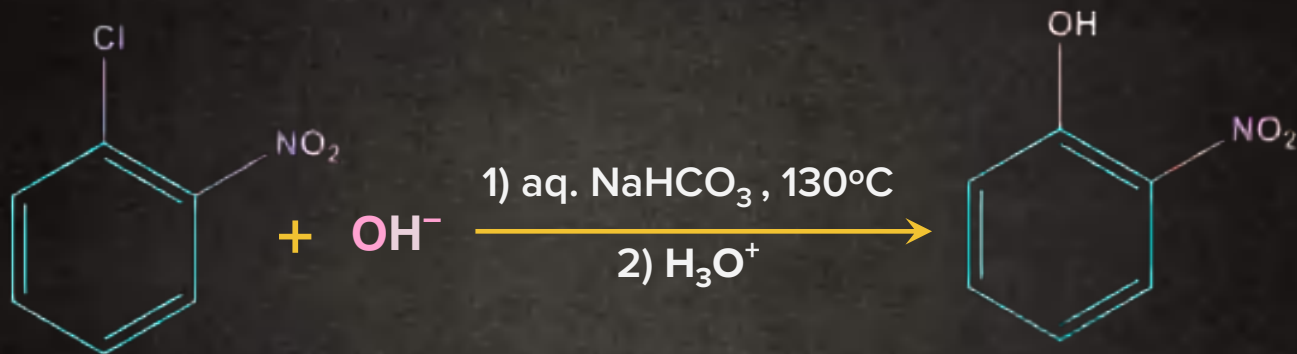


# Nucleophilic Aromatic Substitution

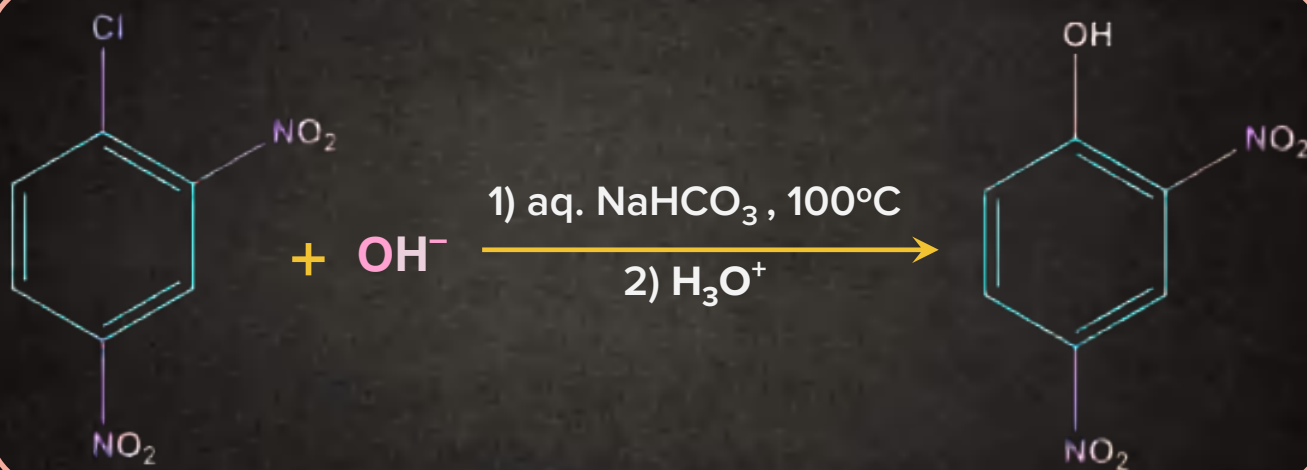
Nucleophilic substitution reactions of aryl halides do **occur readily** when an electronic factor makes the aryl carbon bonded to the halogen **susceptible to a nucleophilic attack**.

A nucleophilic aromatic substitution reaction can occur when **strong electron-withdrawing** groups are **ortho or para** to the halogen atom.

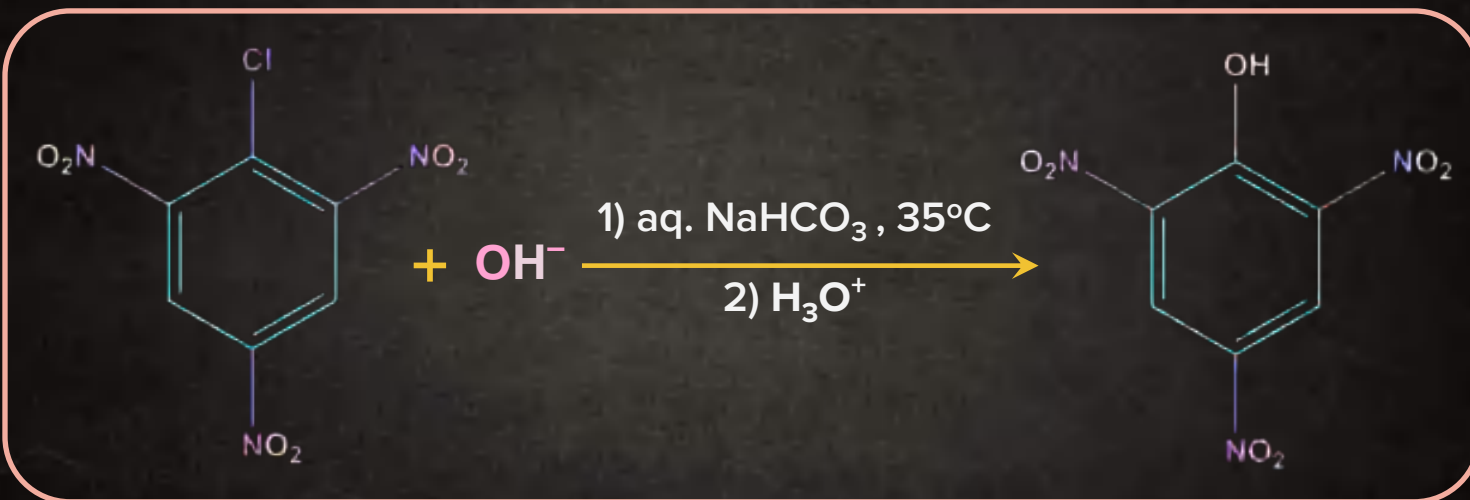
# Nucleophilic Aromatic Substitution



# Nucleophilic Aromatic Substitution



# Nucleophilic Aromatic Substitution





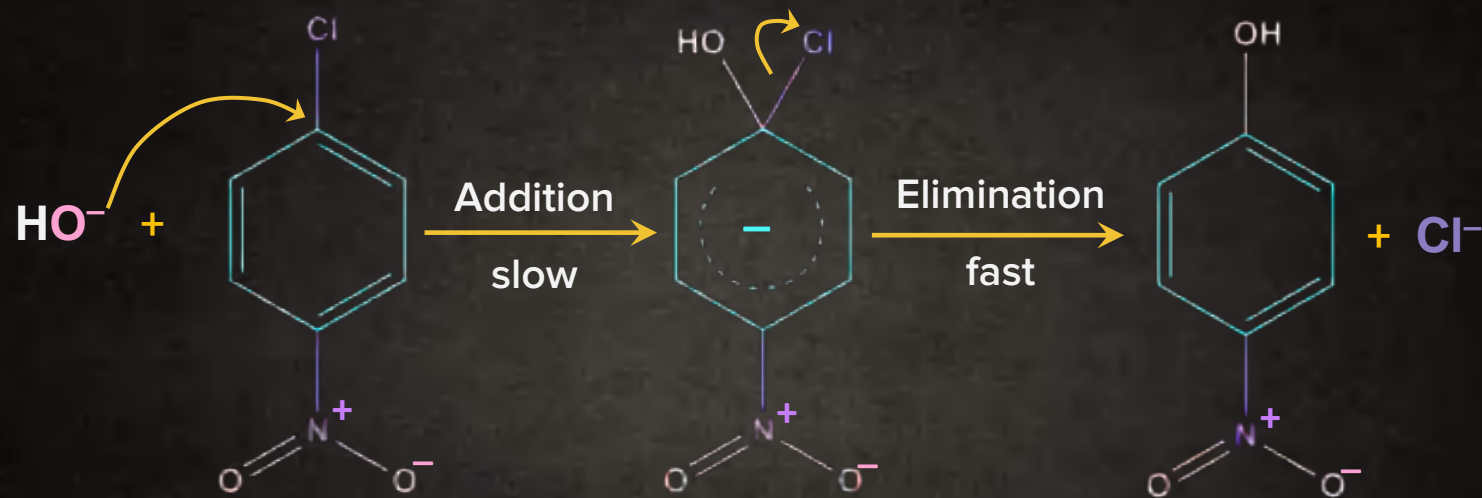
# Nucleophilic Aromatic Substitution

A meta-nitro group **does not** produce a similar activating effect as that of ortho and para.

For example, m-Nitrochlorobenzene gives **no corresponding reaction**.

The process is called nucleophilic aromatic substitution (**S<sub>N</sub>Ar**).

# $S_NAr$

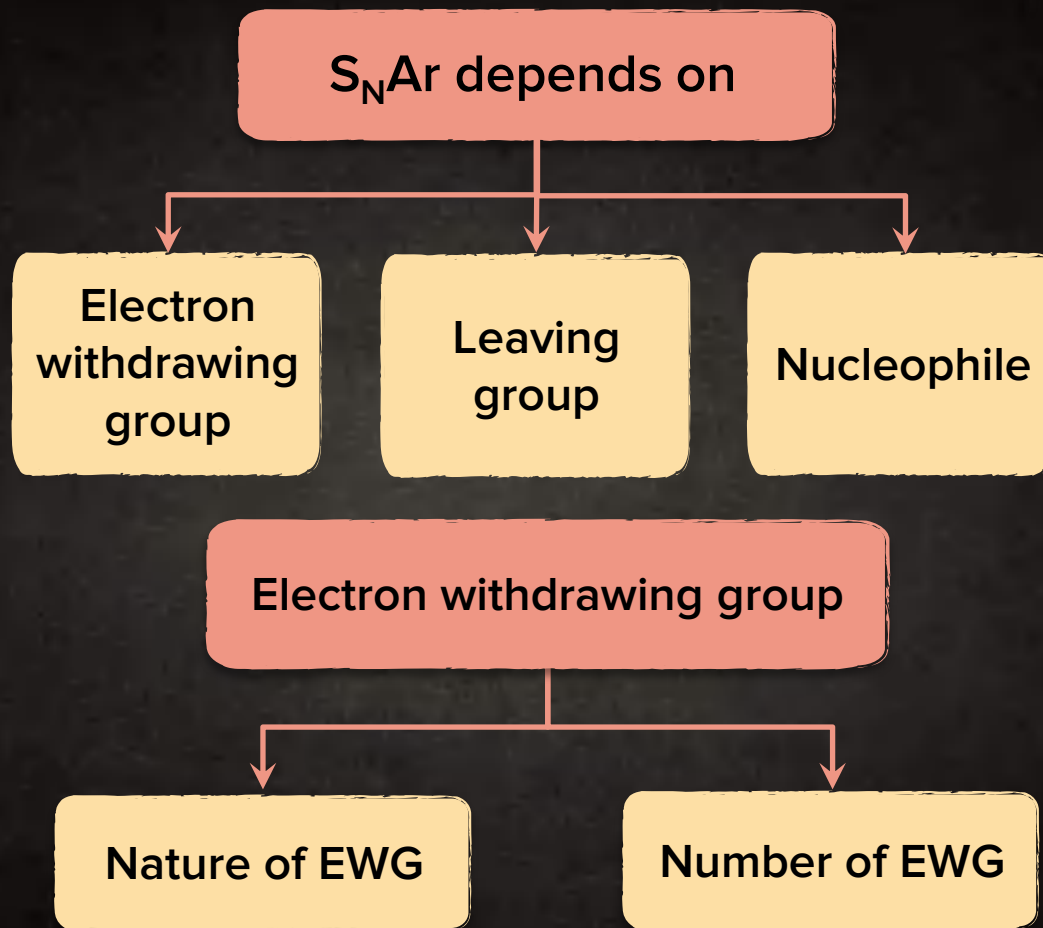


Carbanion

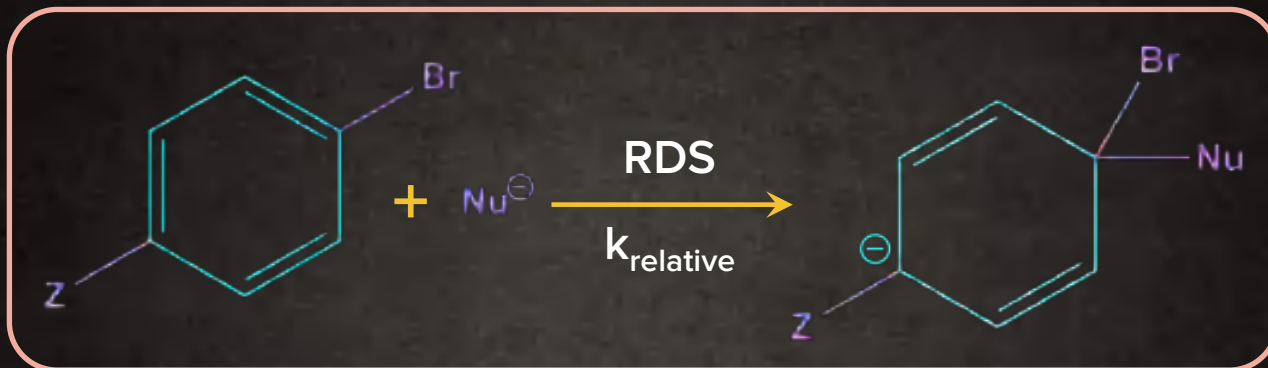


# Nucleophilic Aromatic Substitution

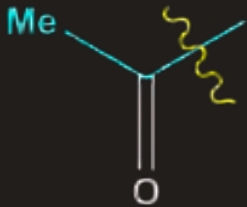
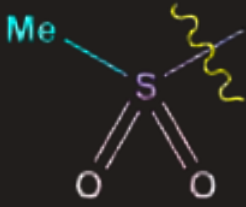
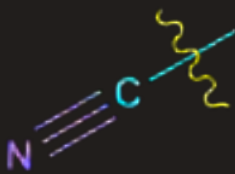
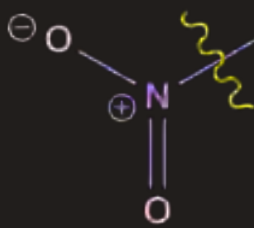
The carbanion is stabilised by **electron-withdrawing groups** in the positions **ortho and para** to the halogen atom.



# Effect of EWG on $S_NAr$



**Stronger** the EWG,  
**faster** is the reaction.

Z	$k_{\text{rel}}$	Z	$k_{\text{rel}}$
	0.013		0.053
	0.031		1.0

## Effect of EWG on $S_NAr$



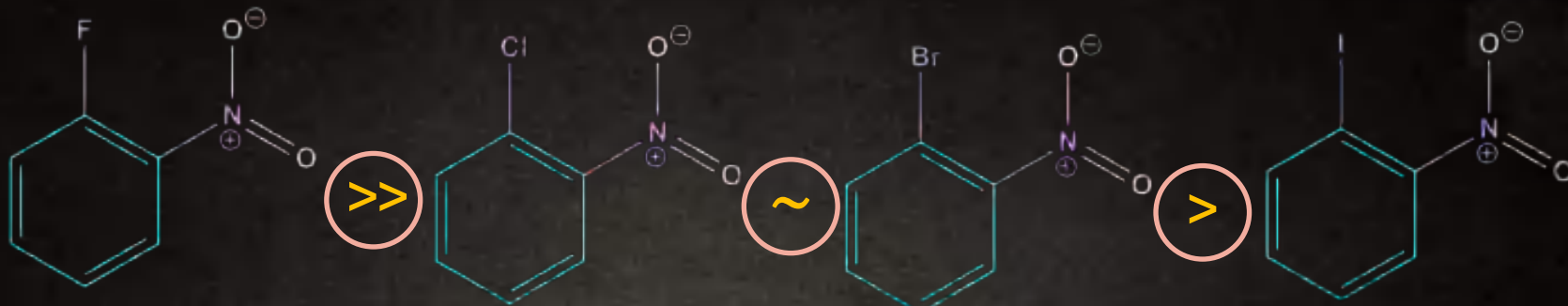
**More** the number of EWG,  
**faster** is the reaction.



# Nucleophilic Aromatic Substitution

o-Nitrochlorobenzene requires the **highest temperature** (p-Nitrochlorobenzene reacts at 130°C as well).

2,4,6-Trinitrochlorobenzene requires the **lowest temperature**.

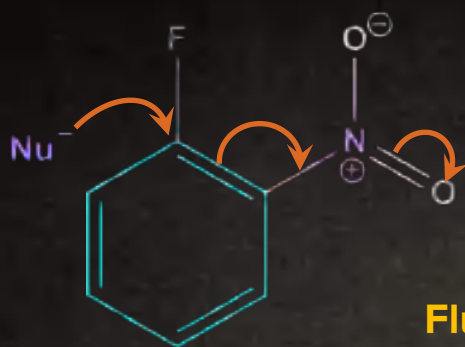


**Fastest** reaction

**Slowest**  
reaction

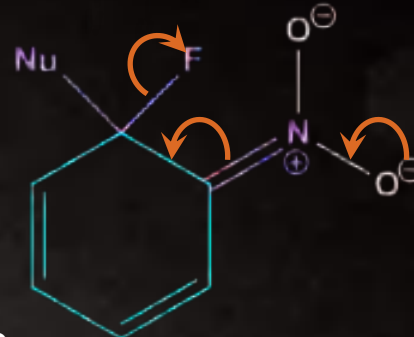
Fluoride is **never used as a leaving group** at nucleophilic substitutions at saturated carbon.

The **C-F bond is very strong**, the strongest of all the single bonds to carbon and it is difficult to break



Addition step

RDS

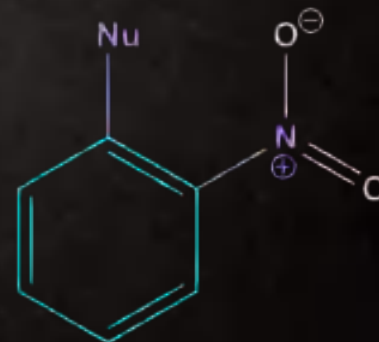


Intermediate

Fluoride accelerates this step  
because it is very electronegative

Elimination step

fast



# Mechanism



Nucleophilic  
aromatic  
substitution

Step 1

It is slower because it  
**disturbs the aromaticity.**

Step 2

It **restores the aromaticity**  
and is faster.



## Effect of Leaving Group on $S_NAr$

The **effect of fluoride** or any other leaving group, can only come from its effect on the **first step**.

Fluoride **accelerates the first step** simply by its enormous inductive effect.

It is the most electronegative element of all and it **stabilises the anionic intermediate**, assisting the acceptance of electrons by the benzene ring.



In  $S_NAr$  reaction,

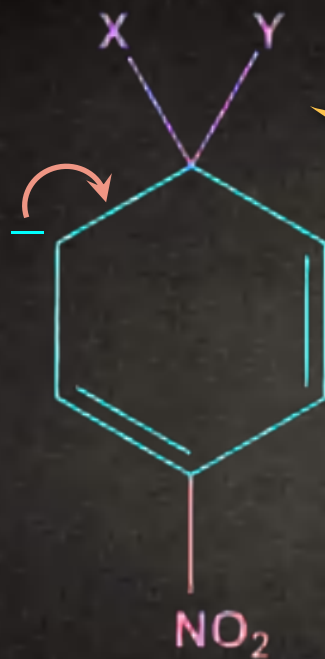
Incoming  
nucleophile

>

Group already  
present



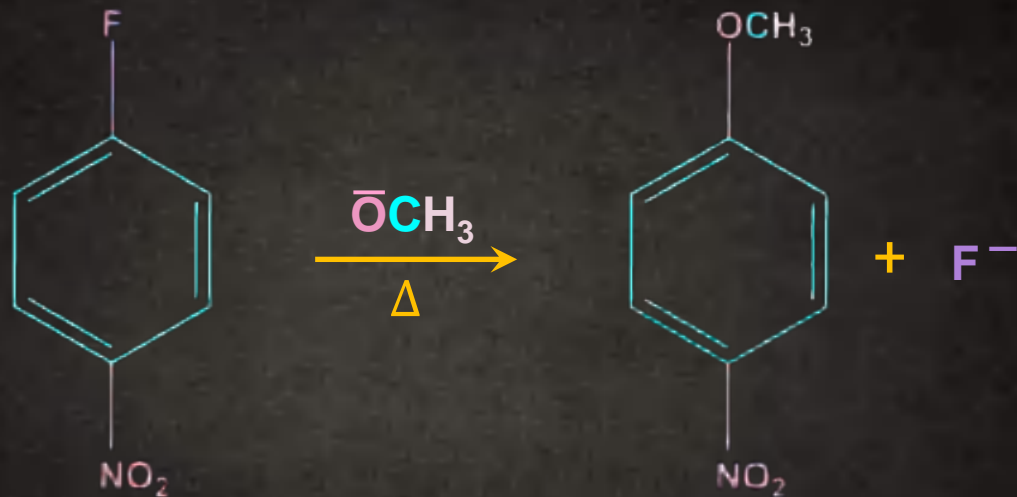
More basic



The weaker base out of the two will be eliminated.

Intermediate

## Example



p-Fluoronitrobenzene

p-nitroanisole



# $S_Ni$ Reaction

In the  $S_Ni$  mechanism (substitution nucleophilic internal), a part of the leaving group must be **able to attack the substrate**



**Detaching itself** from the rest of the leaving group in the process.

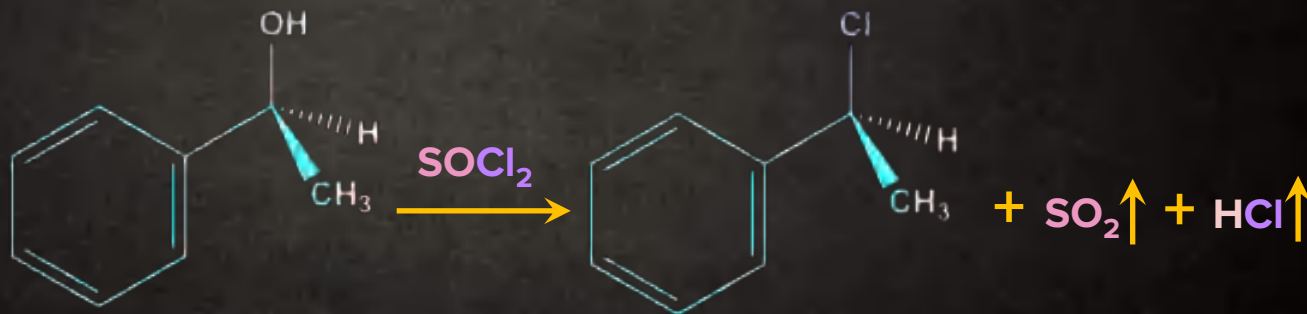


In the  $S_Ni$  reaction, the mechanism proceeds with the **retention of configuration**.

# Reaction of Alcohol with $\text{SOCl}_2$

Thionyl chloride ( $\text{SOCl}_2$ ) converts primary and secondary alcohols to **alkyl chlorides** with the **retention of configuration**.

Reaction



Retention of configuration

# Mechanism



Step 1

Reaction of alcohol with  $\text{SOCl}_2$

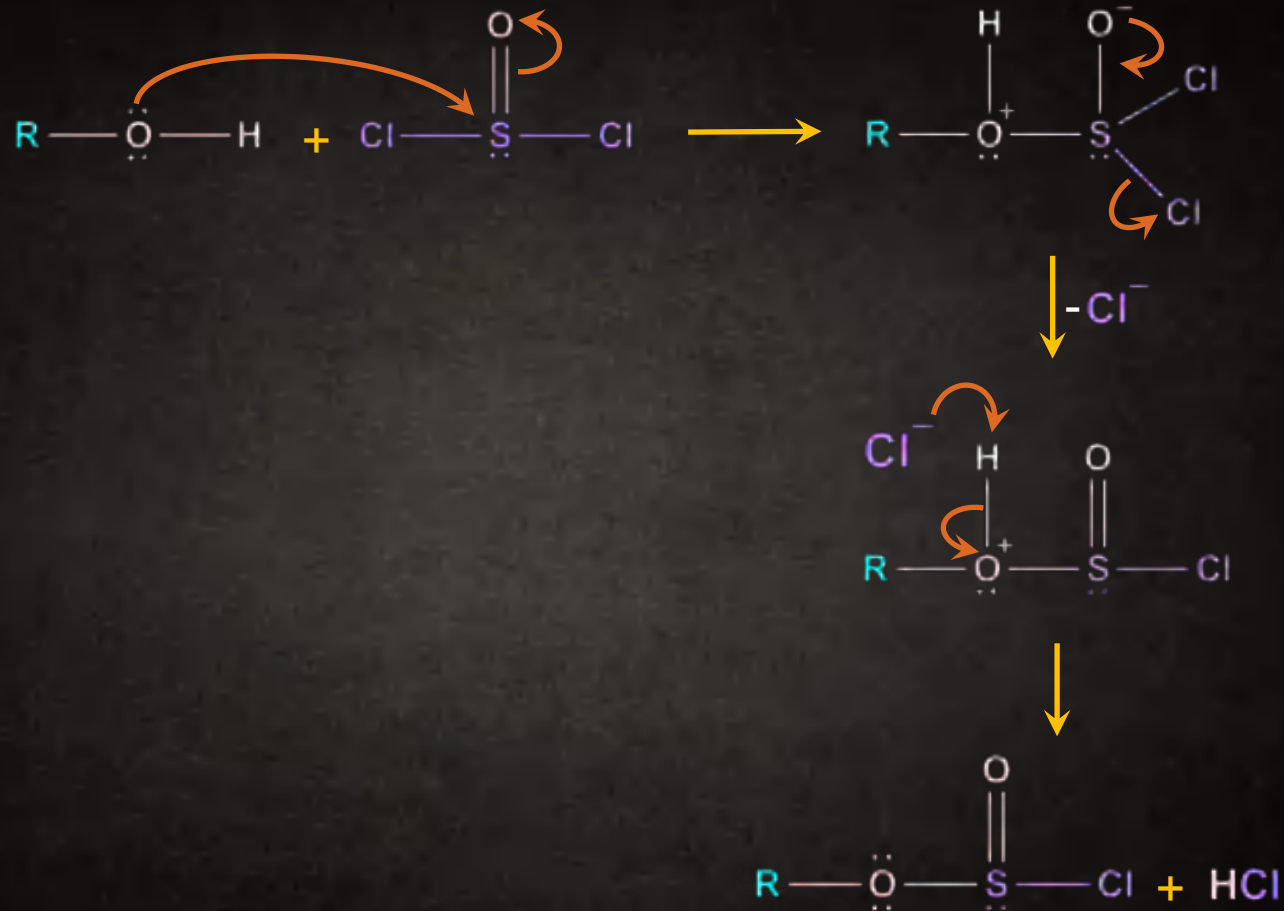
Step 2

Dissociation of alkylchlorosulphite.

Step 3

Attack by leaving group

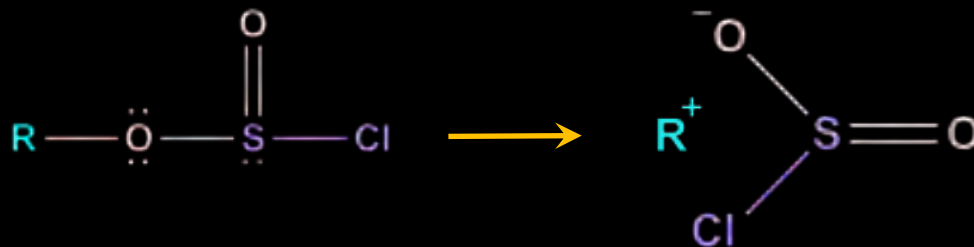
Alcohol reacts with thionyl chloride result is an **alkylchlorosulphite**.



# Mechanism



Second step is the same as the very first step of the  $S_N1$  mechanism i.e., **dissociation into an intimate ion pair.**

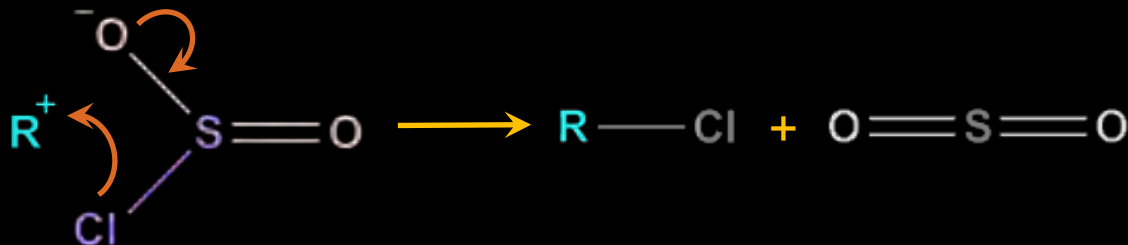


# Mechanism

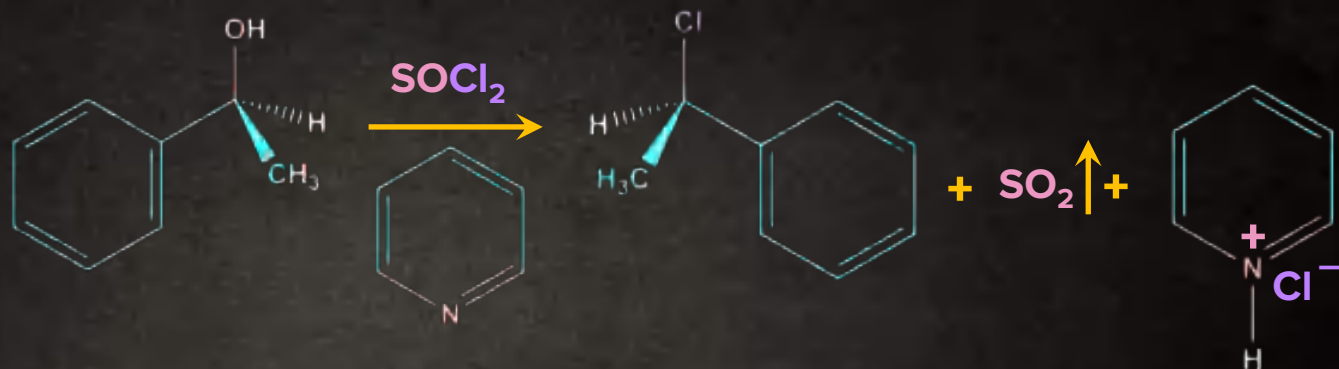


Part of the **leaving group attacks**, necessarily from the front since it is unable to get to the rear.

It results in **retention** of configuration.



# Reaction of Alcohol with $\text{SOCl}_2$



**Inversion of  
configuration**

**Pyridine** ( $\text{C}_5\text{H}_5\text{N}$ ) is  
often included to  
**promote** the reaction.

# Mechanism



Step 1

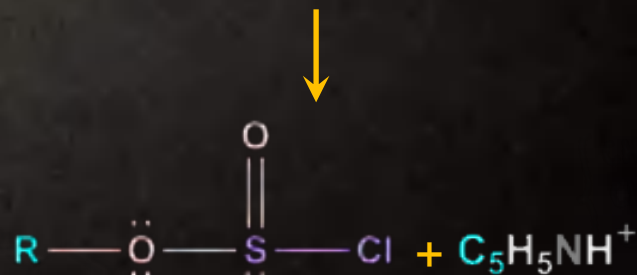
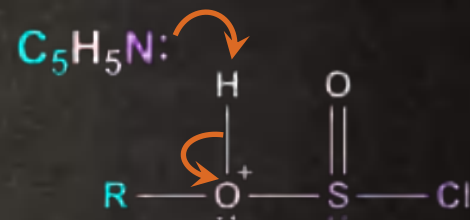
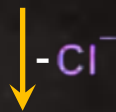
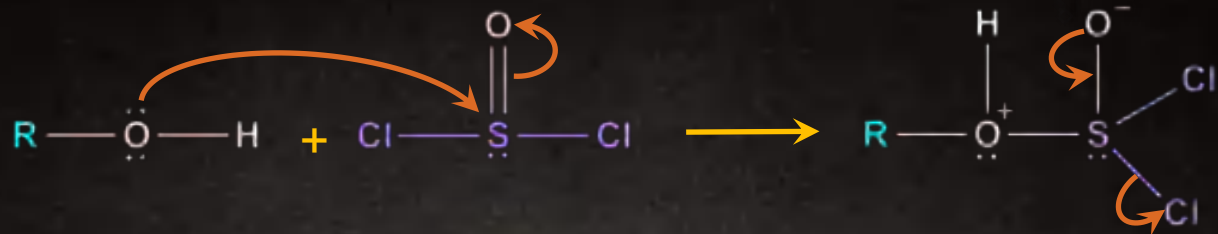
Reaction of alcohol with  $\text{SOCl}_2$

Step 2

Formation of pyridinium-alkylsulphite intermediate

Step 3

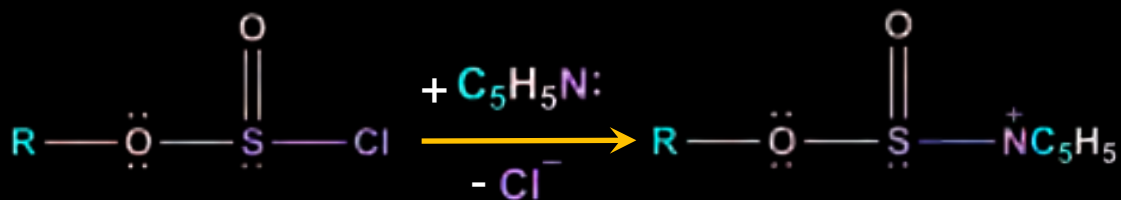
Attack by chloride anion



# Mechanism

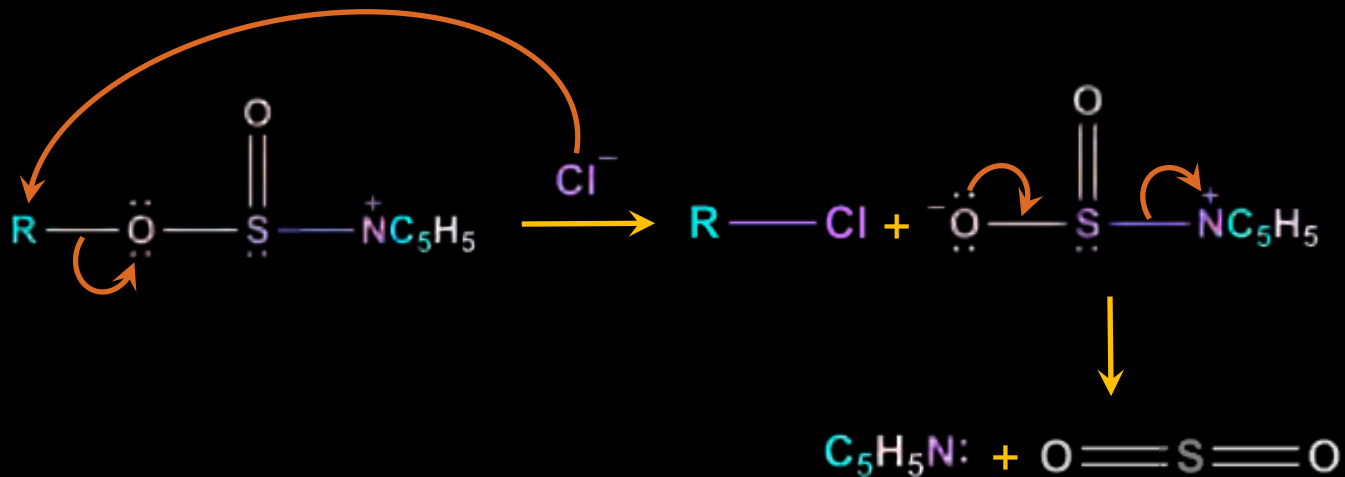


Alkylchlorosulphite intermediate then reacts rapidly with another molecule of pyridine, to give a **pyridinium alkylsulphite intermediate**.



# Mechanism

A chloride anion then attacks the substrate carbon, displacing the sulphite leaving group.



# Mechanism



**Inversion** results because the pyridine reacts with  $\text{ROSOCl}$  to give  $\text{ROSONC}_5\text{H}_5$  before anything further can take place.

The  $\text{Cl}$  freed in this process now **attacks from the rear**.

# $S_N$ NGP Reaction

By  $S_N$ NGP

Substitution  
reaction

Nucleophilic

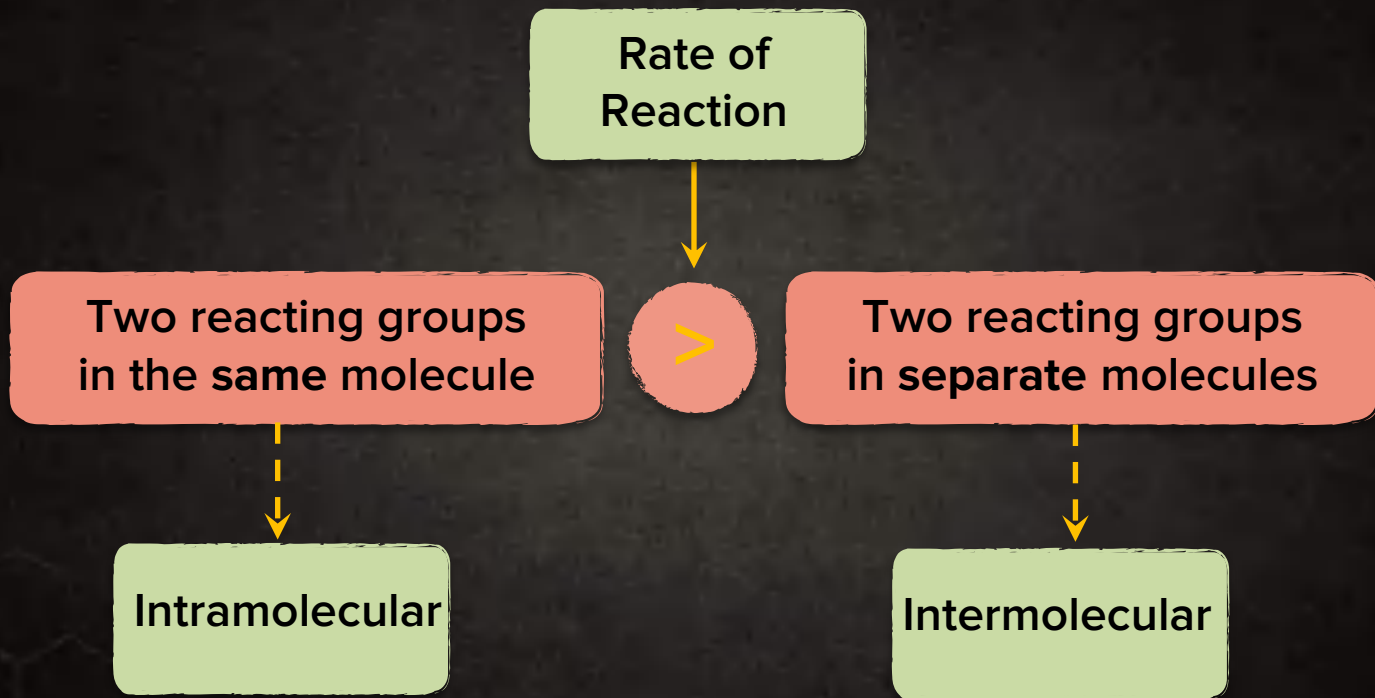
Neighboring  
group  
participation

$S_N$ NGP

Also known as  
**anchimeric  
assistance**

In Greek, Anchimeric assistance  
means "**Adjacent part**"

# Remember!





## Characteristics of $S_N1$ GP Reaction

1

The rate of reaction is **greater** than expected.

2

The configuration at a chiral carbon is **retained**.

3

The configuration at a chiral carbon is **not inverted or racemised**.

# Intramolecular $S_N$ NGP



Nucleophile should be present within the molecule/ internally.



Generally, nucleophile and leaving group should be present anti to each other at 1,2 position.



Concentration of nucleophile (external) should be less.

# Mechanism



Reactions, in which there is usually a group with an **unshared pair of electrons,  $\beta$**  to the leaving group (or sometimes farther away).

The mechanism operating in such cases is called the **neighbouring-group mechanism**.

It consists essentially of **two  $S_N2$**  substitutions.

Each causing an inversion so the net result is **retention of configuration**.

# Mechanism



Step 1

Intramolecular  
nucleophilic reaction

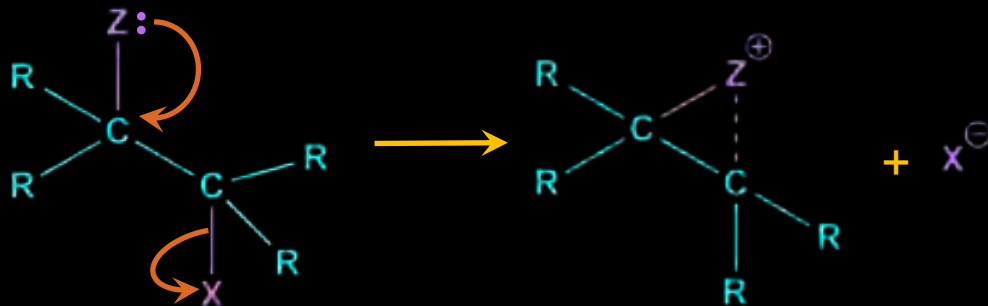
Step 2

External nucleophilic reaction

# Mechanism



The **neighbouring group acts as a nucleophile**, pushing out the leaving group but still retaining attachment to the molecule.

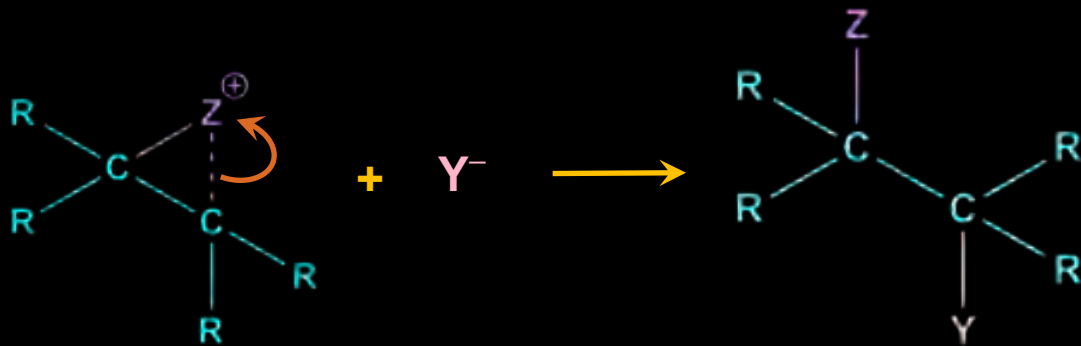


Z: A neighbouring group  
X: A leaving group

# Mechanism



The **external nucleophile** displaces the neighbouring group by a **backside attack**.



## Groups Behaving as Neighboring Groups

Sulphur	-SH, -SR, -S <sup>-</sup>
Carboxylic acid derivatives	-COO <sup>-</sup> , -COOR, -OCOR
Oxygen	-OR, -OH, -O <sup>-</sup>
Nitrogen	-NH <sub>2</sub> , -NHR, -NR <sub>2</sub>
Halides	-I, -Br, -Cl



# **Examples of $S_N$ NGP Reaction**

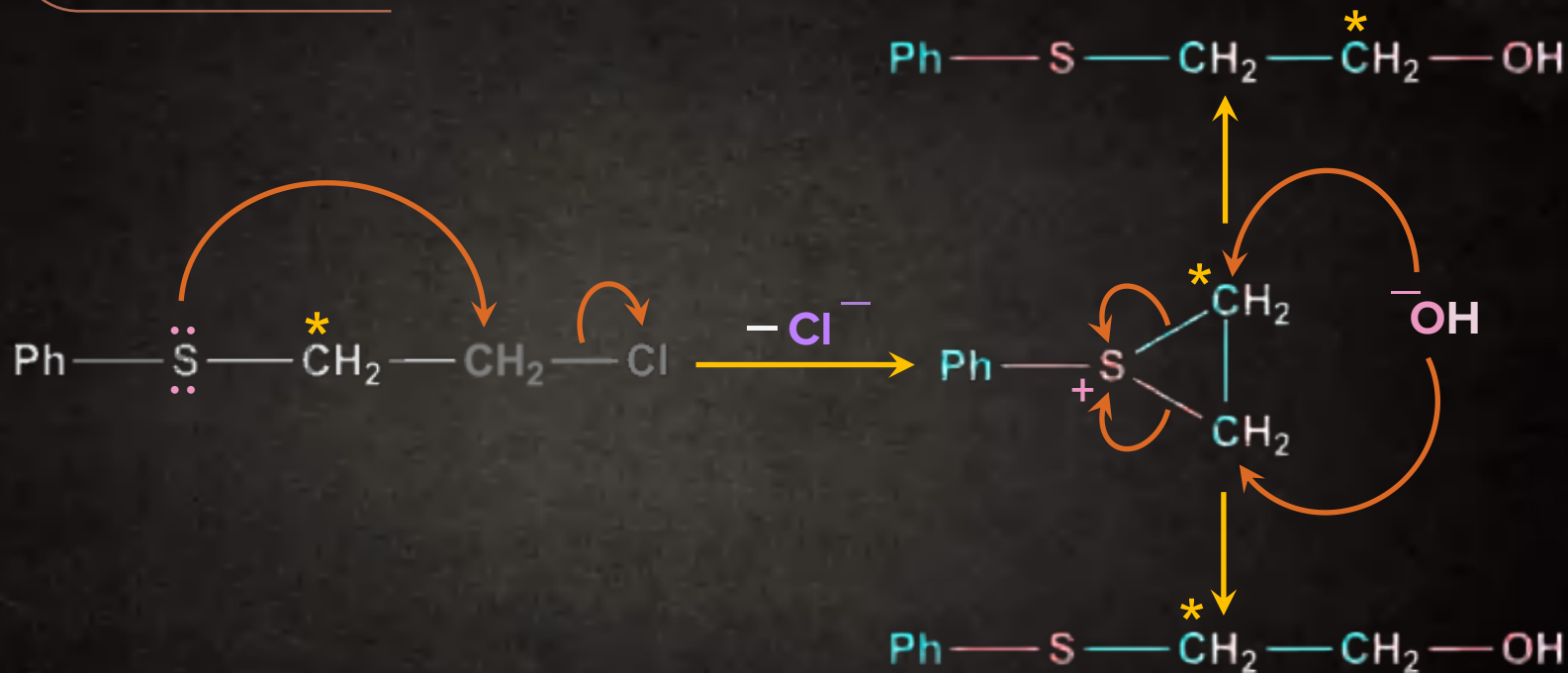
**Neighbouring Group  
Participation by**

**Involving species  
having lone pair**

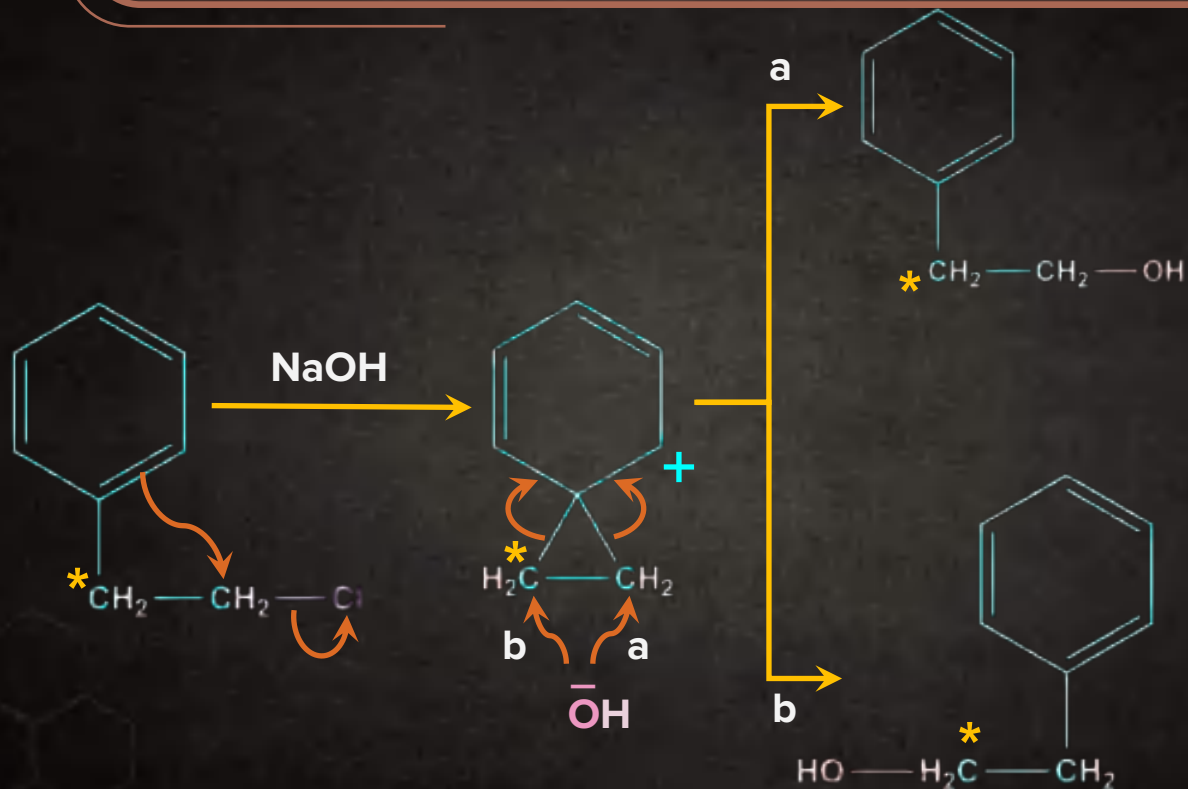
**Aromatic rings as  
neighbouring groups**

**Cyclopropyl methyl system**

# Reaction that follows $S_N1$ GP Mechanism



# Reaction that follows $S_N1$ GP Mechanism





## Reaction that follows $S_N1$ GP Mechanism

Cyclopropyl methyl substrates solvolyze with **abnormally high rates**.

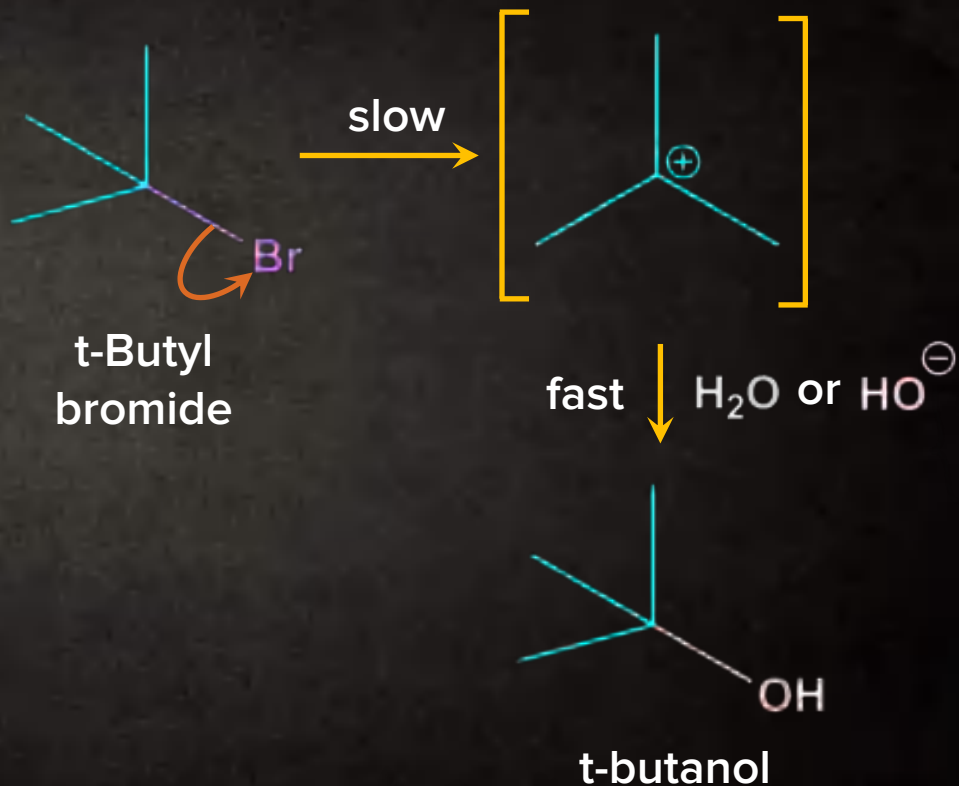
The products often include not only unrearranged cyclopropylmethyl, but also **cyclobutyl** and **homoallylic compounds**.



# **Elimination Reactions**

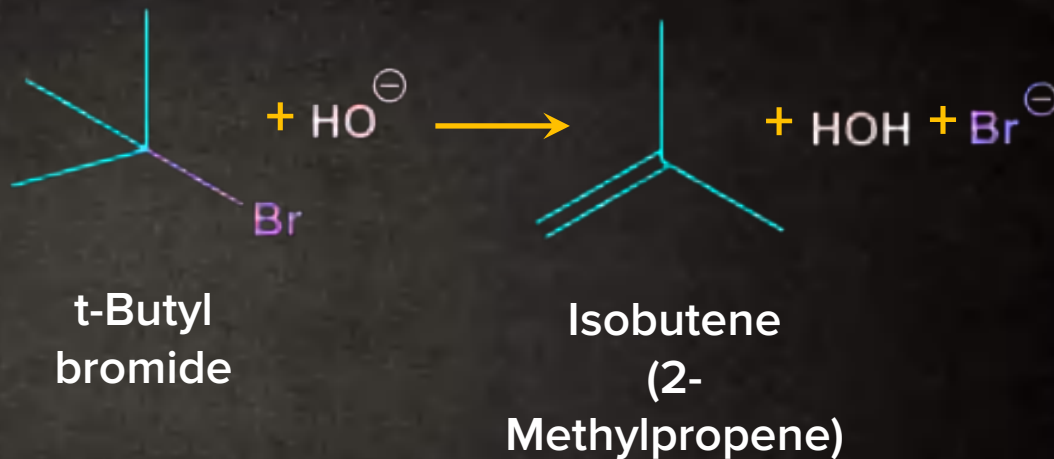
# Substitution and Elimination Reaction

Reaction of *t*-BuBr  
with dil. NaOH



# Substitution and Elimination Reaction

Reaction of *t*-BuBr  
with conc. NaOH





# Substitution and Elimination Reaction

The reaction **stops being a substitution** and an alkene is formed instead.

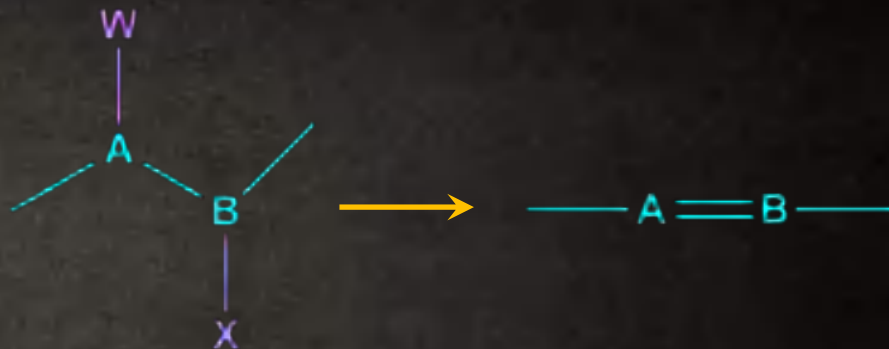


Overall, HBr is lost from the alkyl halide, and the reaction is known as an **elimination**.

It is an organic reaction in which two groups/substituents/atoms are **removed from a molecule** so that a new double bond is formed.

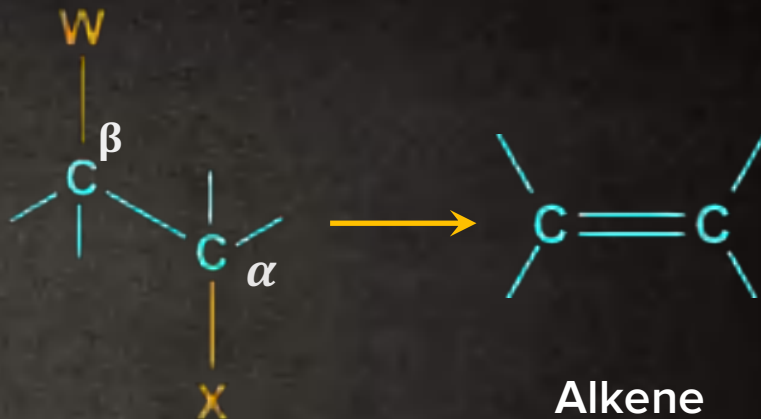
# Elimination Reaction

General reaction



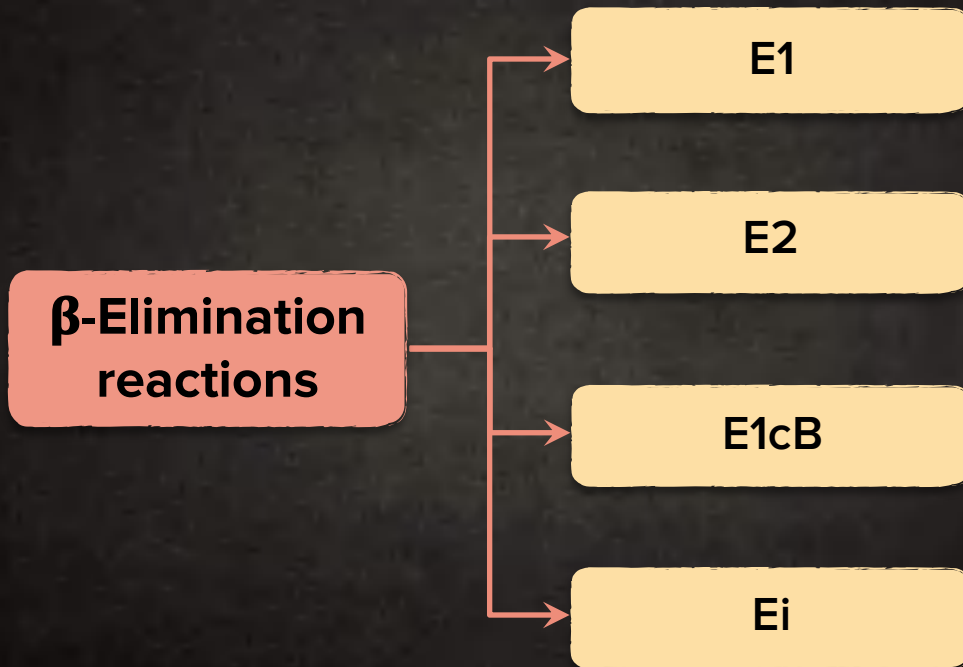
# $\beta$ - Elimination Reaction

When two atoms/substituents are removed from the **adjacent atoms** ( $\alpha$  and  $\beta$  positions) to form a **new multiple bond**.



Also known as **1,2-elimination**

# $\beta$ -Elimination Reactions



# E1 Reaction

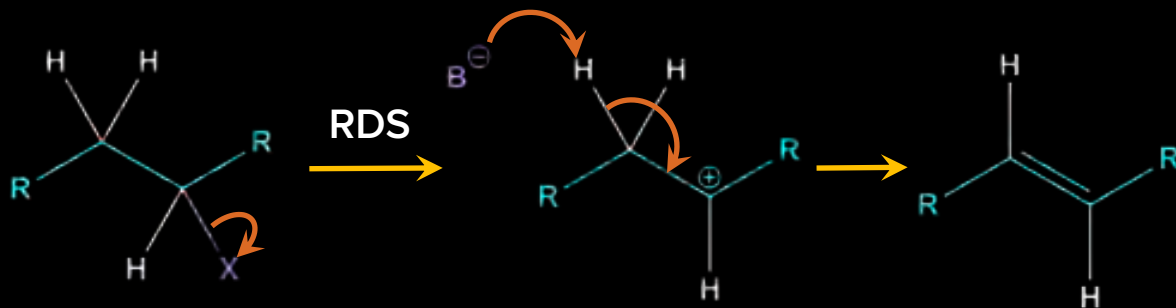
E1 describes an elimination reaction (E) in which RDS is **unimolecular (1)**.

The **rate-determining step (RDS)** refers to the ionisation of the substrate to give a **carbocation** and it does not involve the base.

# Mechanism

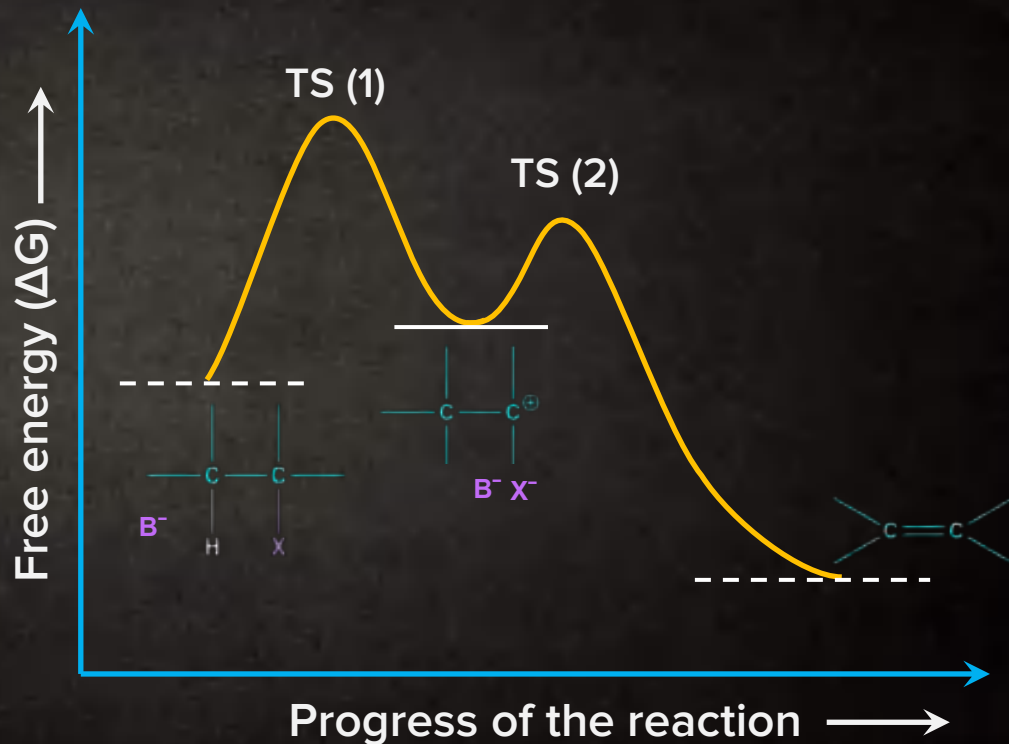


$$\text{Rate} = k[\text{Alkyl halide}]$$



# E1 Reaction

The first step is rate determining step and hence the slowest step.  
So, it needs highest activation energy





# E1 Reaction

## Examples

1

It is a **unimolecular, two-step** process.

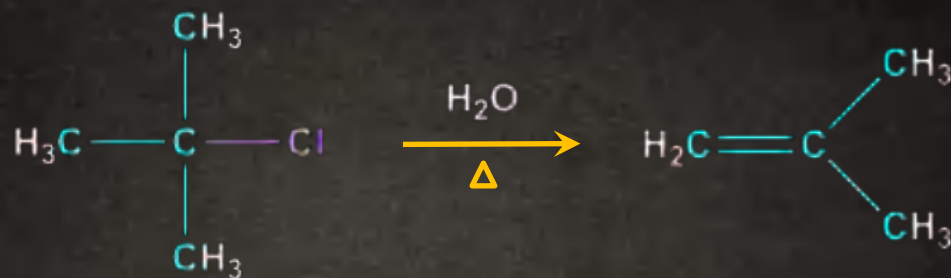
Dehydrohalogenation  
of alkyl halide

2

The reaction intermediate is a carbocation. Hence, **rearrangement** is possible.

Dehydration of alcohol

# Dehydrohalogenation of Alkyl Halide



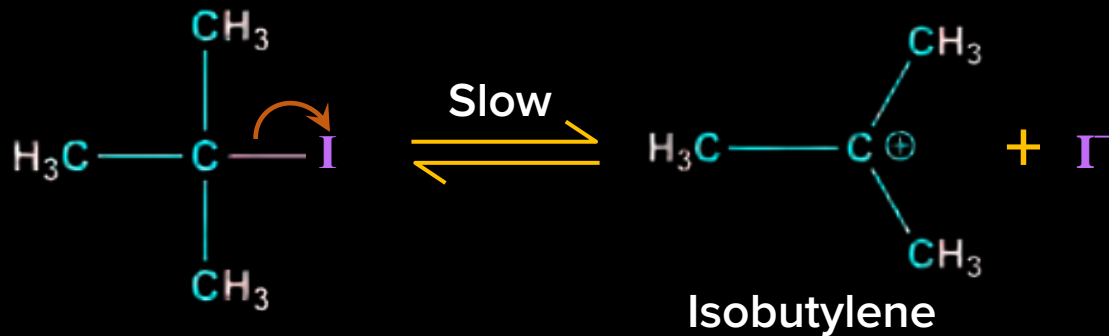
Step 1

Formation of carbocation

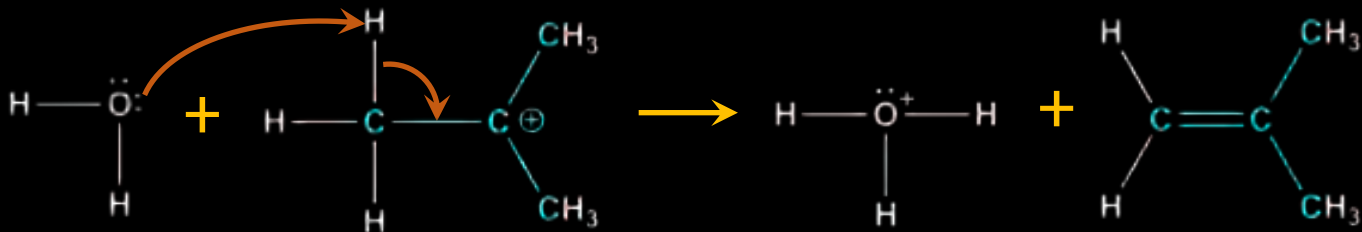
Step 2

Attack of base

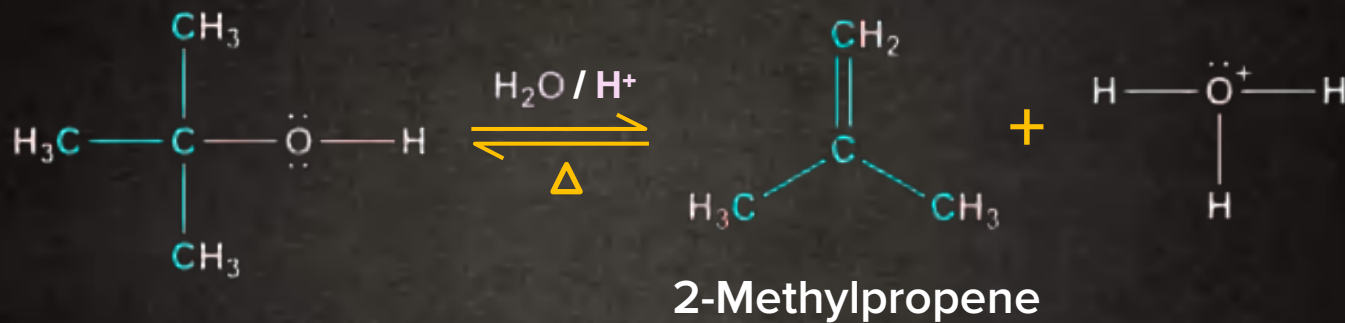
# Formation of Carbocation



# Attack of Base



# Dehydration of Alcohol





# Dehydration of Alcohol

Step 1

Protonation of alcohol

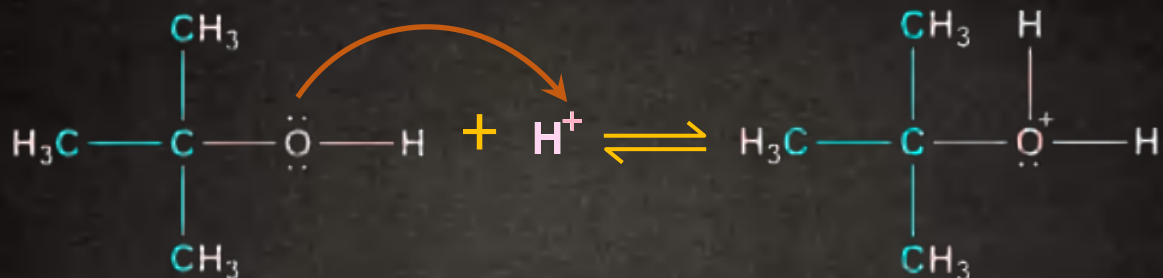
Step 2

Loss of leaving group  
( $\text{H}_2\text{O}$  molecule)

Step 3

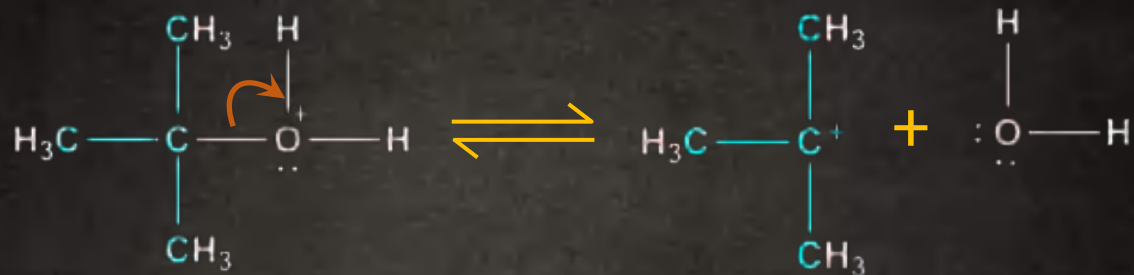
Deprotonation to  
form alkene

# Protonation of Alcohol



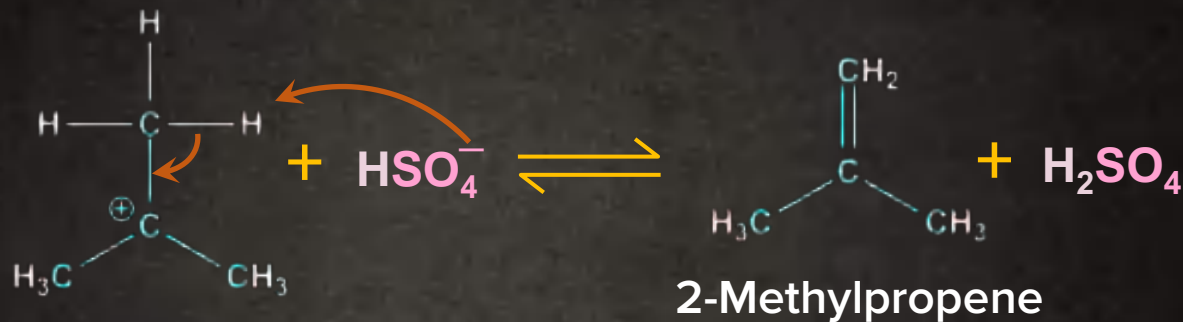
Protonated alcohol

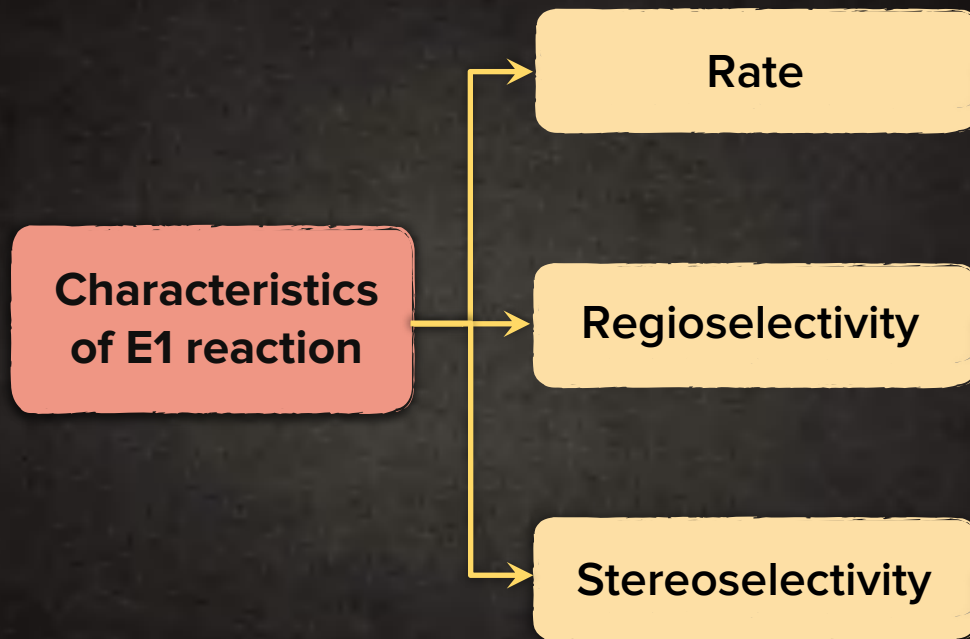
## Loss of leaving group (H<sub>2</sub>O) molecule



A carbocation

## Deprotonation to form Alkene







# Rate of E1 Reaction

In E1 reaction, the **rate-determining step** is the formation of carbocation.



**Stability of carbocation**  
governs the rate of E1 reaction.

1

Rate of reaction  $\propto$   
Stability of **carbocation**

2

Rate  $\propto$  [Alkyl halide]



# Stability of Carbocation

Primary  
carbocation

<

Secondary  
carbocation

<

Tertiary  
carbocation



Stability increases

Rate of the  
reaction increases



# Elimination Reaction

In some eliminations **only one product** is possible.

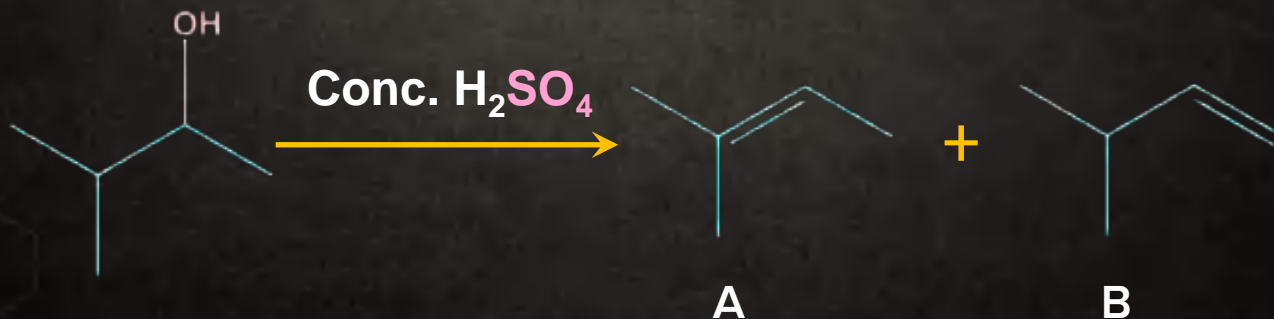
For others, there **may be a choice of two (or more)** alkene products that differ in the **location** of the double bond.

# Regioselective Reaction

When a reaction that can potentially yield **two or more** constitutional isomers



actually produces **only one** (or a predominance of one), the reaction is said to be **regioselective**.

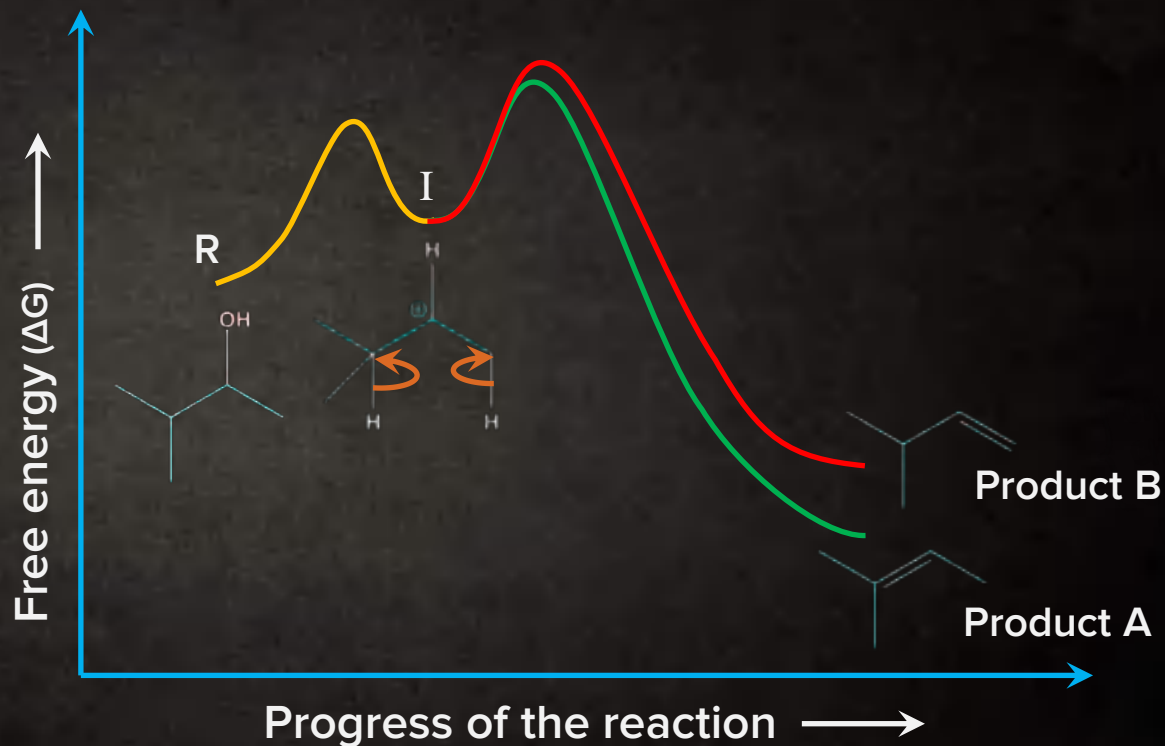


# Dehydration of Alcohols

Reaction can result  
in two products

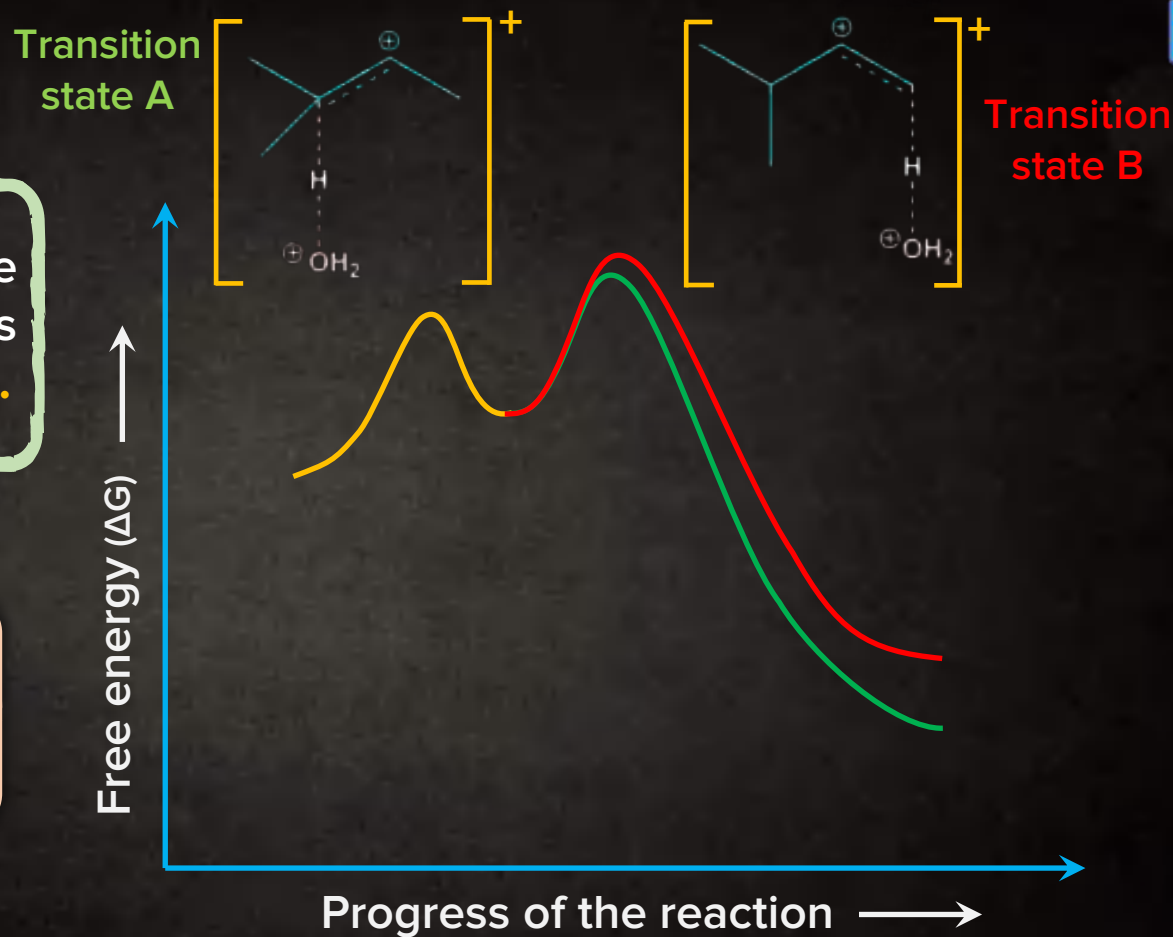
A

B



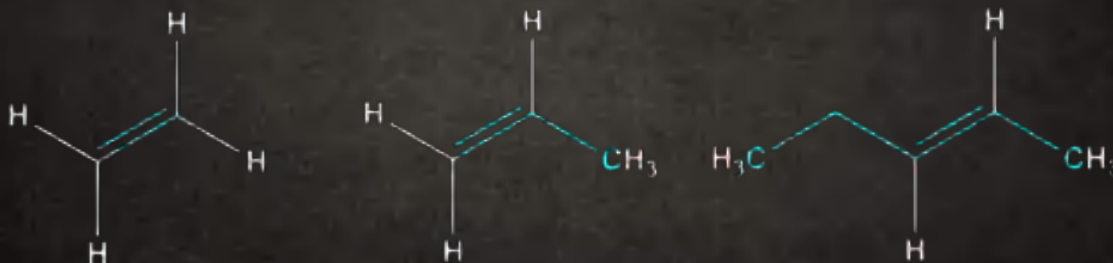
In the second step i.e., in the formation of product there is formation of alkene **like T.S.**

More stable the alkene like T.S, more stable the product (major)



# Saytzeff Rule

The **more substituted alkene** product is obtained when a proton is removed from the  **$\beta$ -carbon** that is bonded to the **fewest hydrogens**.



Increasing substitution increases  
the stability of alkene



# **Characteristics of E1 Reaction**



# Stereoselectivity

In some eliminations, **only one product** is possible.

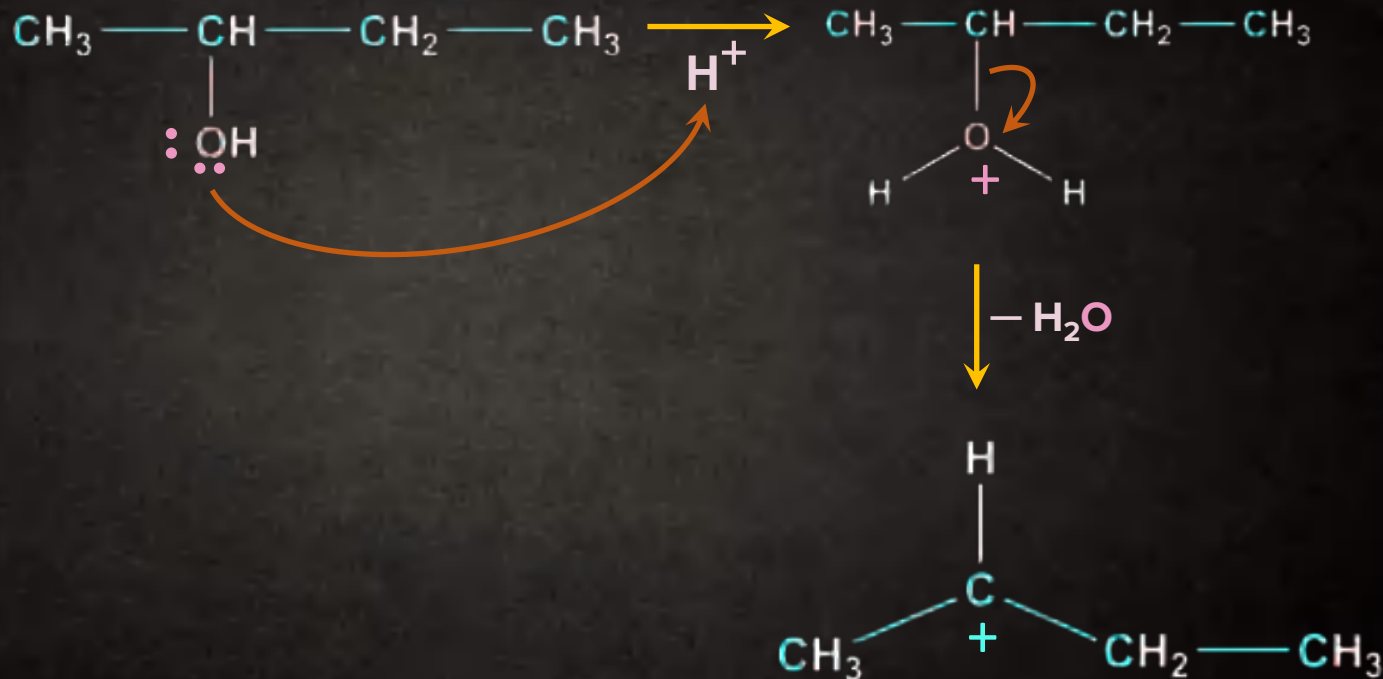
The reaction in which reactant chooses to form **predominantly** one of two possible stereoisomeric products.

For others, there **may be a choice of two (or more)** alkene products that differ in the **stereochemistry** of the double bond.

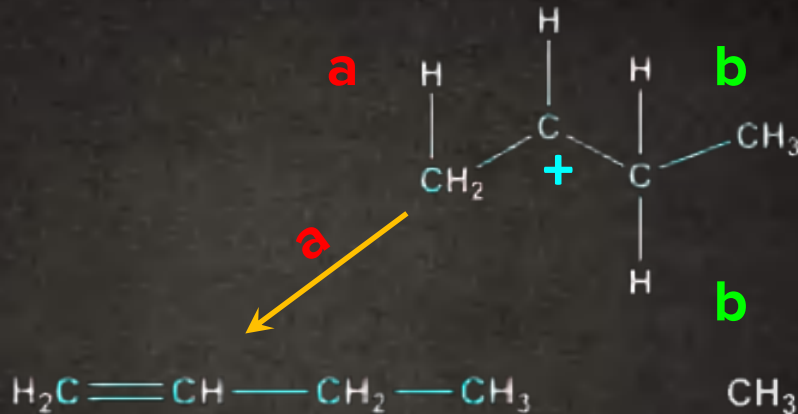
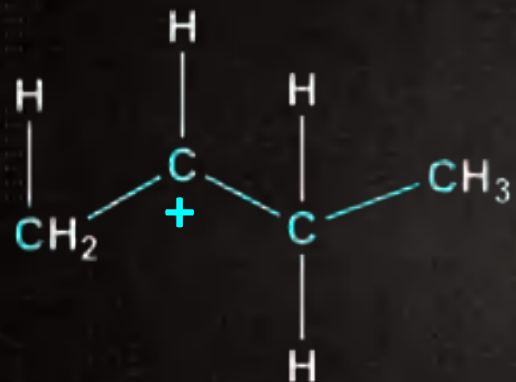
In case of eliminations, the choice of pathway is for **geometry of the double bond** in the alkene.

# Stereochemistry of E1 Mechanism

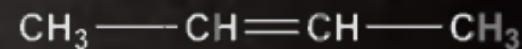
Example



# Stereochemistry of E1 Mechanism

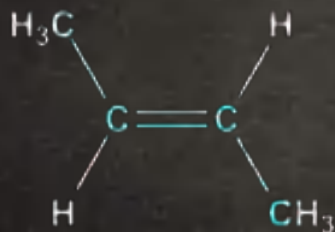
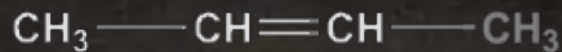


Minor

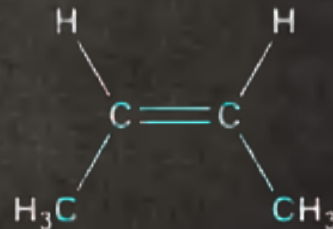


Major

# Stereochemistry of E1 Mechanism

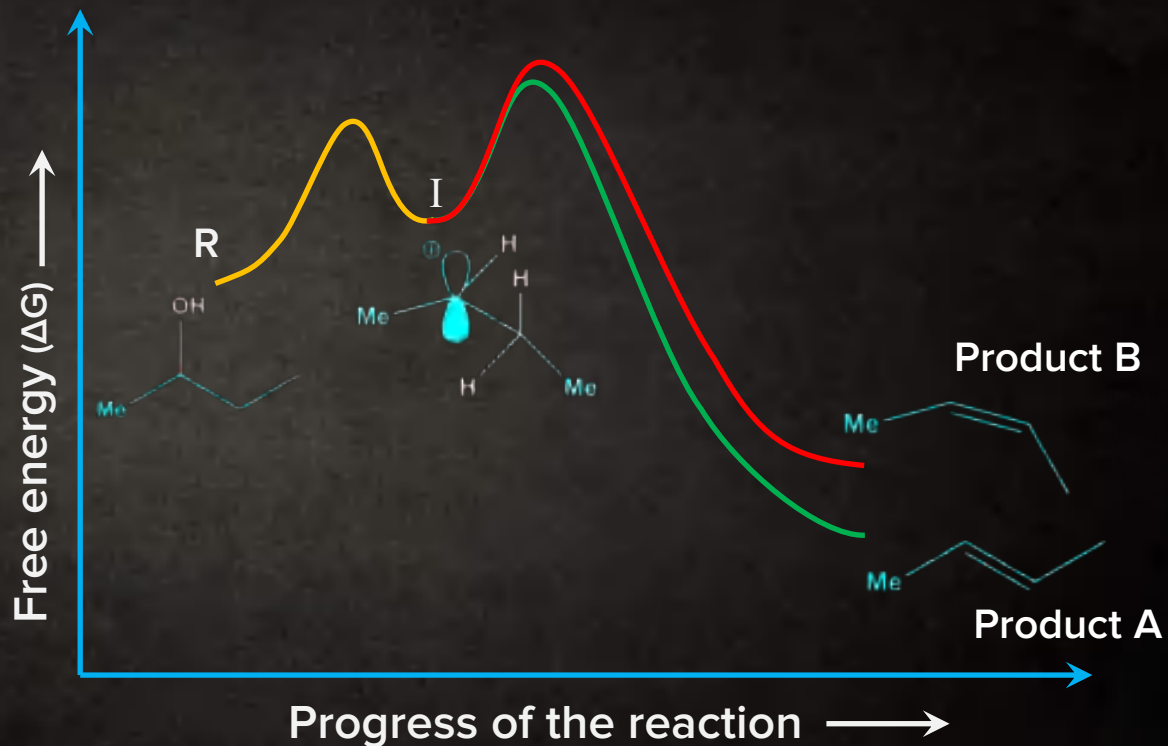


**trans-but-2-ene  
(A)**

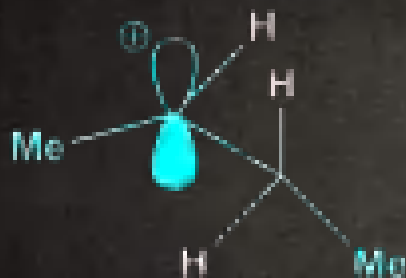


**cis-but-2-ene  
(B)**

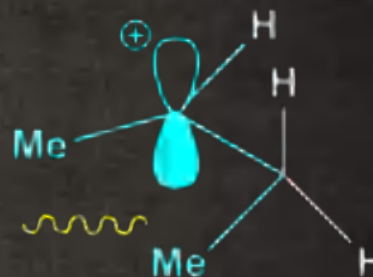
Generally, trans products are more stable. Hence, product A is the major product.



Conformations of the intermediate  
cation with **C-H** and **vacant p-orbital**  
aligned



Low energy  
intermediate



High energy  
intermediate  
(**steric hindrance**)

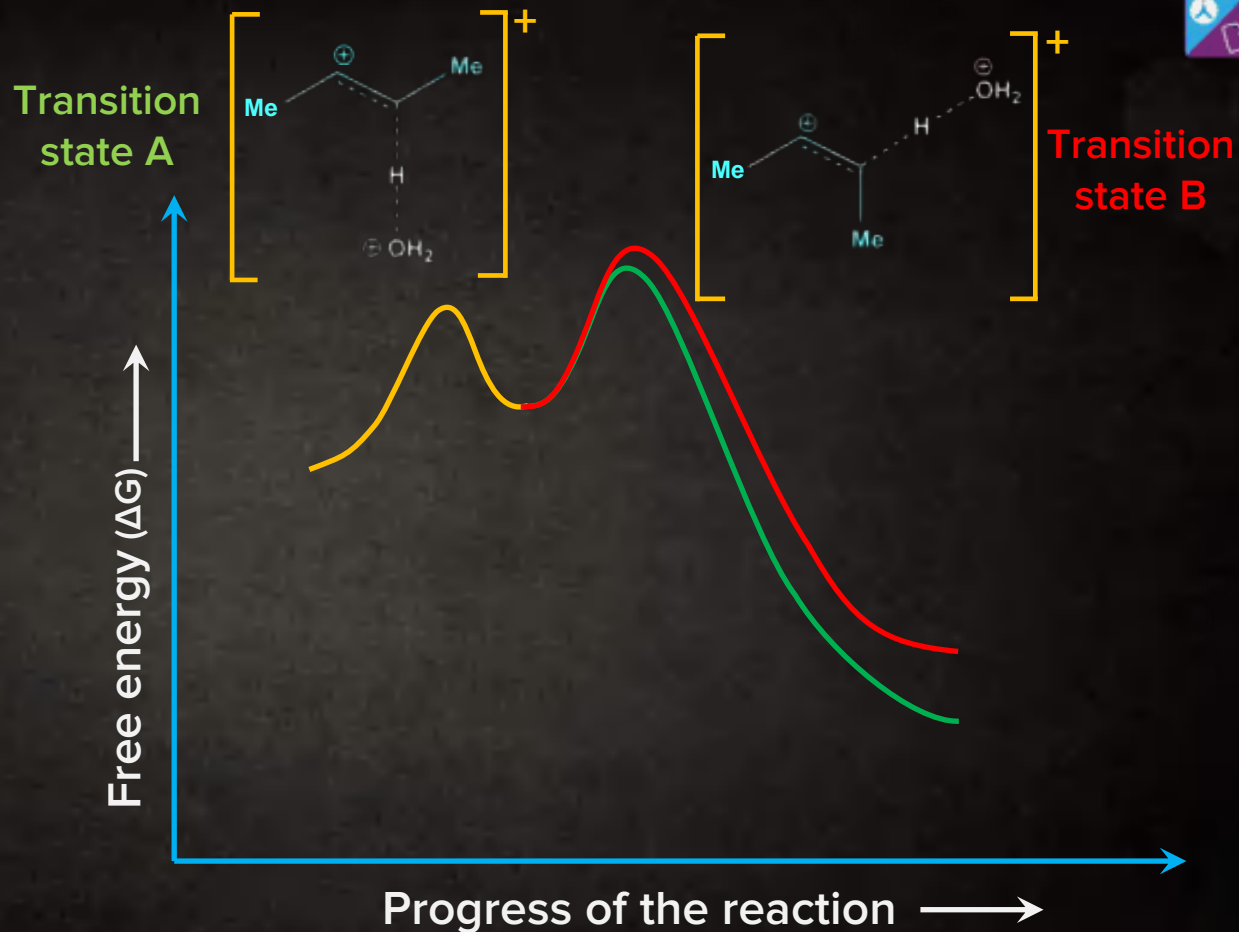
Due to steric reasons

Energy of  
trans-alkenes (and  
T.S. leading  
to trans-alkenes

<

Energy of  
cis-alkenes (and  
T.S. leading  
to cis-alkenes

Because the substituents can get  
**farther apart** from one another.



# Transition State



Transition state A  
More stable

Transition state B  
Less stable due to  
**steric hindrance**



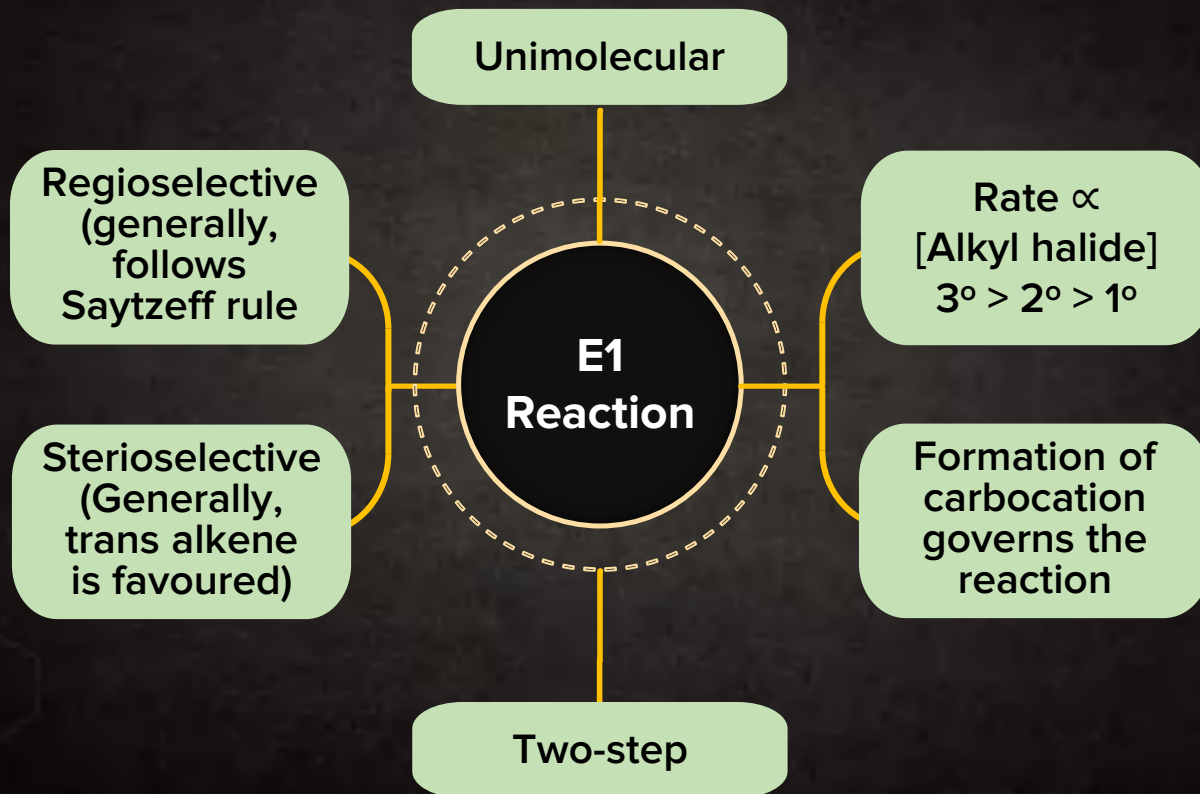
# Stereoselectivity in E1 Reaction

trans-isomer would be **more stable** than cis isomer.

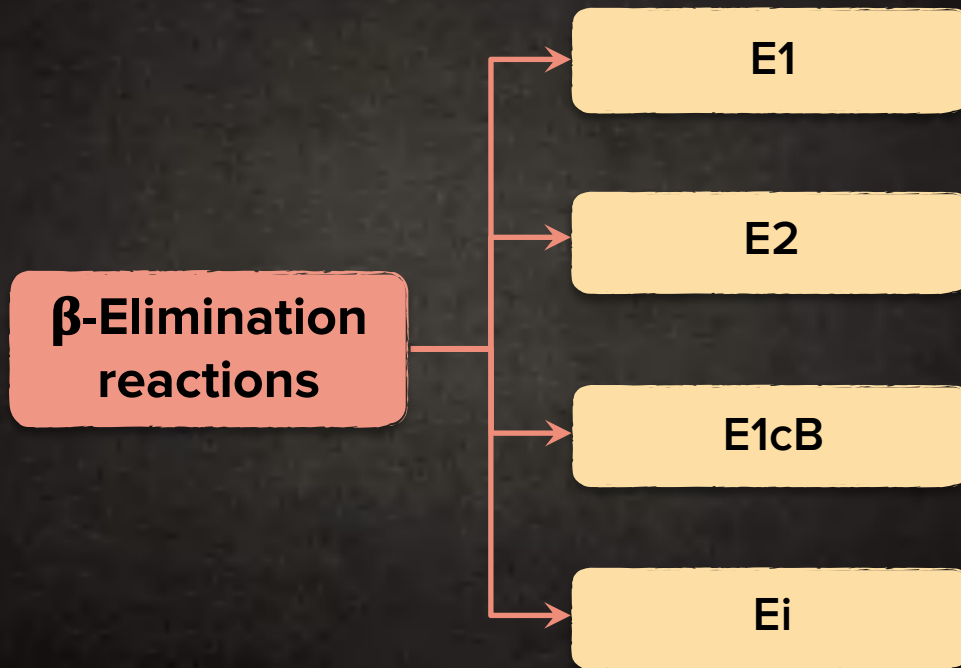
Formation of trans-**alkene** is favoured in **E1 elimination**.



# Quick Recap of E1 Reaction



# $\beta$ -Elimination Reactions



## E2 Reaction

E2 describes an elimination reaction (E) in which RDS is **bimolecular(2)**.

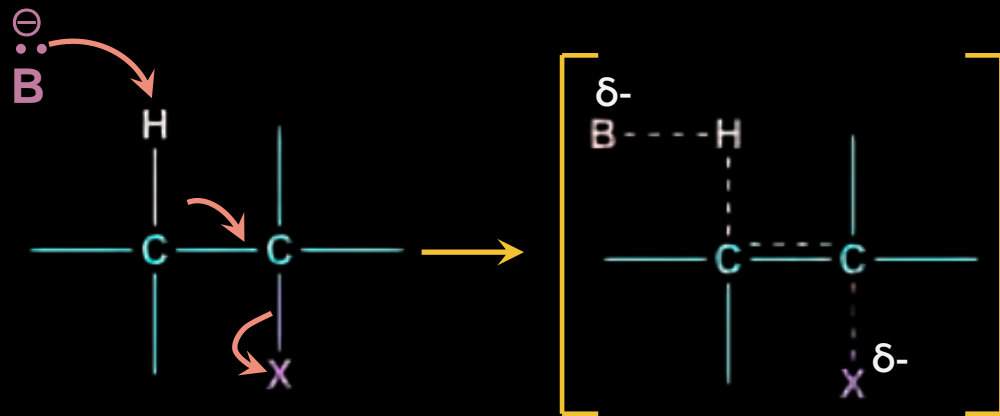
The **rate-determining step (RDS)** involves the base in which loss of the leaving group with removal of the proton by the base occurs **simultaneously**.

# Mechanism

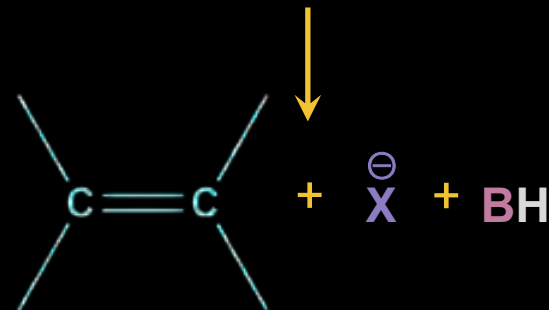
Rate

=

$k[B^-][\text{alkyl halide}]$



Transition state





## E2 Reaction

E2 reaction occurs in one step/single step.



It is a concerted reaction.

E2 reaction occurs in one step through a **transition state**.



# Transition State in E2 Mechanism

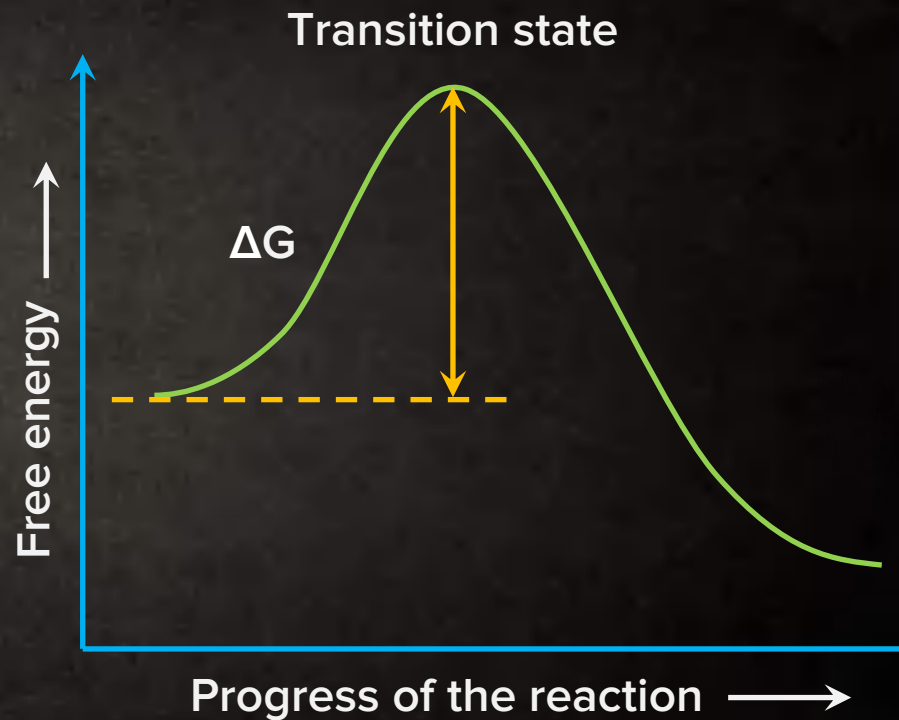
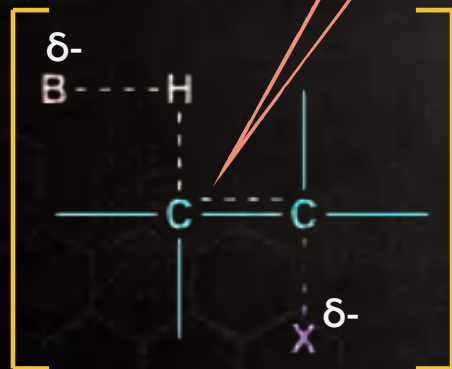
The proton is abstracted by the base.

The leaving group leaves the substrate

A **partial double bond** character is developed.

# Transition State in E2 Mechanism

Partial double bond character is observed in the transition state.

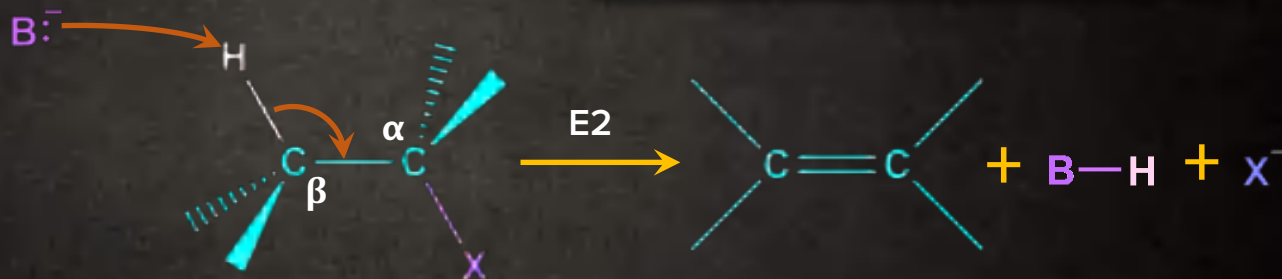


# E2 Reaction of Alkyl Halide

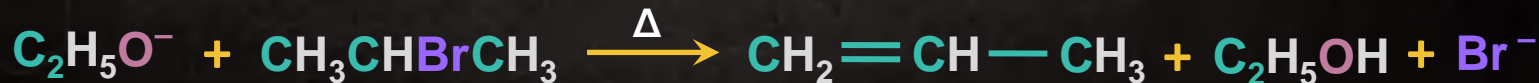
Dehydrohalogenation

Elimination of a **hydrogen** and **halogen** from an alkyl halide to form an alkene

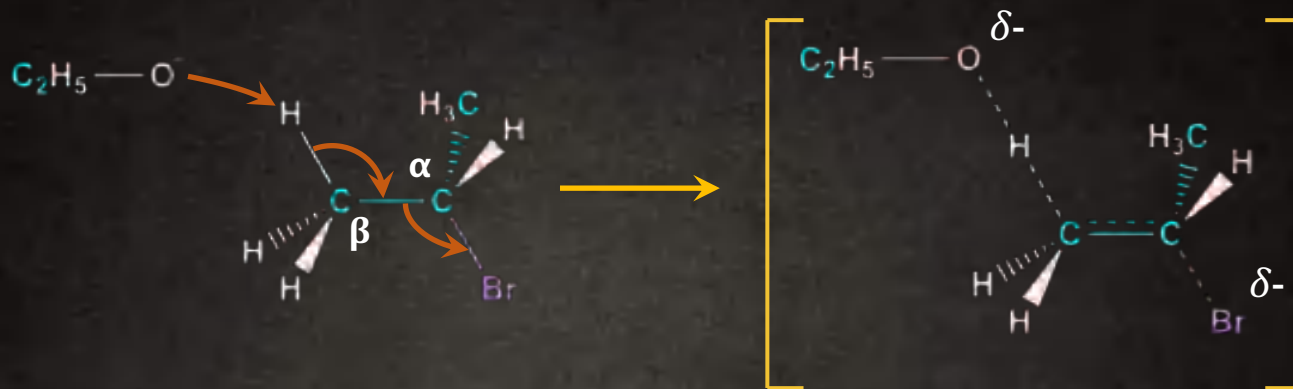
General reaction



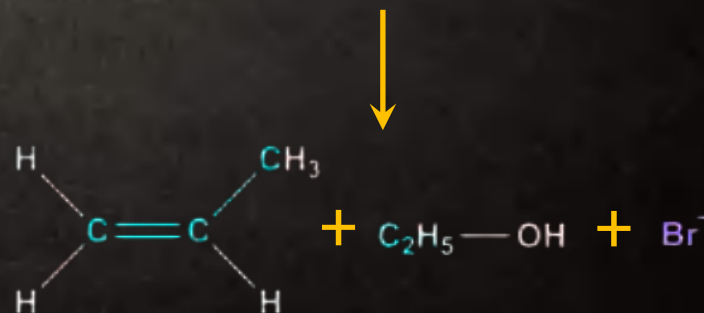
Example



# Mechanism of Dehydrohalogenation



Transition state



**Characteristics  
of E2 reaction**

**Rate**

**Regioselectivity**

**Stereoselectivity**

**Stereospecificity**



# Rate of Reaction in E2 Mechanism

Rate of the reaction

$\propto$

$[R-X]^1 [Base]^1$

The **rate** of the reaction  
**depends** on the **concentration**  
**of both** the molecules involved.

Rate of E2 reaction  
depends upon

Alkyl halides

Base

Leaving group



# Rate of E2 Reaction

In E2, **RDS** is **formation of Alkene**-like transition state.



**Alkyl halide**, which gives more stable alkene, leads to a **faster E2 reaction**.

Rate of E2 reaction

**3°** Alkyl  
halide

>

**2°** Alkyl  
halide

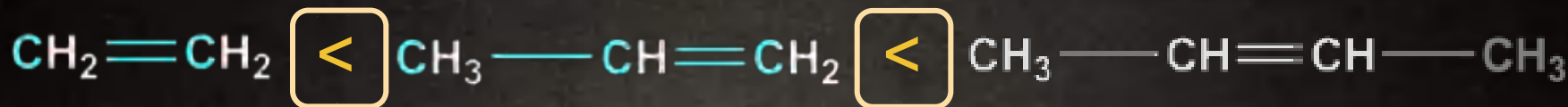
>

**1°** Alkyl  
halide



# Stability of Alkene

Example



Stability **increases**

Rate of the reaction  
**increases**

E2 is affected by the base.

High base  
concentration

&

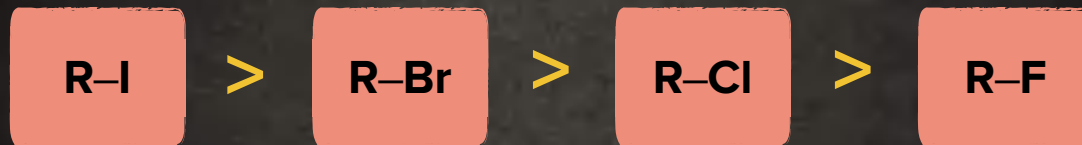
Strong base

E2 gives **faster reaction**



# Characteristics of E2 Reaction

Reactivity towards E2



Better the leaving group,  
faster the rate

# Leaving Group Ability

E2 elimination reaction	Relative Rate
$\text{PhCH}_2\text{CH}_2\text{F} + \text{OEt}^- \rightarrow \text{PhCH=CH}_2 + \text{F}^-$	1
$\text{PhCH}_2\text{CH}_2\text{Cl} + \text{OEt}^- \rightarrow \text{PhCH=CH}_2 + \text{Cl}^-$	70
$\text{PhCH}_2\text{CH}_2\text{Br} + \text{OEt}^- \rightarrow \text{PhCH=CH}_2 + \text{Br}^-$	$4.2 \times 10^3$
$\text{PhCH}_2\text{CH}_2\text{I} + \text{OEt}^- \rightarrow \text{PhCH=CH}_2 + \text{I}^-$	$2.7 \times 10^4$



# Regioselectivity

In some eliminations, **only one product** is possible.

For others, there **may be a choice of two (or more)** alkene products that differ in the **location** of the double bond.

## Regioselectivity

With non-bulky bases

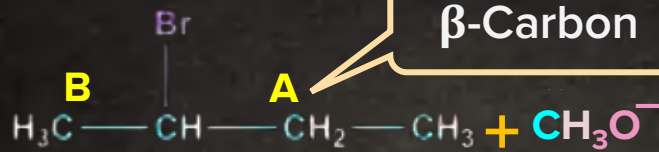
With bulky bases

# E2 Reaction

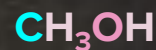
Reaction

$\beta$ -Carbon

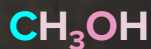
$\beta$ -Carbon



2-Bromobutane



2-Butene



1-Butene





# Dehydrohalogenation

The reaction can  
result in **two products**

2-Butene

1-Butene





## E2 Mechanism

In the formation of product, an alkene-like T.S. is formed.

More stable the  
**alkene-like T.S.**

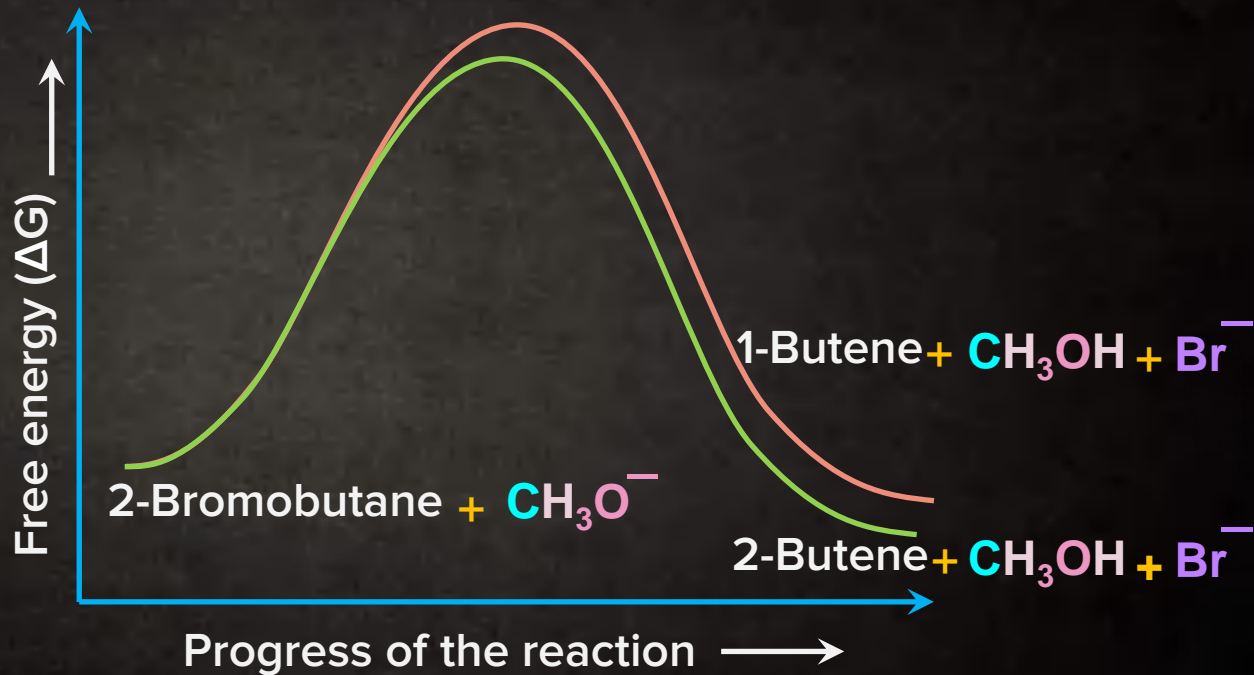
More stable the  
product (major)



## Saytzeff's Rule

The **more substituted alkene** product is obtained when a proton is removed from the  **$\beta$ -carbon** that is bonded to the **fewest hydrogens**.

# Thermodynamics of E2 Reaction



# Dehydrohalogenation

According to  
**Saytzeff rule**

A

B

Major product

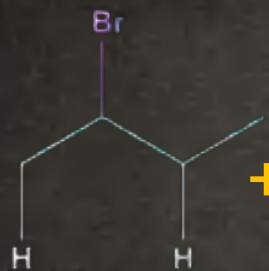
Minor product

Present in  
high %

Present in  
low %

# Hofmann Product

Reaction



+



+



Sterically hindered bulky base

Minor product

Major product



# Elimination Reaction

Contradicting  
Saytzeff Rule

Reaction can result  
in two products

A



B



A



Minor  
product

Present in low %

B



Major product

Present in high  
%



# Hofmann Rule

The preferred product is the alkene that has the **lesser number** of alkyl groups attached to the doubly bonded carbon atoms.

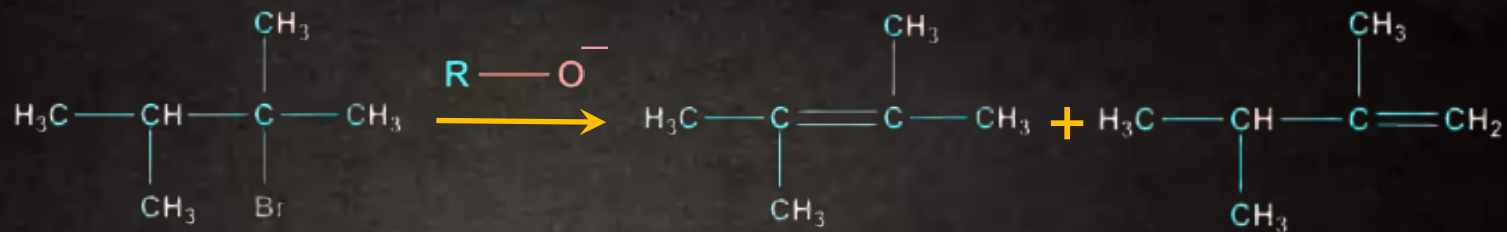
Reaction Leading to Hofmann Elimination Product

When the base is bulkier

If the leaving group in E2 is poor

# Hofmann Elimination Product

## Reaction



Saytzeff product

Hofmann product



Base	More substituted alkene (Saytzeff product)	Less substituted alkene (Hofmann product)
$\text{CH}_3\text{---CH}_2\text{---O}^-$	79%	21%
$\begin{array}{c} \text{CH}_3 \\   \\ \text{H}_3\text{C---C---O}^- \\   \\ \text{CH}_3 \end{array}$	21%	73%



# Hofmann Elimination Product

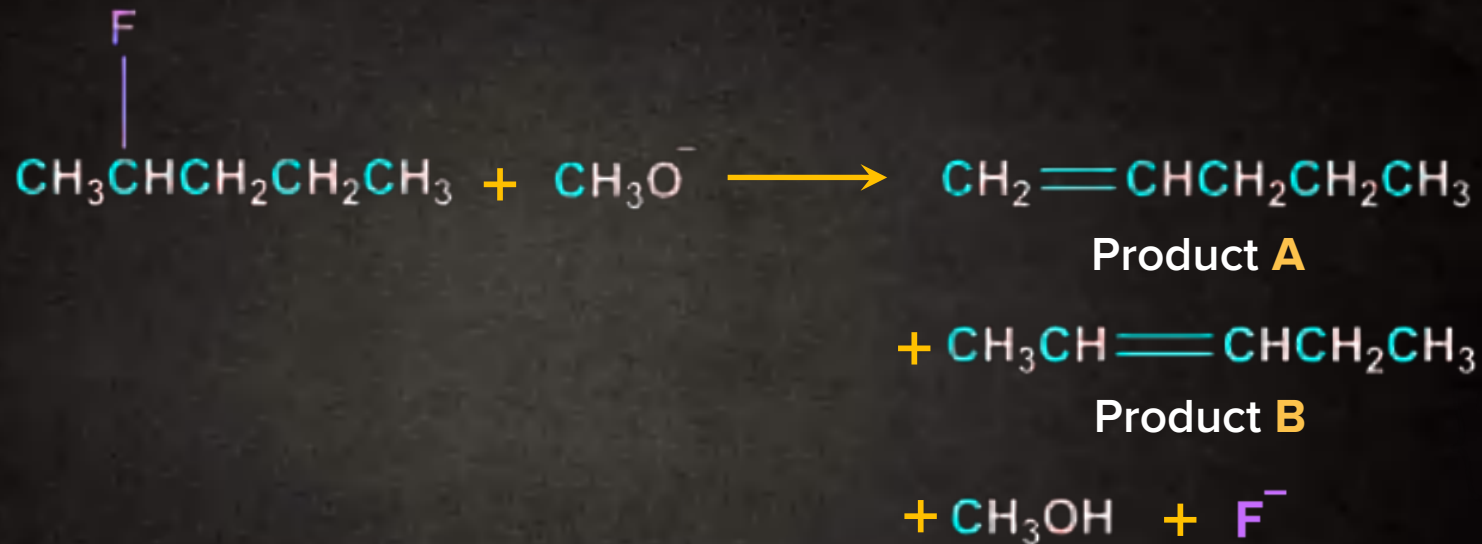
The large tert-butoxide ion appears to have **difficulty in removing** one of the internal ( $2^\circ$ ) hydrogen atoms



because of **greater crowding** at that site in the transition state.

Instead the tert-butoxide ion **removes** one of the more exposed ( $1^\circ$ ) hydrogen atoms of the methyl group

# Hofmann Elimination Product





# Hofmann Elimination Product

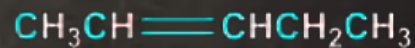
Hofmann product  
will be major



A

Major product

Present in high  
%



B

Minor product

Present in low %



# Hofmann Elimination Product

When a hydrogen and a **chlorine, bromine, or iodine** are eliminated from an alkyl halide,

the **halogen starts to leave** as soon as the base begins to remove the proton.

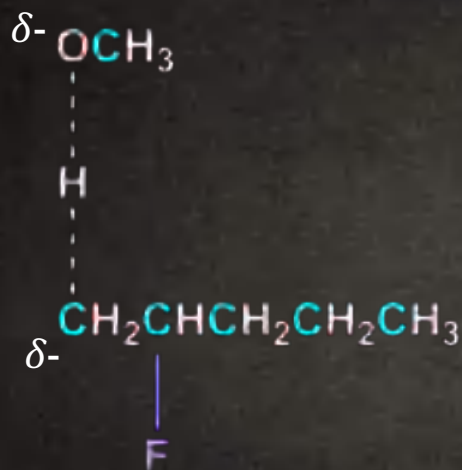
Consequently, the transition state **resembles an alkene** (Saytzeff's product)

The **fluoride ion** is the poorest leaving group.

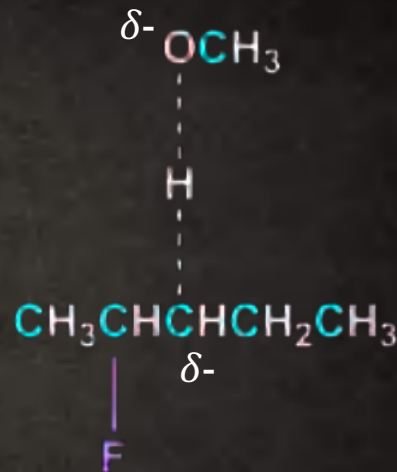
As a result, a **negative charge** develops on the carbon that is losing the proton.

This causes the transition state to **resemble a carbanion** rather than an alkene (Hofmann product).

# Transition State



Transition state leading  
to 1-pentene  
**More stable**



Transition state leading  
to 2-pentene  
**Less stable**



# **E2 Mechanism**



# Stereochemistry of E2

The **five atoms** involved in the transition state of an **E2 reaction** (including the base) must lie in the **same plane**.

The requirement for **coplanarity** of the  $\text{H}-\text{C}-\text{C}-\text{X}$  unit arises

for proper overlap of orbitals in the developing  **$\pi$ -bond** of the alkene

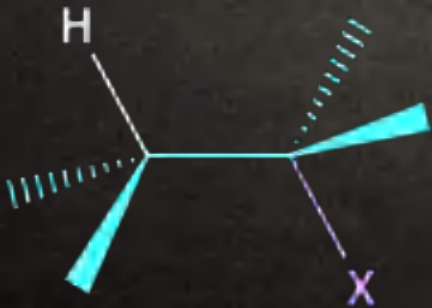
The alignment can be of two types

Anti-periplanar

Syn-periplanar

# Anti-periplanar

The H and X atoms are oriented on the **opposite sides** in the plane of the molecule.

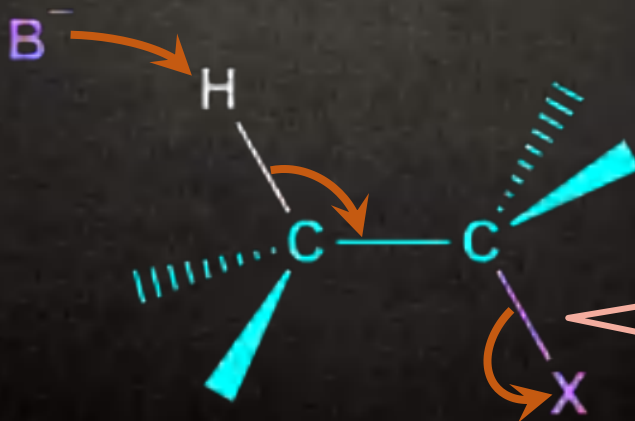


Anti-periplanar

# Anti-Elimination

If the substituents are removed from the **opposite sides** of the C-C bond, the reaction is known as **anti-elimination**.

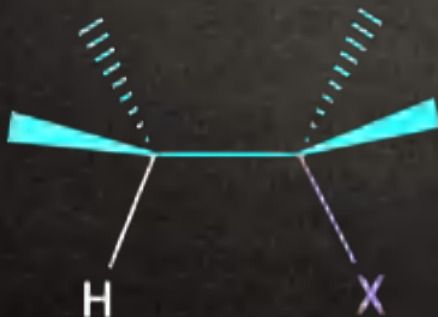
Example



H and X are anti.  
Hence, E2 is  
also known as  
**anti-elimination**.

# Syn-periplanar

The H and X atoms are oriented on the **same side** on the plane of the molecule.

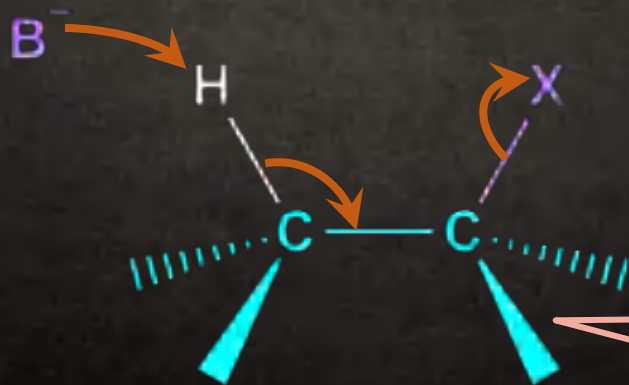


Syn-periplanar

# Syn-Elimination

If the substituents are removed from the **same side** of the C-C bond, the reaction is known as **syn elimination**.

Example



H and X are syn.  
Hence, E2 is  
also known as  
**syn-elimination**.



## Stereochemistry in E2

When there is a **choice of protons** to be eliminated from the  $\beta$ -carbon

Stereo**selective**

When there is **only one proton** that can be eliminated from the  $\beta$ -carbon

Stereo**specific**

# Stereoselectivity

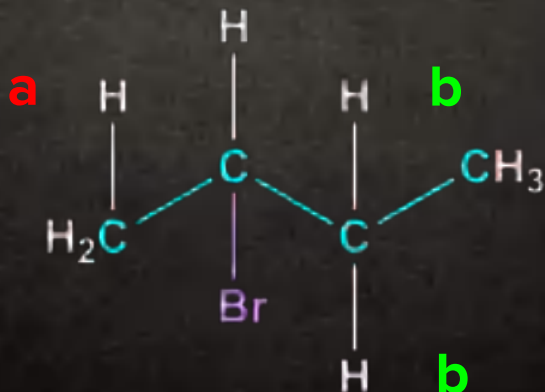
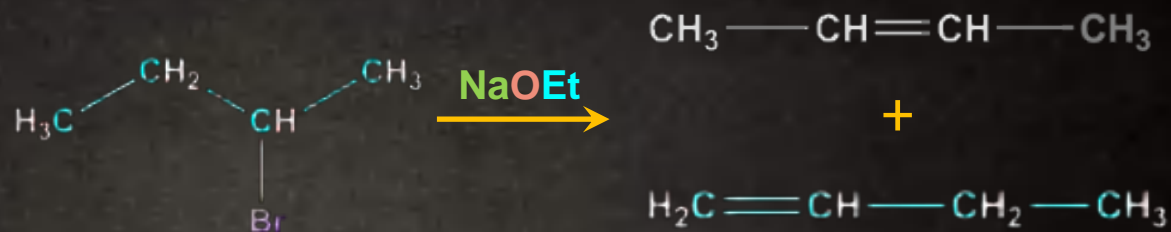
In some eliminations,  
only **one product**  
is possible.



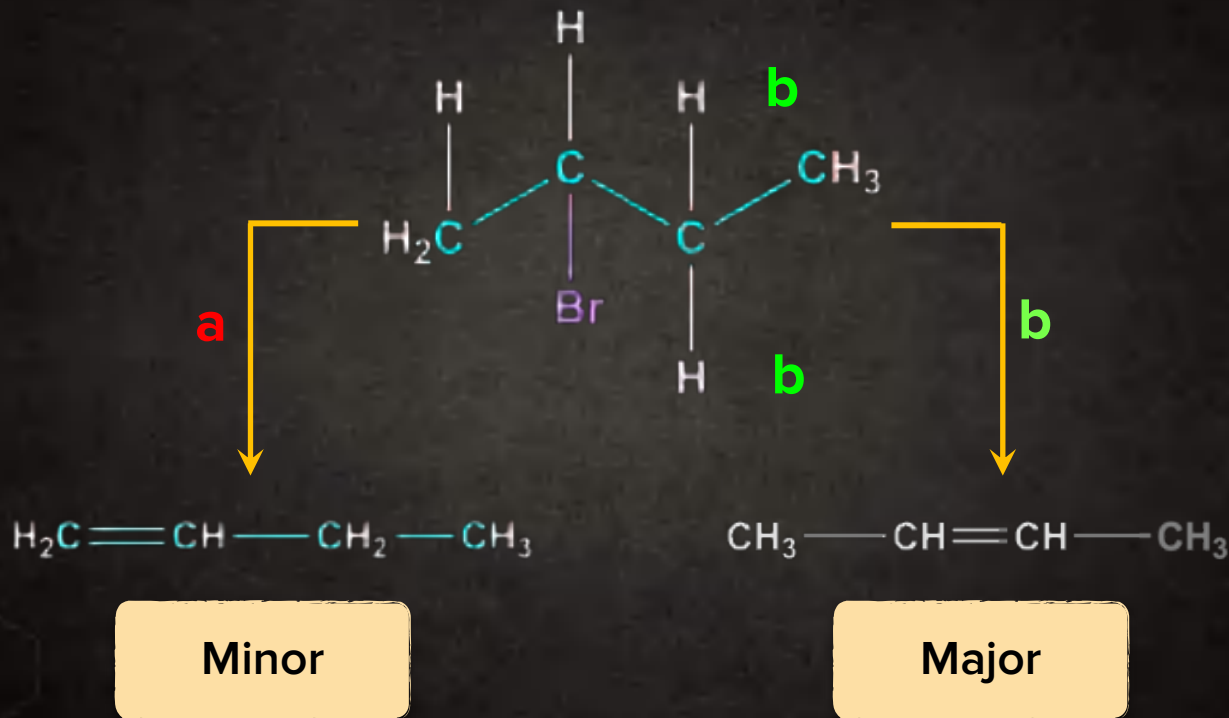
In others, there may be a  
choice of **two (or more) alkene**  
products that differ in the  
**stereochemistry double bond.**

# E2 Reaction

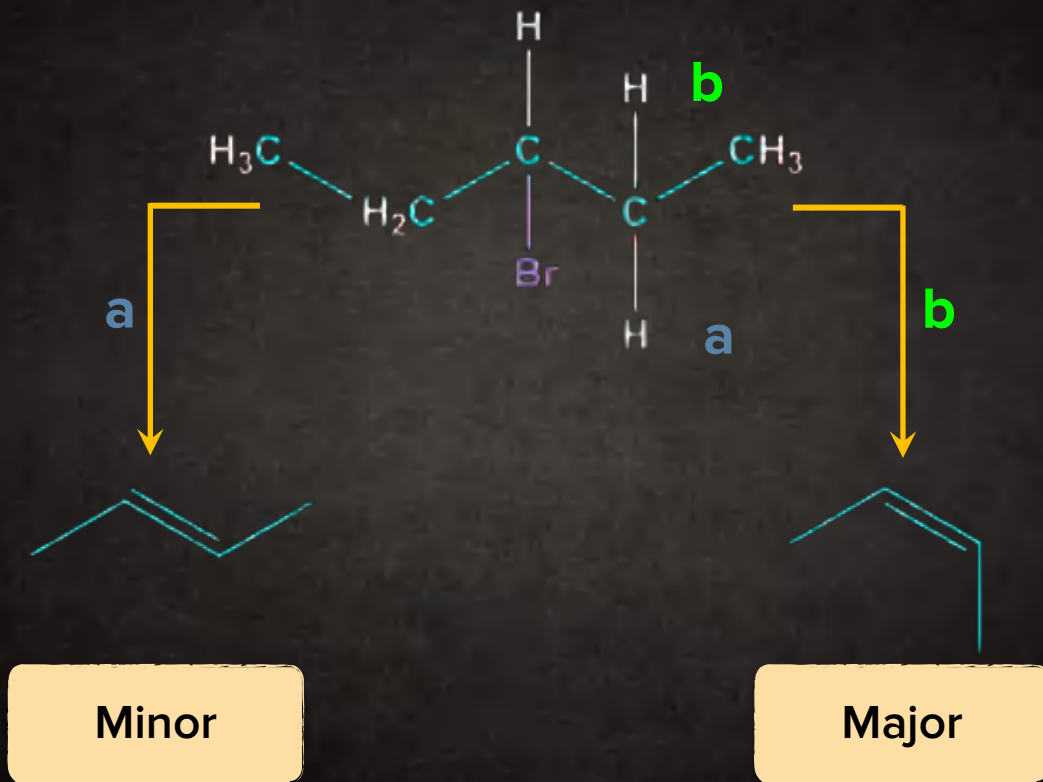
## Example



# Stereochemistry of E2 Mechanism



# Stereochemistry of E1 Mechanism





# E2 Reaction

There is a **choice** of protons to be eliminated



**Stereochemistry** of the **major product** results from the proton that is **anti-periplanar** to the leaving group



E2 reaction is **stereoselective.**

**Stability** of **anti-periplanar** configuration

>

**Stability** of **syn-periplanar** configuration

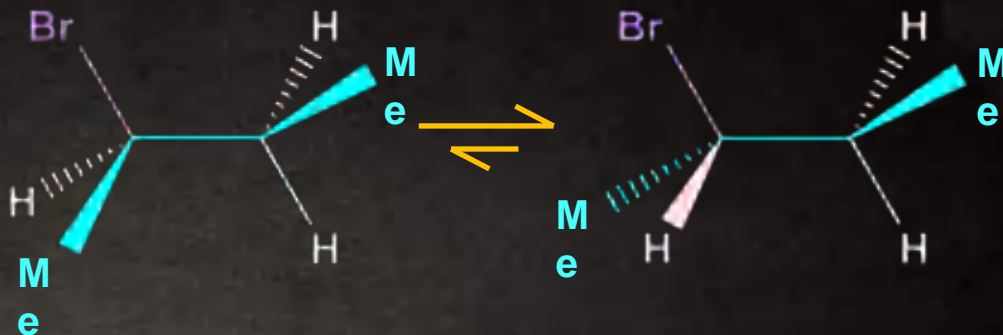
**Staggered**

**Eclipsed**



The **anti-periplanar** conformation is the **preferred T.S. geometry.**

The **syn-periplanar transition state** occurs only with rigid molecules that are unable to assume the **anti-arrangement**.

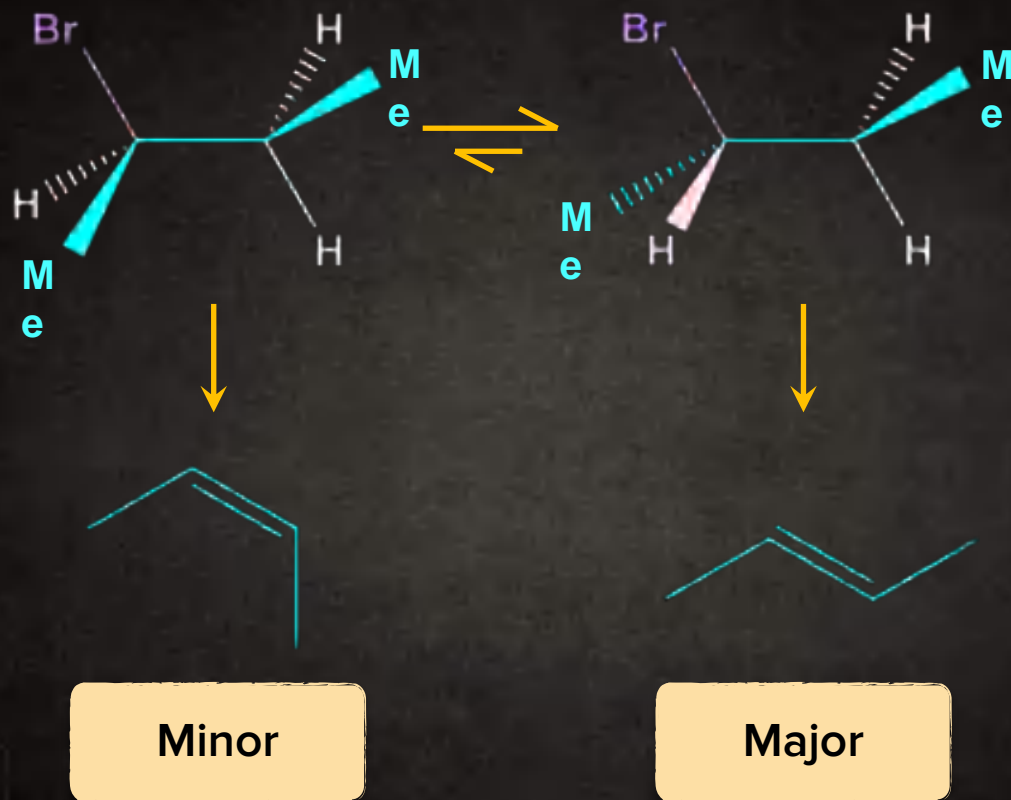


Two methyl group **syn-coplanar**

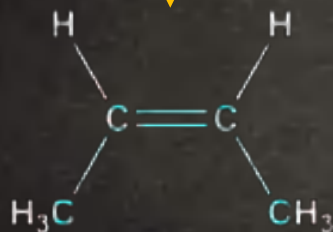
**More hindered**

Two methyl group **anti-periplanar**

**Less hindered**

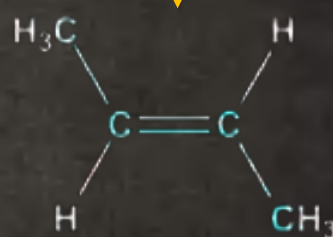


# Stereochemistry of E1 Mechanism



**cis-but-2-ene**

**Minor**



**trans-but-2-ene**

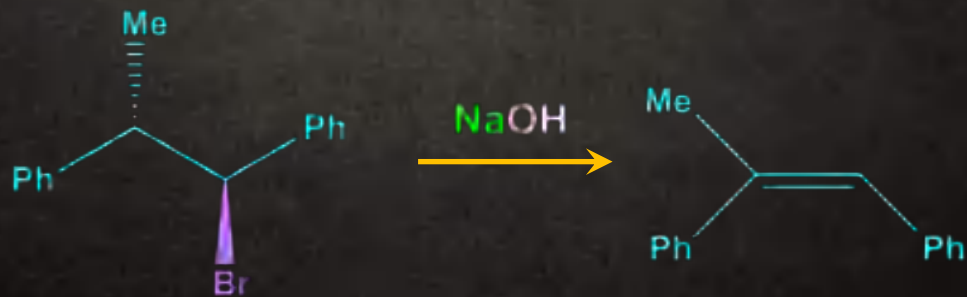
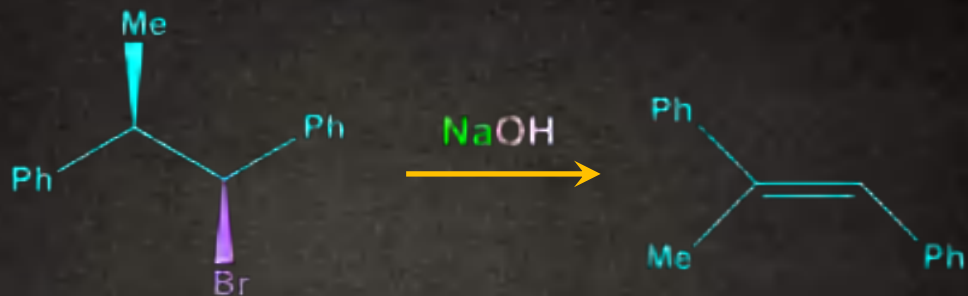
**Major**



# Stereospecific Reaction

Reactions in which the stereochemistry of the product is determined by the **stereochemistry** of the **starting material** are called **stereospecific**.

# Stereospecific Reaction



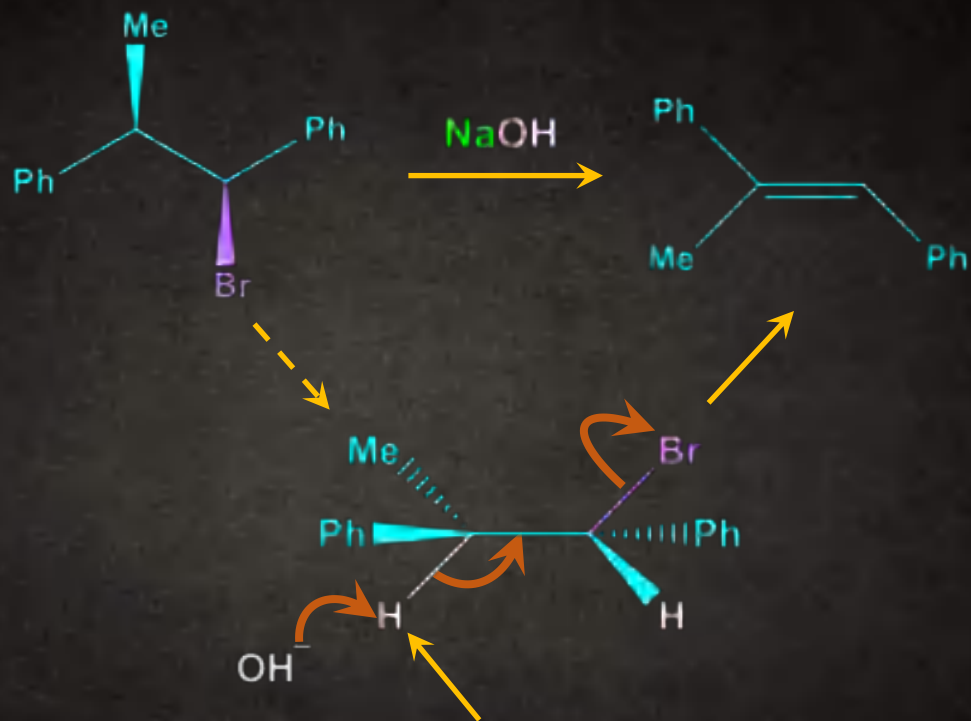
# Stereospecific Reaction

When there is only **one proton** that can take part in the **elimination**, there is no option of **anti-periplanar transition states**.

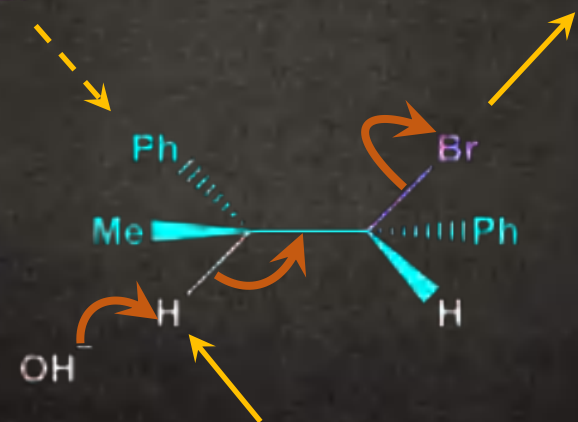
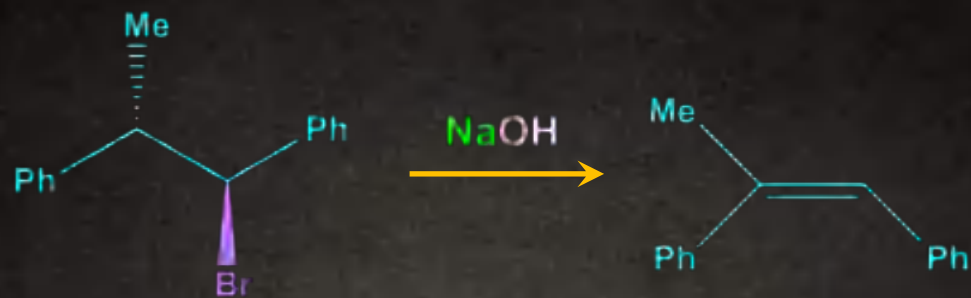
Whether the product is cis **or trans**, the **E2 reaction** has only one course to follow.



The outcome depends on the **starting material** used.



Only this proton can  
be attacked by  $\text{OH}^-$



Only this proton can be attacked by  $\text{OH}^-$

# Stereoselective vs Stereospecific

**Stereoselective reactions** give **one predominant isomer product** because the reaction pathway has a choice.

**Stereospecific reactions** lead to the production of a **single isomer** as the reaction pathway has no choice.

# E2 In a Nutshell!

1

Concerted, **bimolecular**

2

Rate  $\propto$  Alkyl halide  
 $(3^\circ > 2^\circ > 1^\circ)$   
 $\propto$  conc. of strong base  
 $\propto$  Leaving group

3

Regioselectivity

**Non-bulky**  
base



**Saytzeff** rule

**Bulky**  
base



**Hofmann** rule

## E2 In a Nutshell!

4

Stereochemistry (generally favours **anti-periplanar T.S.**)

**Two**  $\beta$ -hydrogen



**Stereoselective**

**One**  $\beta$ -hydrogen



**Stereospecific**



# **E1 vs E2 Reaction**

## **Factors Affecting Elimination Reaction**

**Nature of substrate**

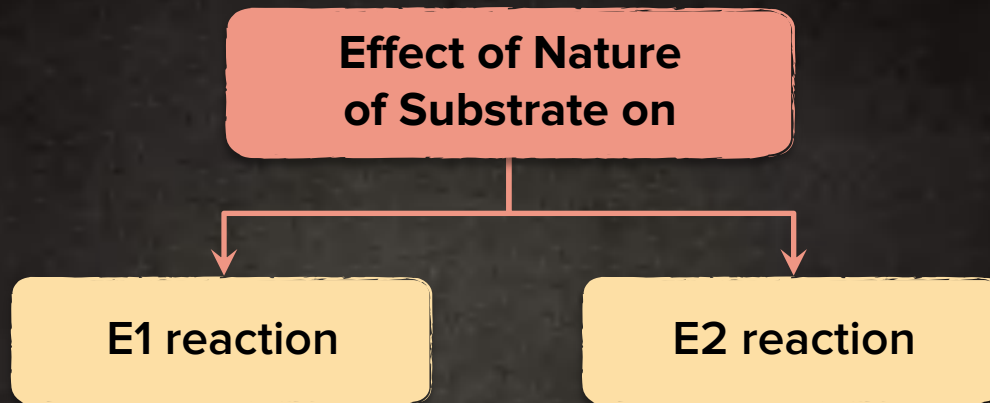
**Nature of the base**

**Nature of the solvent**

**Leaving group ability**



# Effect of Nature of Substrate





# Effect of Nature of Substrate on E1 Reaction

Rate of E1 reaction

$\propto$

Stability of carbocation  
formed from alkyl halide

Primary  
alkyl halide

<

Secondary  
alkyl halide

<

Tertiary  
alkyl halide

**Increasing** order of rate  
of reaction for E1

# Effect of Nature of Substrate on E2 Reaction

Rate of E2 reaction

$\propto$

Stability of alkene  
formed from alkyl halide

Primary  
alkyl halide

<

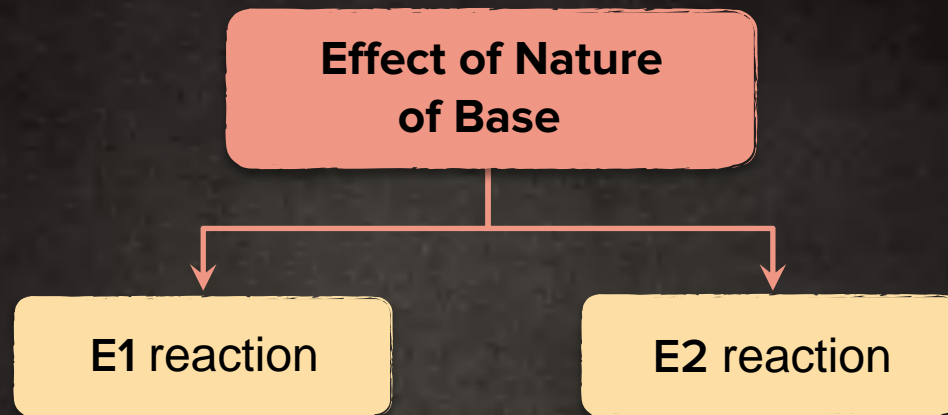
Secondary  
alkyl halide

<

Tertiary  
alkyl halide

**Increasing** order of rate  
of reaction for E2

# Effect of Nature of Base



# Effect of Nature of Base on E1 Reaction

An E1 reaction is favoured by the **low concentration** of a **weak base**.



**For example:**  $\text{H}_2\text{O}$ , ROH etc.

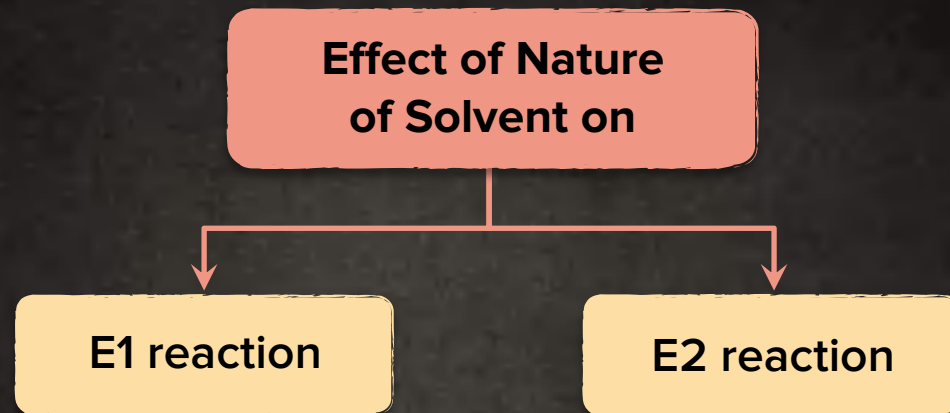
An E2 reaction is favoured by the **high concentration** of a **strong base**.



**Examples:**  $\text{RO}^-$ ,  $\text{NH}_2^-$  etc.

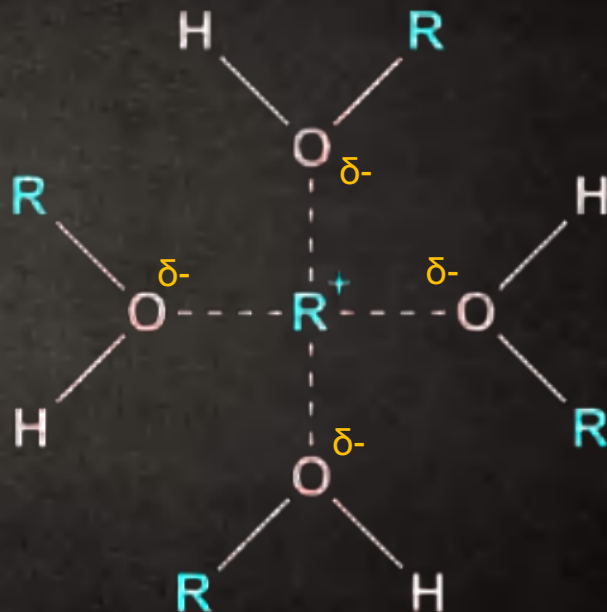


# Effect of Nature of Solvent



# Effect of Nature of Solvent on E1 Reaction

Polar protic solvents **favour E1 reactions** because they stabilise the intermediate **carbocation**.



# Effect of Nature of Solvent on E2 Reaction

E2 elimination is favoured by **polar aprotic solvents**.

Examples: **DMSO**,  
**Acetone**, etc.

Solvation of **base** does **not** take place because

They **cannot** form **H-bonds**

Their **positive centres** are well **shielded** by steric effects

# Effect of Nature of Solvent on E2 Reaction

No solvation of anion  
increases the reactivity  
of anion as base



It can readily attack the  
proton. Hence, **favours E2.**

# Effect of Leaving Group Ability on Elimination Reaction



A **good leaving group** favours both **E1** and **E2** elimination reactions.



**Increasing** order of leaving group ability.



# E1 vs E2 Reaction

	E1	E2
Alkyl halide	$3^\circ > 2^\circ > 1^\circ$	$3^\circ > 2^\circ > 1^\circ$
Base	<b>Low</b> concentration of a weak/moderate base	<b>High</b> concentration of a strong base
Solvent	<b>Polar protic</b> solvent	<b>Polar aprotic</b> solvent
Leaving group	Good leaving group <b>favours</b> E1	Good leaving group <b>favours</b> E2

Degree of C	Type of reaction	
1°	E2 only	
2°	Mainly E2	
3°	In <b>solvolysis</b> (Example: H <sub>2</sub> O/ROH)	<b>E1</b>
	When <b>strong base</b> (Example: RO <sup>-</sup> )	<b>E2</b>



# **Elimination Reactions** **in Benzylic Halides**

# Elimination Reaction in Benzylic Halides

Benzylic halides that have  $\beta$ -hydrogens undergo:

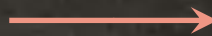
E1 reactions

E2 reactions

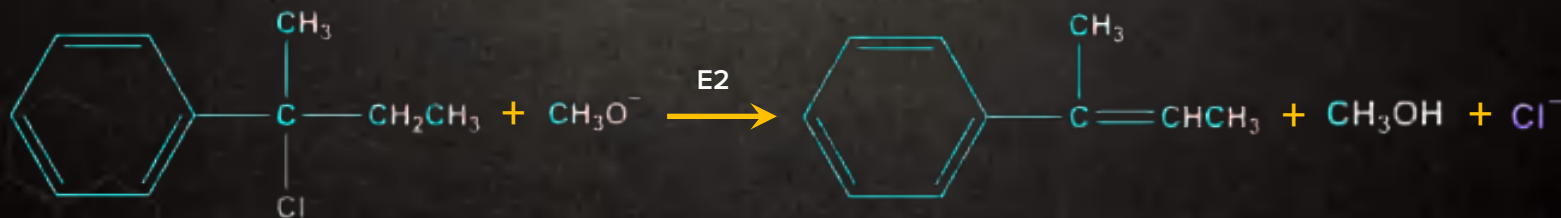
They undergo E1 reaction  
because they form relatively  
**stable carbocations.**

# E2 Reaction in Benzylic Halides

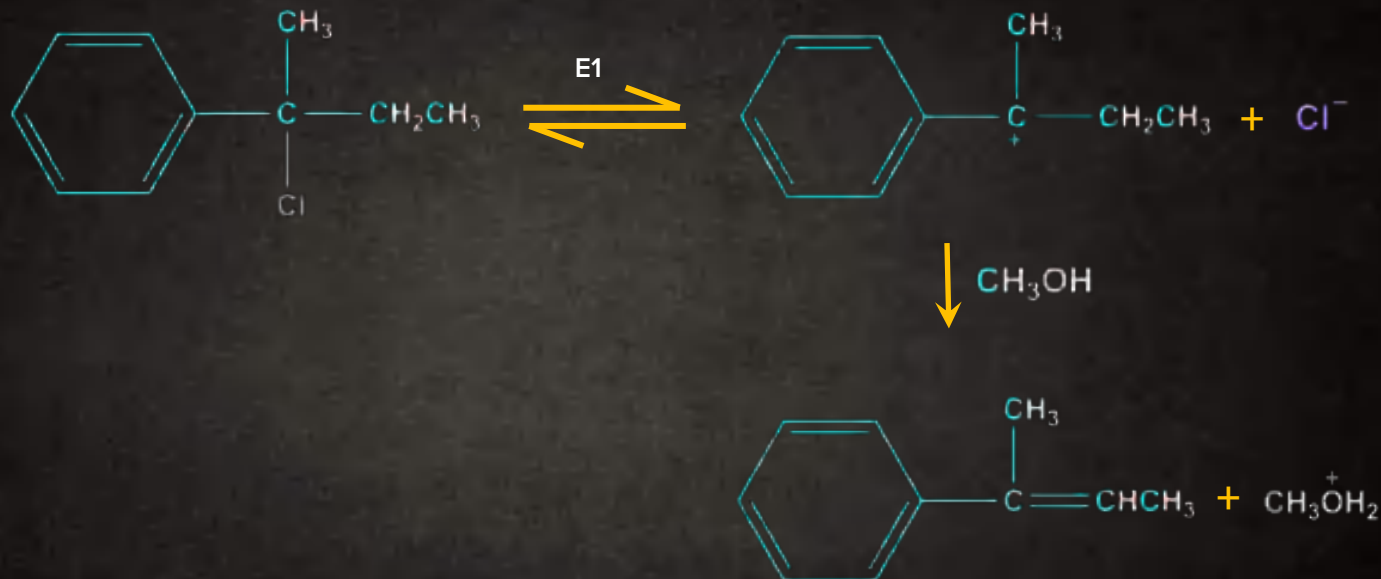
They undergo E2 reactions because the **new double bond** in the product is **relatively stable**.



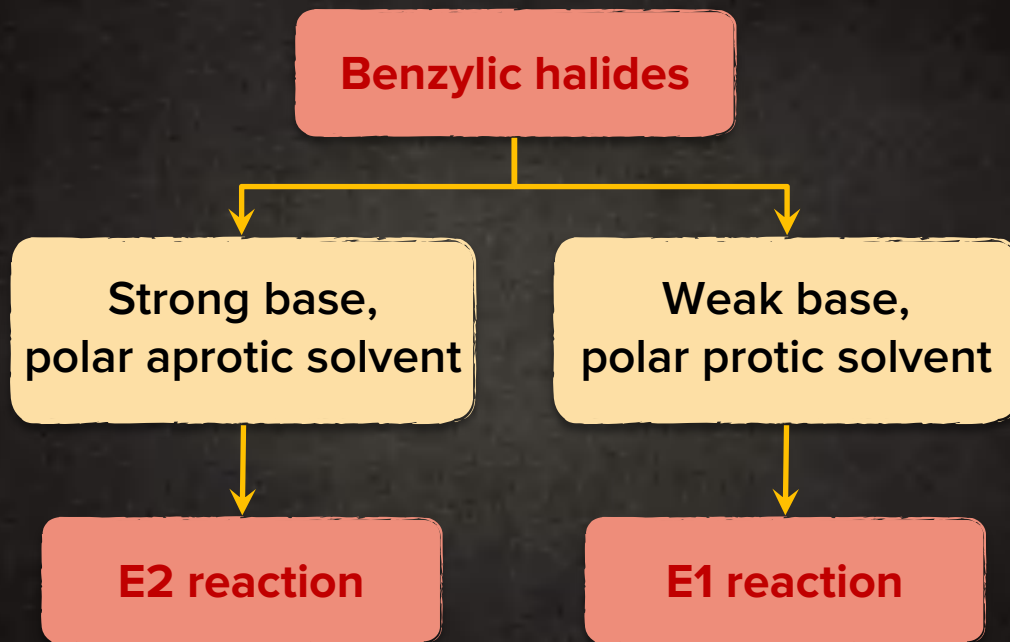
It is **conjugated** with a benzene ring



# Elimination Reaction in Benzylic Halides



# Elimination Reaction in Benzylic Halides



# Elimination Reaction in Vinylic and Aryl Halides

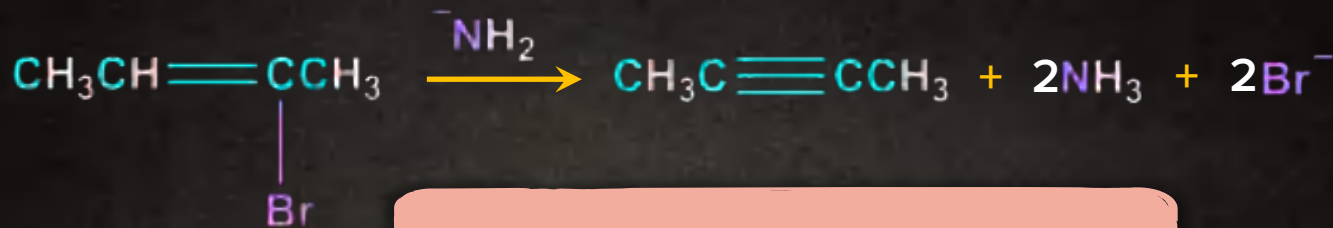
Vinylic and aryl halides that have  **$\beta$ -hydrogens** cannot undergo **E1** reactions.

Vinylic halides that have  **$\beta$ -hydrogens** are relatively **unreactive** towards E2 reaction.



However, they can **undergo E2 reactions** when a **very strong base** ( $\text{-NH}_2$ ) is reacted.

# Elimination Reaction in Vinylic and Aryl Halides



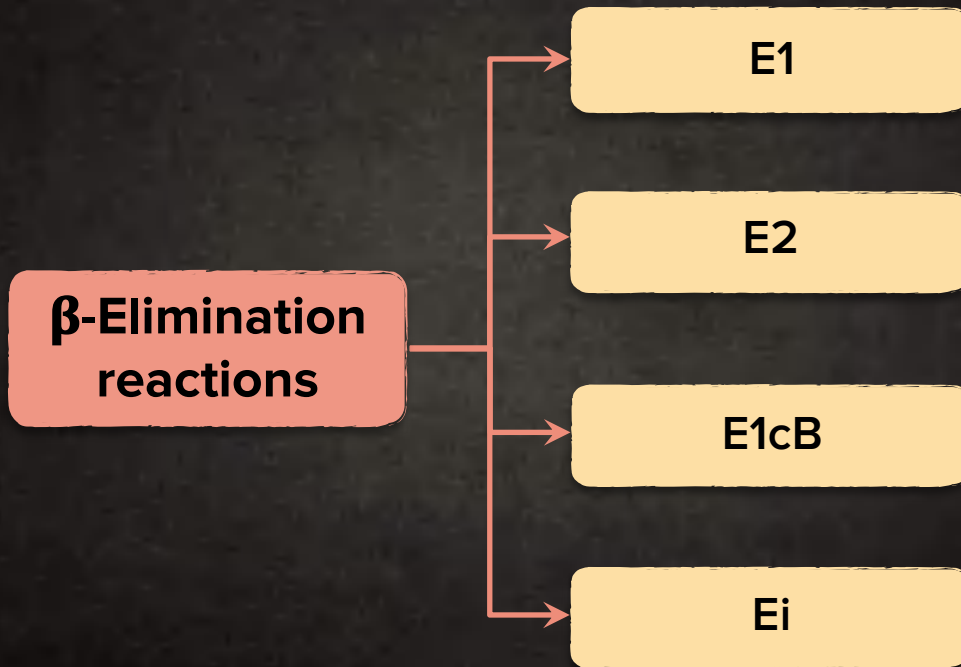
Aryl halides that have  **$\beta$ -hydrogens** are relatively **unreactive** towards E2 reaction.

However, when a **very strong base** ( $^-\text{NH}_2$ ) is used, reaction does not take place through elimination mechanism.

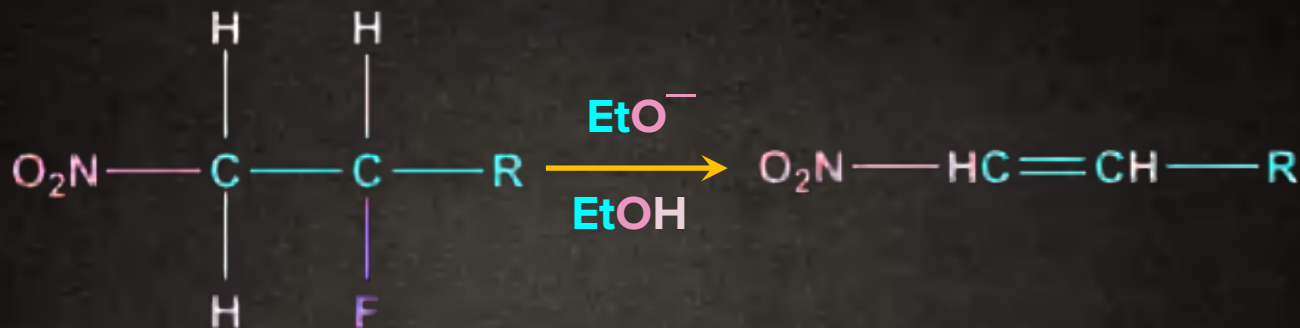
# Elimination Reaction



# $\beta$ -Elimination Reactions




# E1cB Elimination



First, the **proton** is abstracted  
to form the **conjugate base**.

# E1cB Elimination

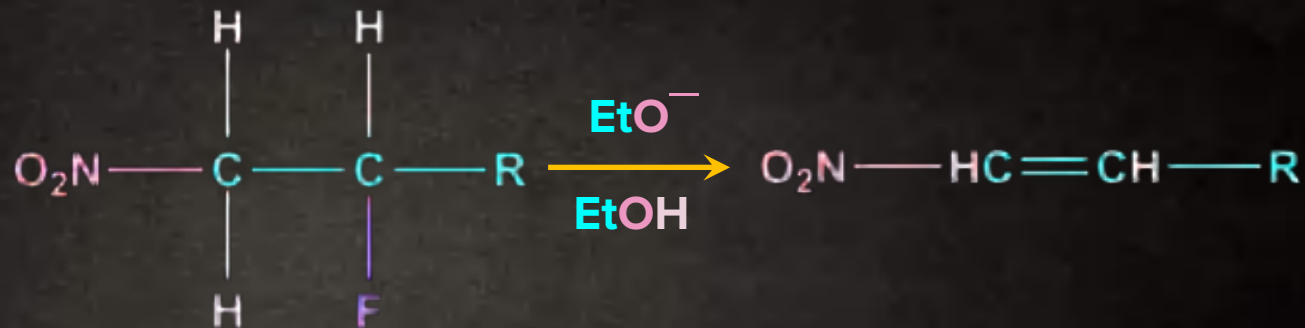


The **anion** that results is **stable** enough to exist because it can be **delocalised** on to the **EWG**.

Although the anion is stabilised by the **EWG**, it still **prefers** to lose a leaving group and become an **alkene**.

# E1cB Elimination

General reaction





# Steps Involved in E1cB Reaction

The mechanism of E1cB reaction is a **two-step** process.

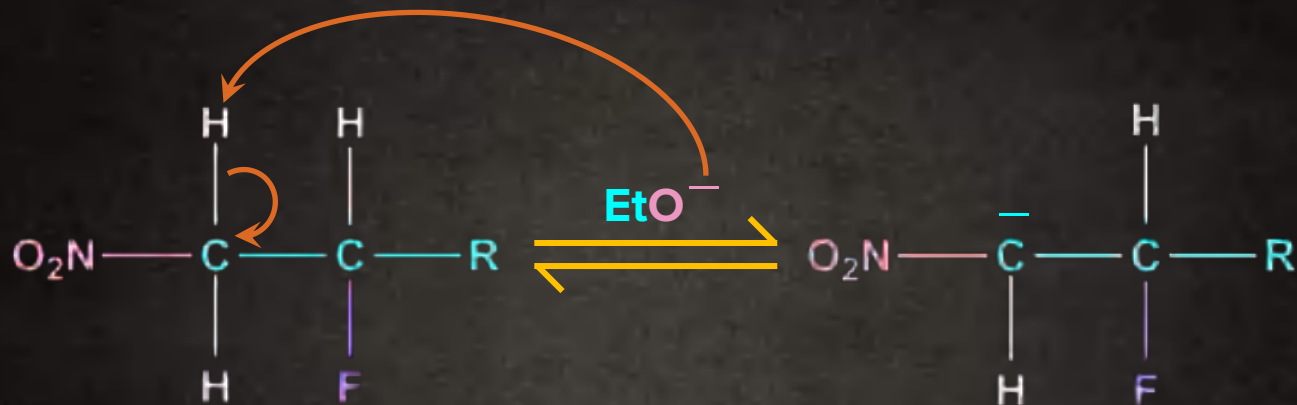
Step 1

Abstraction of proton to form carbanion

Step 2

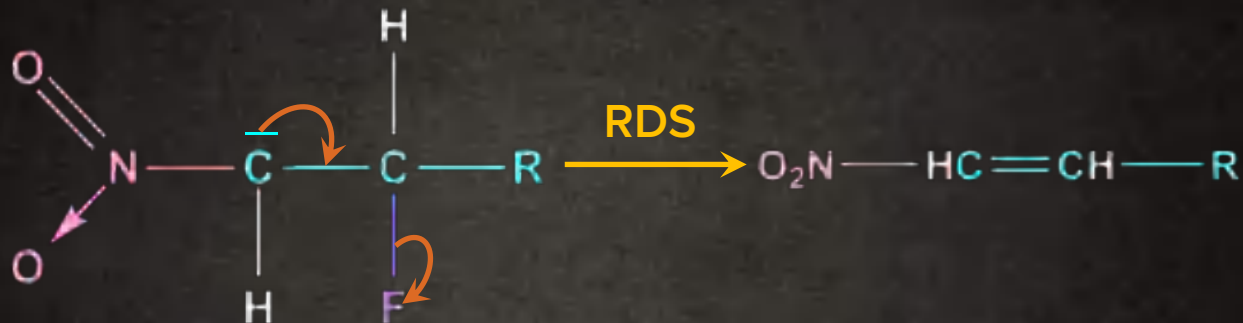
Removal of leaving group to give an alkene

# Abstraction of Proton to Form Carbanion



**Carbanion**

# Removal of Leaving Group to Give an Alkene



# E1cB Elimination

This step is also the **rate-determining step** of the elimination



The elimination is **unimolecular**, and so is some kind of **E1** reaction.



# E1cB Elimination

The **leaving group** is not lost from the starting molecule, but from the **conjugate base** of the starting molecule



This sort of elimination, which starts with a **deprotonation**, is called **E1cB** (cB for conjugate Base).

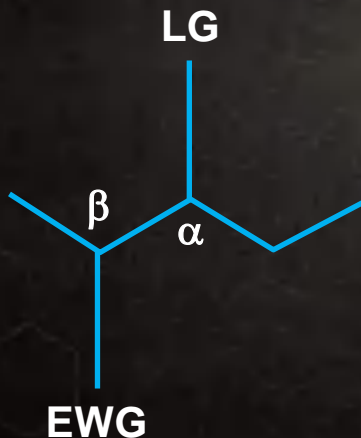
## Conditions for E1cB

Substrate

Leaving group

# Substrate

A **good electron withdrawing** group must be present at the  $\beta$ -position to the leaving group.



E.g.  $-\text{NO}_2$ ,  $-\text{COOR}$ ,  
 $-\text{Ph}$ ,  $-\text{COR}$ , etc.

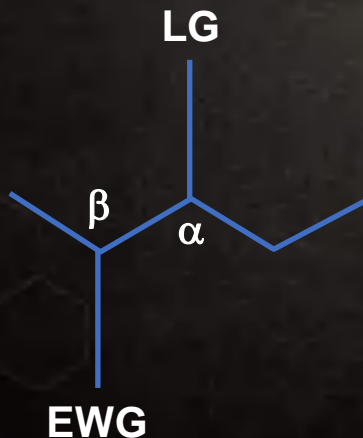
A **good electron withdrawing** group must be present at the  $\beta$ -position to the leaving group.

# Leaving Group

Generally, **poor leaving group** shows E1cB reaction.

E.g.:  $\text{—F}$ ,  $\text{—OH}$ , etc.

Generally, **poor leaving group** shows E1cB reaction.



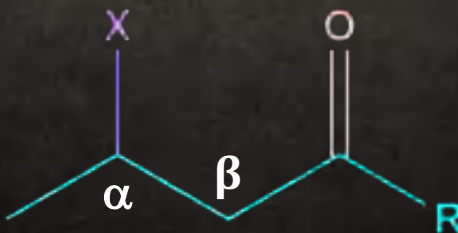
## Note

It is **not necessary** that there is always a poor leaving group.



**Good leaving group** (like halogen) also shows **E1cB** reaction.

Example



# Summary

**E1** elimination  
leaving group first  
deprotonation second



# Elimination Reaction

Several types of compound **undergo elimination** on heating, with no other reagent present.

The mechanisms are **different** from those already discussed,



Since all those require a **base** (which may be the solvent) in one of the steps.

# Ei Elimination

Unimolecular,  
concerted mechanism

Two groups leave at about the  
**same time** and **bond** to each  
other simultaneously.

Involves a **cyclic transition**  
state, which may be four, five,  
or six membered.

Ei also known as

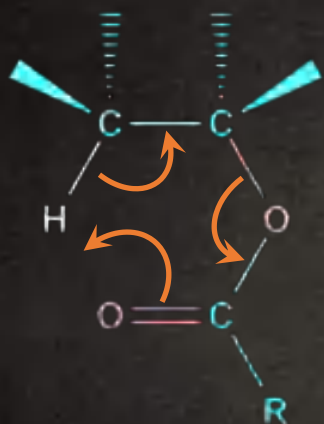
**Syn** elimination

The two groups leave  
and **bond to each other**  
simultaneously when  
they are **syn to each**  
**other**

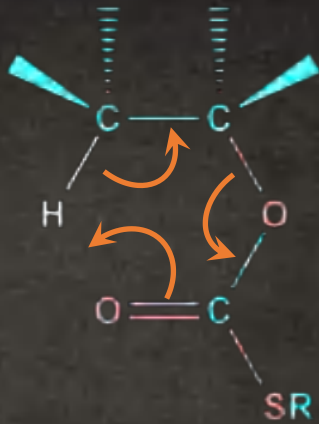
**Pyrolytic**  
elimination

Elimination  
occurs under  
**thermal condition**

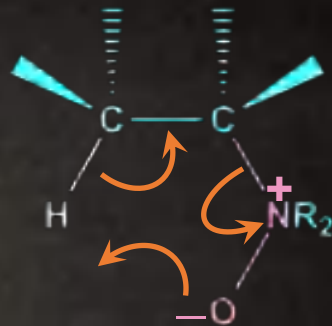
# Ei Reactions



Esters

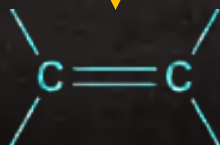


Xanthates



Amino oxides

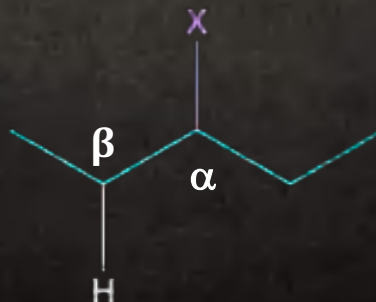
$\Delta$



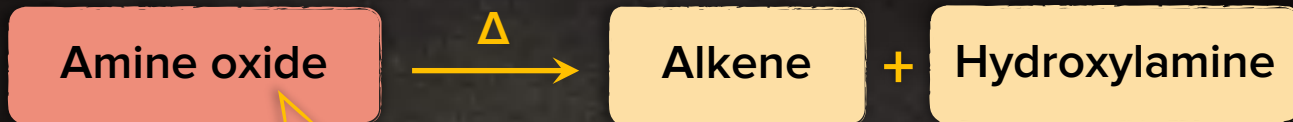
# Condition for Ei Elimination

Esters, xanthate esters, 3° amine oxide

Which contains  
at least one  
 $\beta$ -hydrogen atom



# Cope Elimination

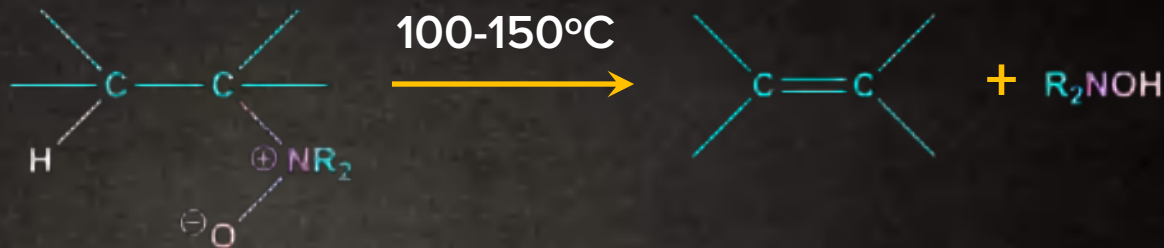


Must have at least  
one  $\beta$ -hydrogen

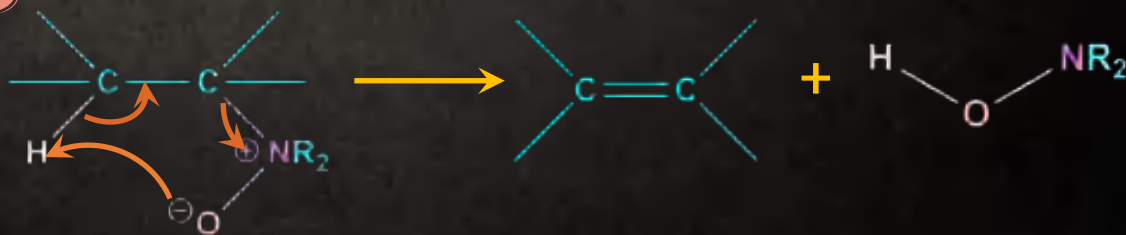
Reaction is very useful  
for the preparation  
of **alkenes**

# Cope Elimination

## General reaction



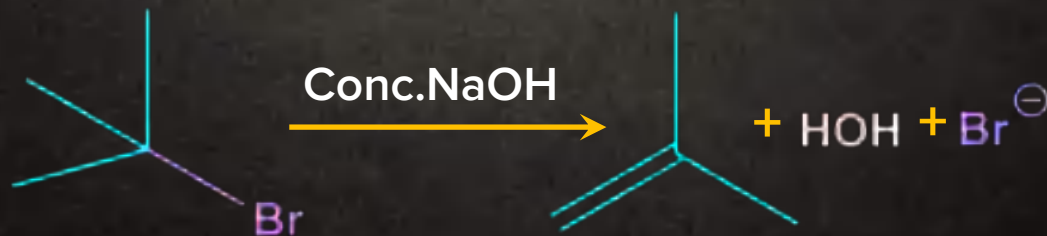
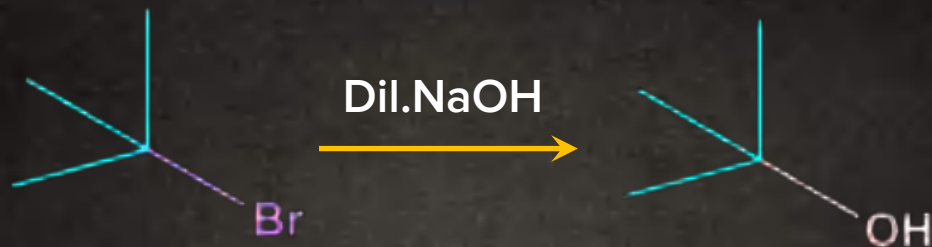
**Concerted mechanism** through a cyclic transition state.



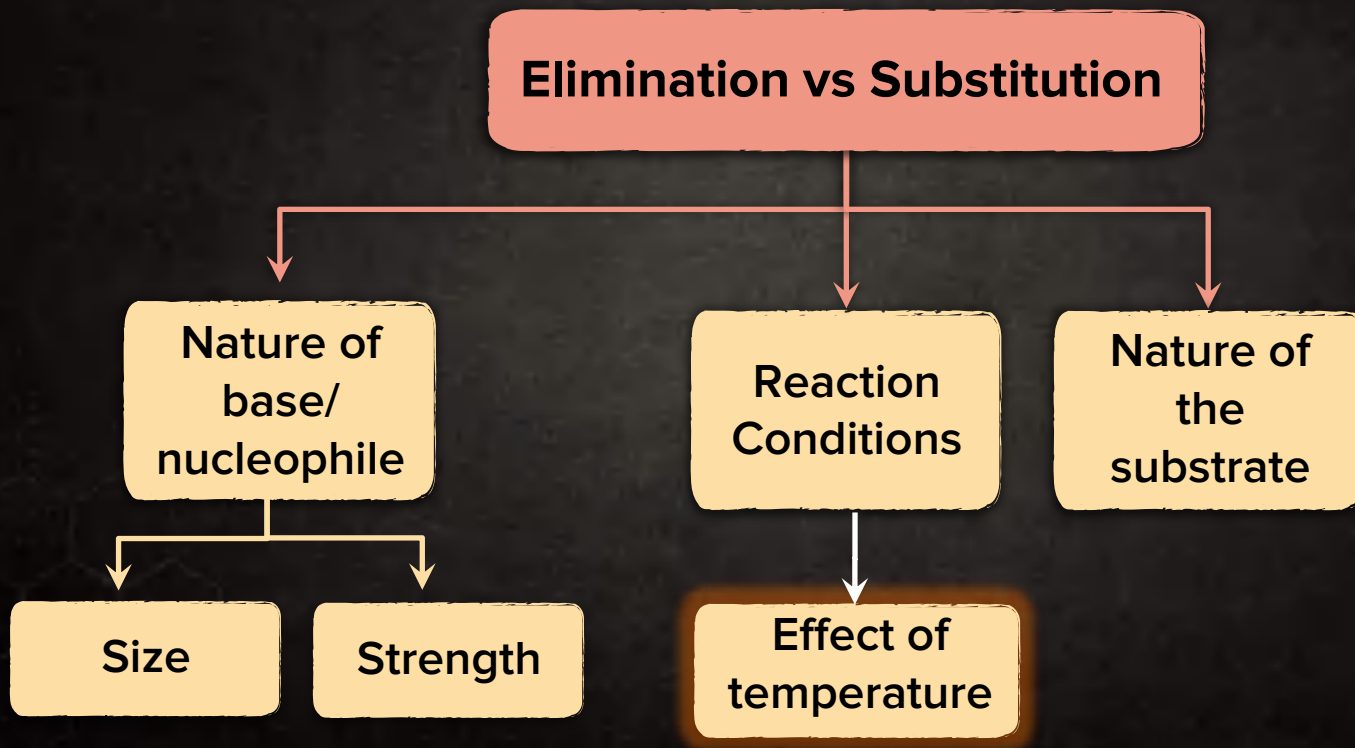


# **Elimination vs Substitution**

# Substitution and Elimination Reaction



# Elimination vs Substitution



# Effect of Size of the Base/Nucleophile

When the nucleophile/base is **small**

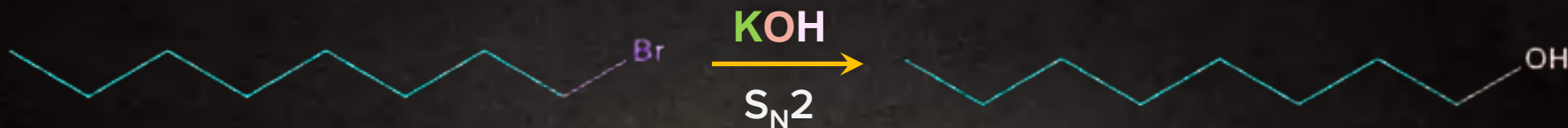


It has **no difficulty** in getting to the reactive centre.



Generally, it substitutes the leaving group and gives a **substitution product**.

# Effect of Size of the Base/Nucleophile



When the nucleophile/base is **bulky**

It has **difficulty** getting to the reactive centre whereas getting at a **more exposed hydrogen** atom is **much easier**

Generally, it gives an **elimination product**.

# Effect of Size of the Base/Nucleophile



Size		Product
Nucleophile or base	Small	Substitution product
	Bulky	Elimination product

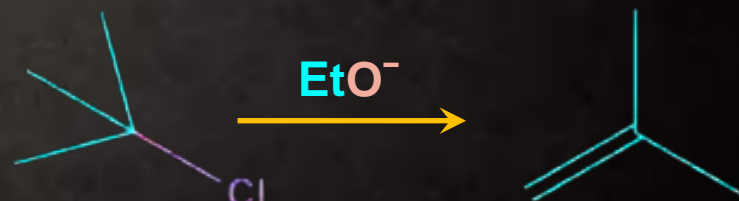
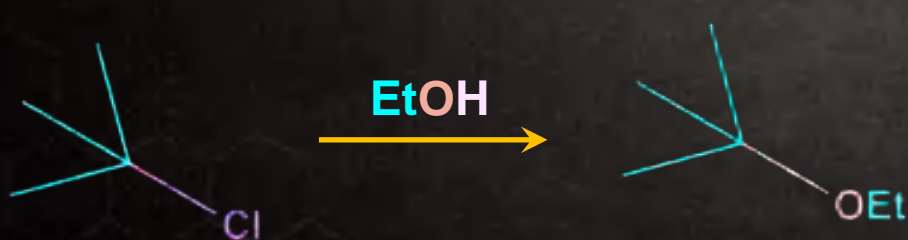
# Effect of Strength of the Base/Nucleophile

Weak  
base

**Substitution**

Strong  
base

**Elimination**



# Effect of Temperature

In general, **elimination** reactions require **higher temperature** than substitution.

If, the temperature **increases**



Then, rate of both substitution and elimination **increases**

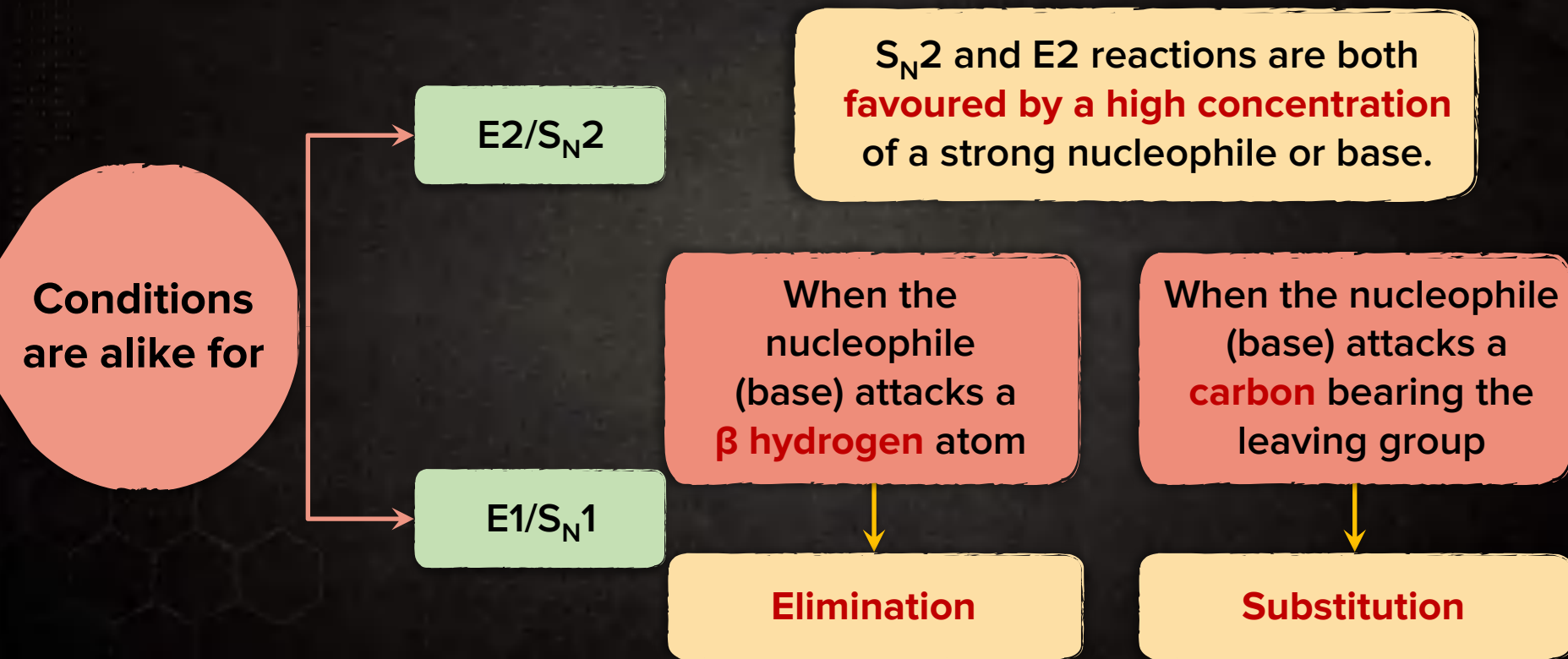


But increase in rate of elimination is **more**.

# Summary

Factor		Effect
Nucleophile	<b>Strong base</b>	<b>Favours elimination</b> over substitution
	<b>Bulky</b>	<b>Favours elimination</b> over substitution
<b>High temperature</b>		<b>Favours elimination</b> over substitution

# Effect of Substrate



## Effect of Substrate

$S_N1$  and  $E1$  reactions both proceed through the formation of **carbocation**



Usually, difficult to influence the **relative partition** between  $S_N1$  and  $E1$

# Effect of Nature of Substrate

In primary alkyl halide, carbocation is too unstable.

$E1/S_N1$

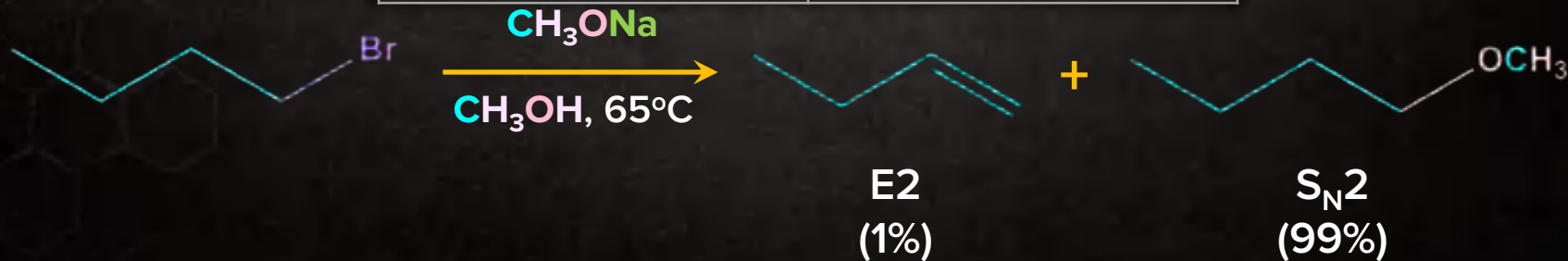
X

$E2/S_N2$

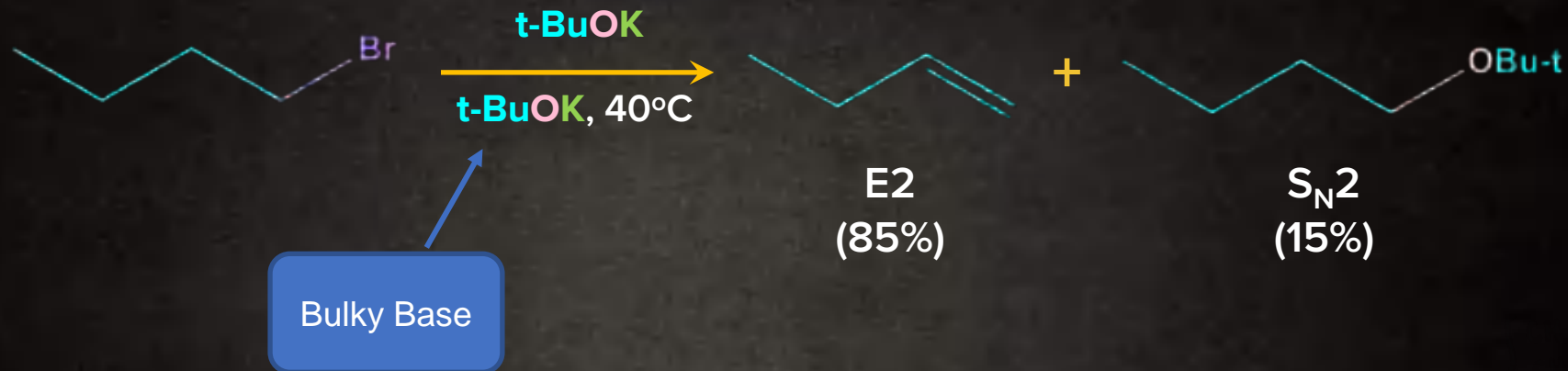
✓

# Elimination vs Substitution

Primary substrate	
Substitution ( $S_N2$ )	Elimination ( $E2$ )
Base is strong and <b>unhindered</b>	Base is strong and <b>hindered</b>
	<b>High</b> temperature favoured more for elimination



# Elimination vs Substitution



# Effect of Nature of Substrate

Generally,

In secondary alkyl halide,  
carbocation is unstable.

E1/S<sub>N</sub>1

X

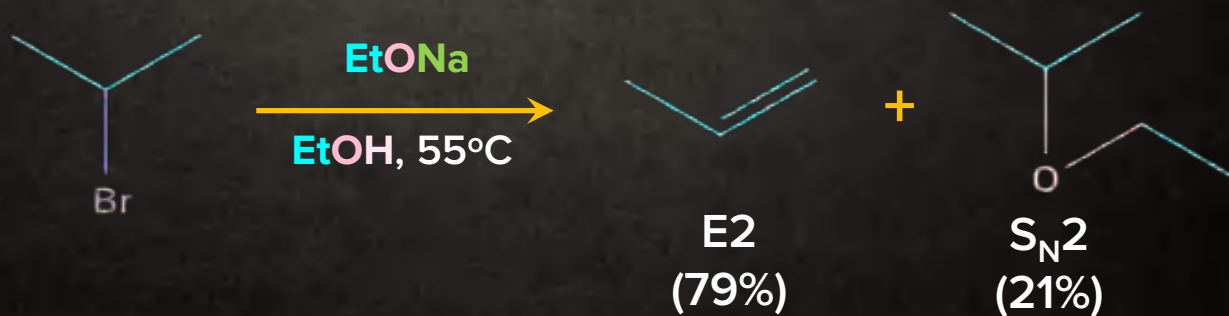
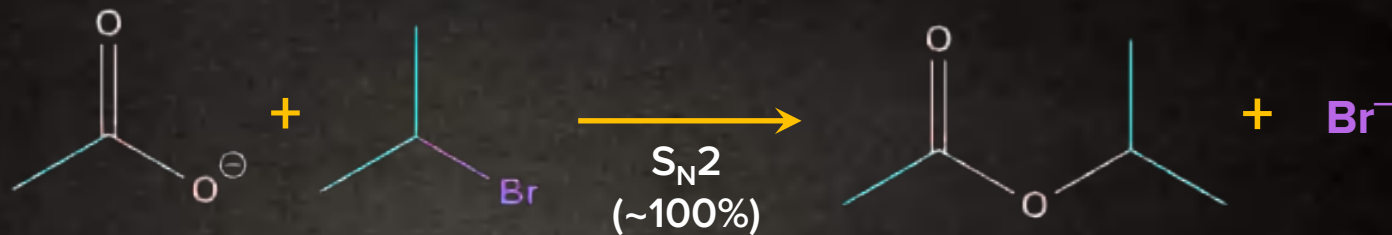
E2/S<sub>N</sub>2

✓

## Effect of Nature of Substrate

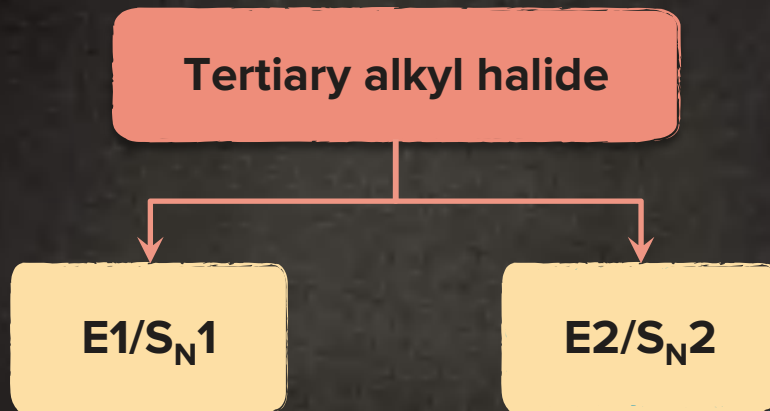
Secondary substrate	
Substitution ( $S_N2$ )	Elimination ( $E2$ )
Use of a <b>weakly basic ion</b> , e.g., $Cl^-$ or $CH_3COO^-$ or a weakly basic and <b>highly polarisable</b> one, e.g., $Br^-$ , $I^-$ , or $RS^-$	Use of a <b>strong, slightly polarisable base</b> , e.g., $OH^-$ , $NH_2^-$ , or $OR^-$ (especially a hindered one)
	<b>High</b> temperature

# Elimination vs Substitution



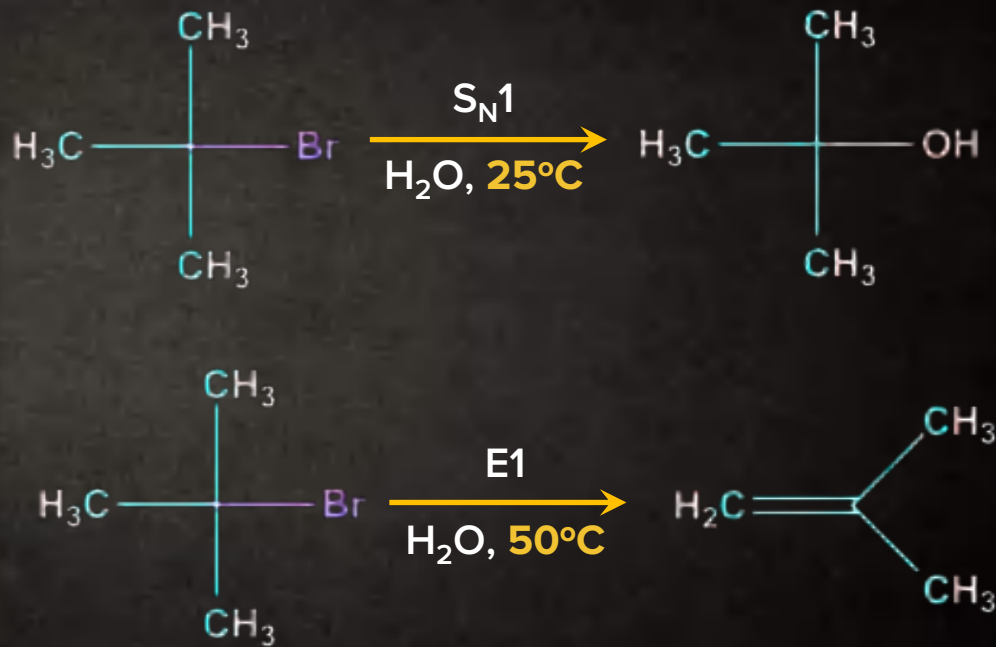


# Elimination vs Substitution



# Elimination vs Substitution

Tertiary substrate	
Substitution ( $S_N1$ )	Elimination ( $E1$ )
Favoured at <b>lower temperatures.</b>	Favoured at <b>higher temperatures.</b>

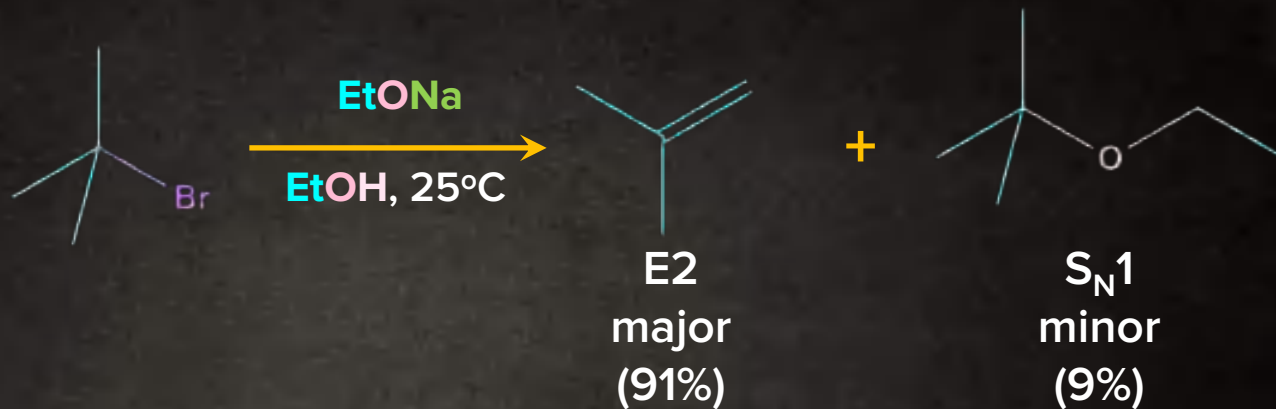


# Elimination vs Substitution

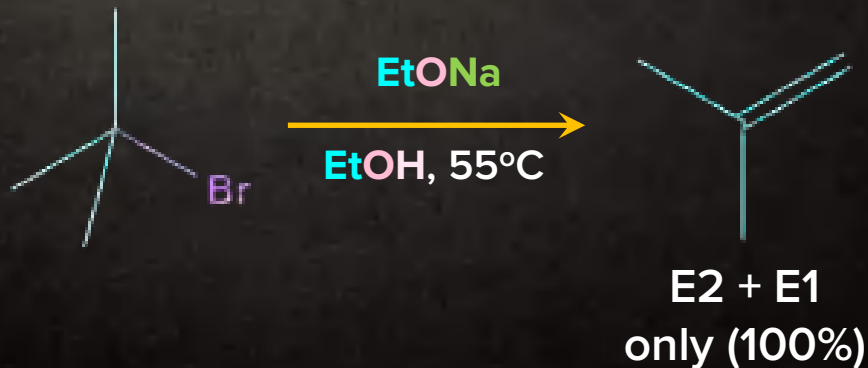
Tertiary substrate	
Substitution ( $S_N2$ )	Elimination ( $E2$ )
<b>Steric hindrance</b> in the substrate is severe and an $S_N2$ reaction <b>cannot take place</b>	Highly favoured, especially when the reaction is carried out at <b>higher temperatures</b> .

# Elimination vs Substitution

Without heating;



Heating;



$\text{CH}_3\text{X}$			
Gives $\text{S}_{\text{N}}2$ reaction	<b>Bimolecular (<math>\text{S}_{\text{N}}2</math> /E2) reaction only</b>		<b>(<math>\text{S}_{\text{N}}1</math> /E1) or E2</b>
	Gives mainly $\text{S}_{\text{N}}2$ except with a hindered strong base [e.g.: $(\text{CH}_3)_3\text{CO}^-$ ] and then mainly E2	Gives mainly $\text{S}_{\text{N}}2$ with a weak base (e.g. $\text{I}^-$ , $\text{CN}^-$ , $\text{RCO}_2^-$ and mainly E2 with strong bases (e.g.: $\text{RO}^-$ )	No $\text{S}_{\text{N}}2$ reaction. In solvolysis gives $\text{S}_{\text{N}}1/\text{E1}$ , and at lower temperature $\text{S}_{\text{N}}1$ is favoured. When a strong base (e.g., $\text{RO}^-$ ) is used, E2 predominates